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# Nestin-Positive/SOX2–Negative Cells Mediate Adult Neurogenesis of Nigral Dopaminergic Neurons in Mice

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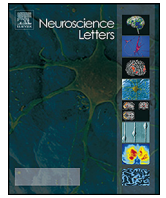
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## Research paper

## Nestin-positive/SOX2–negative cells mediate adult neurogenesis of nigral dopaminergic neurons in mice



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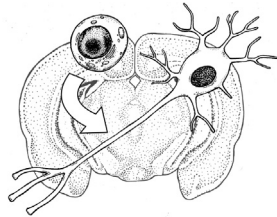
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## HIGHLIGHTS

- Novel model developed for cell lineage tracing of dopaminergic neuron progenitors.
- Nestin+ neural progenitors are responsible for replenishing nigral dopaminergic neurons.
- Sox2 is not expressed by adult dopaminergic neuron progenitors.

## GRAPHICAL ABSTRACT



Nestin+/SOX2- cells give rise to dopaminergic neurons in the adult mouse substantia nigra.

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## ABSTRACT

The primary clinical motor symptoms of Parkinson's disease (PD) result from loss of dopaminergic (DA) neurons in the substantia nigra (SN). Consequently, neurogenesis of this group of neurons in the adult brain has drawn considerable interest for the purpose of harnessing endogenous neurogenerative potential as well as devising better strategies for stem cell therapy for PD. However, the existence of adult neurogenesis for DA neurons within the SN remains controversial. To overcome technical and design limitations associated with previous studies, our group has developed a novel genetic mouse model for assessing adult nigral DA neurogenesis. This system utilizes transgenic mice that express a tamoxifen-activatable Cre recombinase (Cre<sup>ERT2</sup>) under the control of the neuronal progenitor cell promoters *nestin* or *Sox2* leading to suppression of the DA neuron marker tyrosine hydroxylase (TH) via excision of exon 1 by flanking *loxP* sites in adult animals. This study reports that six months following initiation of a six week treatment with tamoxifen mice with *nestin*-mediated *Th* excision displayed a significant reduction in TH+ neurons in the SN. This finding indicates that nestin-expressing cells regenerate DA neurons within the SN of adult animals. Interestingly, no reduction was observed in TH+ cells following *Sox2*-mediated *Th* excision suggesting that a nestin+/SOX2– precursor cell population drives DA neurogenesis in the adult SN. This information represents a substantial leap in current knowledge of adult DA neurogenesis, will enable improved *in vitro* and *in vivo* modeling, as well as facilitate the harnessing of this process for therapeutic intervention for PD.

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**Abbreviations:** PD, Parkinson's disease; AD, Alzheimer's disease; DA, dopaminergic; TH, tyrosine hydroxylase; Sox2, SRY (sex determining region Y)-box 2; SGZ, subgranular zone; SVZ, subventricular zone; SC, stem cell; NPC, neuronal precursor cell; 6-OHDA, 6-hydroxydopamine; MPTP, 1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine.

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## 1. Introduction

Parkinson's disease (PD) is the most common motor disorder and the second most prevalent neurodegenerative disease. PD motor dysfunction (rigidity, tremor, bradykinesia, and postural instability) results from loss of dopaminergic (DA) neurons in the substantia nigra (SN). However, a basic understanding of the mechanism for DA neuron loss remains elusive. Consequently, only symptomatic treatments exist for PD and none that address the underlying neurodegeneration. Determining whether DA neurons are replenished in the adult SN is fundamental to understanding the loss of these neurons during PD. One possibility is that suppression of adult DA neurogenesis could be a driving force toward PD. Additionally, exploiting endogenous neurogenesis could offer potential avenues for therapy as well as better inform stem cell transplantation efforts.

Deficiencies in the process of adult neurogenesis have been strongly associated with Alzheimer's disease (AD), a neurodegenerative disorder sharing many epidemiological features with PD. Hippocampal neurons responsible for memory processing are preferentially lost in AD and evidence suggests this may be due, in part, to decreased neurogenesis (reviewed in Ref. [1]). Hippocampal adult neurogenesis occurs at a high rate throughout life but appears to decrease sharply in human AD as well as mouse models of the disease. Interventions that promote adult neurogenesis improve preclinical model outcomes for histopathology as well as functionality. Neurogenesis of hippocampal neurons in adult humans and rodents begin from stem cell (SC) populations residing in the subgranular zone (SGZ) of the dentate gyrus. SC populations are relatively less differentiated and possess replicative capacity. Therefore, these cells can regenerate the SC pool as well as contribute progeny that can differentiate into neuronal precursor cells (NPCs) that are post-mitotic and committed to neuronal fates.

In addition to hippocampal neurons, olfactory neurons are replenished throughout the life of primates and rodents. SCs responsible for this process reside in the subventricular zone (SVZ). Once born, NPCs migrate a considerable distance to the olfactory bulb before integration and final differentiation into functional neurons. Currently, the SGZ and SVZ are the only known locations for SC generation in the adult mammalian brain. However, whether NPC pools reside elsewhere or transdifferentiation to NPCs from existing cell types occur remains to be determined.

The evidence for a contribution of adult neurogenesis to PD is inconclusive. Reduced proliferation of SVZ cells has been reported in human PD [2,3]. Additionally, a number of studies have reported that wide-spread overexpression of a protein known to be a monogenic cause of rare forms of PD, alpha-synuclein (WT, A53T, E46K, and A30P), in mice results in inhibition of neurogenesis in the SGZ and SVZ [4–8]. Moreover, transgenic mouse overexpression of PD-causing mutations in leucine-rich repeat kinase 2 (LRRK2) hinder proliferation and survival of SCs in the SVZ and SGZ [9]. However, a major caveat is that none of these mouse models induce DA neuron loss in the SN so the relevance to DA neurogenesis or even PD is debated. Administering the PD model neurotoxins 6-OHDA or MPTP in rats or mice, respectively, does target DA neurons and inhibition of SC generation in the SVZ has been reported [2,10,11]. Conversely, other groups have reported increased neurogenesis following MPTP treatment [12]. In any event, the DA neuron loss in these models is rapid, easily achieving 80–100% loss within two weeks. Such a speedy loss does not mirror human progressive PD and cannot be explained by suppression of neurogenesis making connections between PD and this process using these neurotoxins dubious.

Attempts have been made to monitor adult DA neurogenesis in the SN directly using various cell lineage tracing methods with conflicting results [13–15]. Lack of compelling evidence for DA neu-

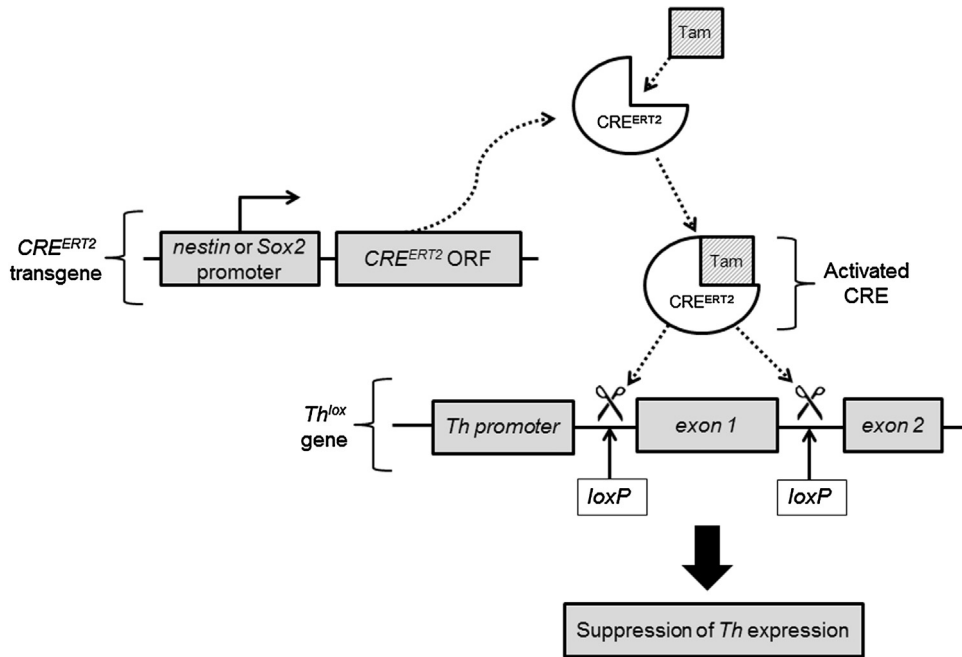
rogenesis has reinforced the prevailing notion that the presence of a toxic stimulus or trophic factor withdrawal induces mature DA neurons to undergo death in a slow and progressive manner. Therefore, while still controversial, the predominate viewpoint is that stem cell replacement of adult DA neurons in the SN does not occur at appreciable levels [13]. The most prevalent method of DA neuron lineage tracing utilizes DNA incorporation of the thymidine analog bromodeoxyuridine (Brd-U) or similar reagent to monitor for cell division of SCs. This method is problematic for a number of reasons. Firstly, Brd-U is quite toxic to the organism and to dividing cells. Secondly, Brd-U can yield false-positive results for cells undergoing DNA repair. Additionally, these regimens of Brd-U use are adopted from studies focused in regions of the brain exhibiting high levels of neurogenesis such as the hippocampus. Moreover, use of this chemical requires double-immunolabeling for the DA neuron marker tyrosine hydroxylase (TH) and Brd-U. Resolution of double-positive cells requires great care using confocal microscopy and issues have been reported [15]. This method is also not readily amenable to large sample numbers. Another common method of lineage tracing is by injection of retrovirus to permanently label SCs and NPCs. However, this requires knowledge of the precursor cell location which is currently unknown. The aim of this study was to overcome these limitations by employing a novel system that utilizes a drug that could be administered for 6–8 weeks without severe health complications, extends the post-labeling duration, and allows for accurate quantitation of DA neurons in the SN of mice by single-labeling.

## 2. Methods

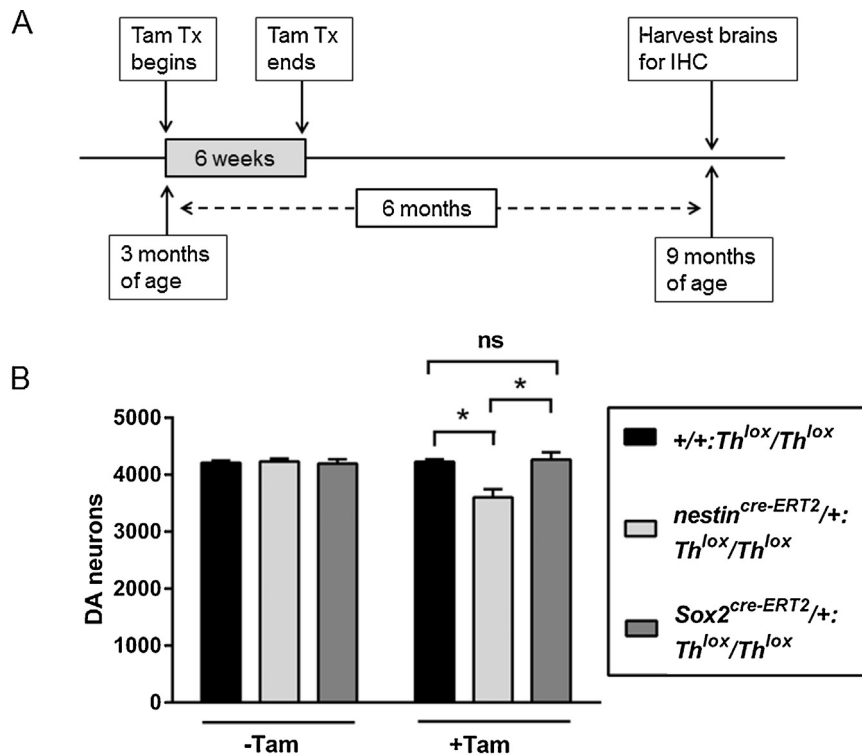
All husbandry and study procedures involving mice were performed in accordance with Boise Veterans Affairs Medical Center Institutional Animal Care and Use Committee guidelines. *Th<sup>lox</sup>* mice [16] were kindly provided by Drs. Richard Palmiter and Martin Darvas at The University of Washington. The *nestin-CRE<sup>ERT2</sup>* [17] and *Sox2-CRE<sup>ERT2</sup>* [18] mouse lines were obtained from The Jackson Laboratory. Mice were fed *ad libitum* and maintained on 12 h light–dark cycles. For tamoxifen treatment, three-month-old mice were provided 400 mg/kg tamoxifen citrate chow (Envigo) as sole food source for 6 weeks. Mice were then placed back on standard rodent chow for the remainder of the study.

For Immunohistochemistry (IHC), mice ( $n=6$  per group) were anesthetized with 5% isoflurane and transcardially perfused with 10 mL 0.1 M phosphate buffer (PB) (pH 7.2) with 1 mM EDTA followed by 10 mL 4% paraformaldehyde (PFA) in PB. Brains were harvested and then fixed in 4% PFA for 24 h at 4 °C. Next, brains were cryoprotected in 30% sucrose/PB at 4 °C until sunk. The brains were then frozen in OCT media and a Leica CM1950 cryostat used to cut 35  $\mu$ m sections. Free-floating IHC was performed with three PB washes between each step. Endogenous peroxidase activity was removed with H<sub>2</sub>O<sub>2</sub> (3%) and methanol (10%) for 30 min followed by blocking and permeabilization with 0.5% bovine serum albumin/0.2% triton X100/PB solution for 1 h. To label DA neurons a primary antibody against tyrosine hydroxylase (EMD Millipore; AB152) (1:2000 dilution) was used overnight at 4 °C. A secondary antibody conjugated to biotin (Jackson Immuno Research) (1:500 dilution) was then applied for 1 h at room temperature followed by ABC staining (Vector Labs) (A and B solutions at 1:100 dilutions) for 1 h. DA neurons were visualized using 3,3'-diaminobenzidine (DAB) (Sigma–Aldrich) substrate addition at 1 mg/mL (pH 7.2) and allowed to develop for 4 min. The reaction was stopped in ddH<sub>2</sub>O, sections placed on slides, dried, and coverslips mounted using Vectamount.

All DA neuron somas in the SN for all SN-containing sections in the right hemisphere (average of 38.6 sections per hemisphere)



**Fig. 1.** Genetic model to assess adult DA neurogenesis. Tamoxifen (Tam) treatment activates CRE activity in *nestin* or *Sox2*-expressing cells resulting in *Th* gene silencing.



**Fig. 2.** Adult DA neurogenesis by nestin positive cells. Six week treatment with tamoxifen (Tam) citrate chow (400 mg/kg chow) was used to activate *CREERT2* activity in 3-month-old transgenic mice (A). *Nestin<sup>cre-ERT2</sup>; Th<sup>lox/lox</sup>* mice showed decreased DA neurons in the SN following Tam treatment (B). DA neurons in the SN were visualized by IHC (DAB) using a TH antibody. All sections containing SN from the right hemisphere were counted and neuron totals were corrected using the Abercrombie factor. Multiple-way ANOVA was performed followed by posthoc Tukey's test (6 mice per group; error bars = SEM; \* $p < 0.05$ ; ns = not significant).

were counted using bright field microscopy. The left hemisphere was punctured with a 30 gauge needle at the time of cryosectioning to identify hemispheres. To correct for bisected DA neuron somas appearing in adjacent sections, the Abercrombie factor was determined and applied to counts [19].

Data is presented as mean  $\pm$  SEM for groups. Statistical significance ( $p < 0.05$ ) between groups was determined using

multiple-way ANOVA followed by a *post hoc* Tukey's test using GraphPad Prism 6 software.

### 3. Results

A genetic approach was developed to remove the DA neuron marker tyrosine hydroxylase (TH) from precursor cells in adult

mice (Fig. 1). Consequently, if DA neurons were replenished in adult mice, there should be a gradual loss of TH positive neurons in the SN over time following removal of the *Th* gene from precursor cells. Tamoxifen-inducible *Th* excision was chosen for this drug's ability to readily cross the blood–brain barrier and good tolerability. To target NPCs for *Th* excision, *nestin* and *Sox2* promoters were selected to drive the expression of a tamoxifen-inducible CRE recombinase (CRE<sup>ERT2</sup>) in SCs and/or NPCs. *Nestin* and *Sox2* are two of the most well-described neural progenitor markers and are not expressed in mature neurons making them good initial candidates to target DA neuron precursors. Both *nestin*-CRE<sup>ERT2</sup> [17] and *Sox2*-CRE<sup>ERT2</sup> [18] mouse lines were crossed with transgenic mice possessing the endogenous *Th* gene engineered with *loxP* sites flanking exon 1 [16] thereby allowing for the excision and silencing of *Th* in the presence of CRE activity. Three-month-old double or single (control) transgenic mice (*nestin*<sup>CRE-ERT2</sup>:*Th*<sup>lox/lox</sup>, *Sox2*<sup>CRE-ERT2</sup>:*Th*<sup>lox/lox</sup>, or *Th*<sup>lox/lox</sup>) were treated with or without tamoxifen-laden chow for six weeks (Fig. 2A). Six months following initiation of tamoxifen treatment brains were harvested and DA neurons in the SN counted. Remarkably, tamoxifen treated *nestin*<sup>CRE-ERT2</sup>:*Th*<sup>lox/lox</sup> mice exhibited significantly fewer TH+ neurons in the SN than controls (Fig. 2B, Fig. S1). In addition, no reduction in TH+ cells was observed in the SN of untreated *nestin*<sup>CRE-ERT2</sup>:*Th*<sup>lox/lox</sup> mice or tamoxifen-treated *Sox2*<sup>CRE-ERT2</sup>:*Th*<sup>lox/lox</sup> or *Th*<sup>lox/lox</sup> mice indicating that the loss in TH signal was due to *Th* gene excision and not the result of non-specific downregulation of *Th* expression by tamoxifen or the presence of the CRE transgene. Therefore, this result indicates that a nestin-positive population of precursors replenishes adult DA neurons in mice. However, it was surprising to find that *Sox2*<sup>CRE-ERT2</sup>:*Th*<sup>lox/lox</sup> mice showed no TH+ cell loss given that *Sox2* has been widely reported to be a ubiquitous neural SC marker [20,21].

#### 4. Discussion

This study provides compelling evidence for DA neurogenesis in the SN of adult mice by utilizing a novel cell lineage tracing model. Discovery of a nestin+ pool of DA progenitor cells will empower future studies to focus on the process of adult neurogenesis for DA neurons as well as enable locating these cells within the mammalian brain. Interestingly, this study found that *Sox2* was not expressed in progenitors that gave rise to DA neurons in the adult SN. *Sox2* expression is largely restricted to neural SCs, being turned down following cell cycle exit [22]. Additionally, *Sox2* expression is closely linked to SC function, being one of four transcription factors (Oct3/4, *Sox2*, c-Myc, and Klf4) whose overexpression in concert can induce adult somatic cells to become embryo-like SCs [23]. *Nestin* is an intermediate filament protein expressed by SCs and NPCs *in vivo* and *in vitro* and may persist for a longer period of time than *Sox2* expression (reviewed in Ref. [24]). Thus, targeting nestin-expressing cells will affect SOX2+ SCs in addition to a more differentiated progenitor population. Taken together, this may suggest that SOX2– cells giving rise to DA neurons in the adult SN exhibit greater differentiation and are not renewed by SCs. The implication would be that there is a limited supply of DA neuron progenitors available for adult neurogenesis. Therefore, depletion of this progenitor pool by normal turnover or by deleterious factors would result in an eventual loss of mature DA neurons. Another possibility is that SCs are not needed due to transdifferentiation of progenitors from another cell type. Investigation of additional neural SC and NPC markers by cell lineage tracing *in vivo* might address this.

The cause of DA neuron loss in PD has remained a mystery despite several decades of intense investigation. Over this time, considerable effort has been placed in identifying factors that induce death of mature DA neurons *in vitro* and *in vivo*. However,

if DA neurogenesis is a natural ongoing homeostatic mechanism in the brain as evidence presented here suggests, inhibition of this process could be responsible, at least in part, for the progressive loss of DA neurons observed in PD. Reports of heightened sensitivity for SC and NPC populations in the adult brain to enhanced inflammatory response and other toxic stimuli support this notion [25–27]. Interestingly, the rate for DA neuron loss in the SN using a purely inflammatory model of PD reported by two groups mirrors TH+ cell loss by *nestin*-mediated *Th* excision shown in Fig. 2B. Extrapolating for single brain hemispheres, data presented by Frank-Cannon et al. [28] and Morrison et al. [29] indicate an approximate rate of 14.3 and 12.3 DA neuron loss/day, respectively. If the total TH+ cell loss in the SN observed in Fig. 2B is divided by the tamoxifen treatment duration of six weeks, a rate of 13.9 ± 1.2 is yielded. This is an intriguing correlation that would also suggest that the enhanced inflammatory model might mediate neurodegeneration by impeding adult neurogenesis of DA neurons. Future studies are warranted to further substantiate this association.

Findings from this study may direct stem cell replacement therapy for PD. Growth of stem cell research in the late 1980's generated great interest for use of this technology as a potential PD treatment. However, clinical trials using fetal stem cells to replace lost DA neurons have yielded promising but variable results [30,31]. The variability was believed to result from inconsistent source stem cells. Thorough characterization of neural stem cells *in vivo* may allow for the development of appropriate and consistent cell types for therapy. Therefore, identification and characterization of the newly discovered nestin+/Sox2– DA neuron precursor population will provide a foundation for these investigations.

In conclusion, this study reveals the existence of a nestin+/SOX2– DA progenitor pool that replenishes mature DA neurons in the adult mouse SN. This finding has broad implications for brain biology and PD pathology and serves as a basis for future investigation of these important cells.

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#### Appendix A. Supplementary data

Supplementary data associated with this article can be found, in the online version, at <http://dx.doi.org/10.1016/j.neulet.2016.01.019>.

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