# TUKEY MORPHISMS

# <span id="page-0-0"></span>BETWEEN FINITE BINARY RELATIONS

by

Rhett Barton



A thesis

submitted in partial fulfillment of the requirements for the degree of Master of Science in Mathematics Boise State University

August 2021

© 2021 Rhett Barton ALL RIGHTS RESERVED

#### BOISE STATE UNIVERSITY GRADUATE COLLEGE

#### DEFENSE COMMITTEE AND FINAL READING APPROVALS

of the thesis submitted by

Rhett Barton

Thesis Title: Tukey Morphisms between Finite Binary Relations

Date of Final Oral Examination: 06 July 2021

The following individuals read and discussed the thesis submitted by student Rhett Barton, and they evaluated his presentation and response to questions during the final oral examination. They found that the student passed the final oral examination.



The final reading approval of the thesis was granted by Samuel Coskey, Ph.D., Chair of the Supervisory Committee. The thesis was approved by the Graduate College.

To Abby, Max, and The Third

## ACKNOWLEDGMENTS

<span id="page-4-0"></span>Thank you to John Clemens and Marion Scheepers for your ready willingness to serve on the supervisory committee.

Thank you to Jens Harlander, Samuel Coskey, and Paul Ellis, who have been excellent instructors and mentors. You have helped me feel at ease in an oftenintimidating world.

Thank you to my employer, Blue Cross of Idaho, and to my direct managers, Marc Roberts and Dave Allgrunn, who have made it possible for me to achieve this goal while pursuing my career. I will forever be grateful for your support and flexibility.

Thank you to my high school math teacher, Phil Johnson, who helped me see beauty in the subject and was an ever-encouraging presence.

Above all, thank you to my wonderful wife Lauren, for your patience, support, sacrifice, and love.

## ABSTRACT

<span id="page-5-0"></span>Let  $\mathbf{A} = (A_-, A_+, A)$  and  $\mathbf{B} = (B_-, B_+, B)$  be relations. A morphism is a pair of maps  $\varphi_$  :  $B_$  →  $A_$  and  $\varphi_+$  :  $A_+$  →  $B_+$  such that for all  $b \in B_$  and  $a \in A_+, \varphi_-(b)Aa \implies bB\varphi_+(a)$ . We study the existence of morphisms between finite relations. The ultimate goal is to identify the conditions under which morphisms exist. In this thesis we present some progress towards that goal. We use computation to verify the results for small finite relations.

# TABLE OF CONTENTS





# LIST OF TABLES

<span id="page-8-0"></span>

# LIST OF FIGURES

<span id="page-9-0"></span>



## CHAPTER 1

### INTRODUCTION

<span id="page-11-0"></span>In this thesis, we study Tukey morphisms between finite relations. Before proceeding to the topic in earnest, we give a brief outline of related concepts that give context as to the origins and motivation for this area of research.

If  $(A, \leq_A)$  and  $(B, \leq_B)$  are two partial orders, then a *Galois connection* between these is a pair of monotone functions:  $\phi : B \to A$  and  $\psi : A \to B$ , such that for all  $a \in A$  and  $b \in B$ , we have

$$
\phi(b) \leq_A a \iff b \leq_B \psi(a)
$$

Galois connections generalize the correspondence between subgroups and subfields investigated in Galois theory [\[3\]](#page-53-1).

A directed partial order  $(X, \leq_X)$  is a partial order such that every pair of elements has an upper bound. If  $(A, \leq_A)$  and  $(B, \leq_B)$  are directed partial orders, then a map  $\phi : B \to A$  is called Tukey if the preimage of each bounded subset of A is bounded in B. A map  $\psi : A \to B$  is called *cofinal* if it maps cofinal subsets of A to cofinal subsets of  $B$  [\[5\]](#page-53-2).

The existence of Tukey map from  $B$  to  $A$  is equivalent to the existence of a cofinal map from A to B [\[4\]](#page-53-3). Assuming that  $\phi$  is a Tukey map from B to A and  $\psi$  is a corresponding cofinal map from A to B, the following implication holds for all  $a \in A$  and  $b \in B$ :

$$
\phi(b) \leq_A a \implies b \leq_B \psi(a)
$$

These maps are referred to as *Galois-Tukey connections*. As the name suggests and can be seen from the implication above, they are related to Galois connections. In a sense they are more general since they relax the "if and only if" to "implies".

If such a Tukey map from B to A exists, we write  $B \leq_T A$  and say that B is Tukey reducible to A. If both  $A \leq_T B$  and  $B \leq_T A$ , we write  $A \equiv_T B$  and say that A and B are Tukey equivalent  $|5|$ .

The original motivation for Galois-Tukey connections comes from the Moore-Smith theory of convergence in general topological spaces [\[5\]](#page-53-2). However, Galois-Tukey connections have found applications in comparing complexities of various directed sets or, more generally, partial orders [\[4\]](#page-53-3).

These comparisons can reveal useful information. For example, Tukey reducibility downward preserves calibre-like properties, such as c.c.c., property K, precalibre  $\aleph_1$ , σ-linked, and σ-centered [\[2\]](#page-53-4).

Galois-Tukey connections can be generalized by letting  $(A, \leq_A)$  and  $(B, \leq_B)$  be relations, rather than partial orders. However, in that context it is not generally true that a given  $\phi$  gives rise to a corresponding  $\psi$  such that  $\phi(b) \leq_A a \implies b \leq_B \psi(a)$ . It then becomes necessary to explicitly give two mappings,  $\phi$  and  $\psi$ , that satisfy the condition

$$
\phi(b) \leq_A a \implies b \leq_B \psi(a)
$$

Pairs of maps between relations that satisfy this condition are referred to as *qen*eralized Galois-Tukey connections. Throughout this thesis, we use the convention established by Blass and refer to them as morphisms [\[1\]](#page-53-5).

In Definition [2.1.4](#page-15-1) we introduce the notion of a *dominating number* of a relation. Informally, it is the minimum size of a subset of the codomain such that every element of the domain is related to a member of that subset. The definitions of many cardinal characteristics amount to dominating numbers of specific relations. For example, the cardinal characteristic  $\mathfrak d$  is the least cardinality of a set of functions from  $\omega$  to  $\omega$  such that every function from  $\omega$  to  $\omega$  is eventually dominated by a member of that set. Then  $\mathfrak d$  is the dominating number of the  $\leq^*$  relation on  $\omega^\omega$ .

By employing the fact that many cardinal characteristics are dominating numbers, morphisms have found extensive use in the proofs of many cardinal characteristic inequalities. Once the underlying relations for two cardinal characteristics are determined, Theorem [2.1.7](#page-17-1) from Blass shows that if a morphism can be found between these relations, then an inequality exists between the cardinal characteristics [\[1\]](#page-53-5).

With the usefulness and interest of morphisms established, the focus of this thesis is not the application of morphisms, but rather what can be said about the existence of morphisms. In particular, we explore under which conditions a morphism exists between finite relations.

Chapter [2](#page-14-0) outlines definitions and results, drawn largely from Blass, which provide a foundation for the following chapters.

Chapter [3](#page-24-0) shows progress in our understanding of when morphisms exist, particularly in the finite case.

Chapter [4](#page-45-0) provides a classification for small finite relations. We apply the results from Chapter [3](#page-24-0) to these examples, as well as provide computational checks.

## CHAPTER 2

## <span id="page-14-0"></span>RELATIONS AND MORPHISMS

#### <span id="page-14-1"></span>2.1 Background

We begin with some fundamental definitions, drawing largely from Blass [\[1\]](#page-53-5). The basic objects of inquiry are *relations* and their *duals*.

<span id="page-14-3"></span>**Definition 2.1.1.** (Blass 4.1 [\[1\]](#page-53-5)) A triple  $A = (A_-, A_+, A)$  consisting of two nonempty sets  $A_-, A_+$ , and a binary relation  $A \subseteq A_- \times A_+$  is called a **relation**.

<span id="page-14-2"></span>**Definition 2.1.2.** (Blass 4.3 [\[1\]](#page-53-5)) If  $A = (A_-, A_+, A)$  then the **dual** of A is the relation  $\mathbf{A}^{\perp} = (A_+, A_-, \neg \check{A})$  where  $\neg$  denotes the complement and  $\check{A}$  is the converse of A, thus  $x\neg \check{A}y$  if and only if y  $\mathcal{A}x$ .

Figure [2.1](#page-15-0) provides an illustration of a finite relation and its dual, represented as bipartite graphs. Colors have been added to aide in the visualization. In particular, for a relation  $A = (A_-, A_+, A)$ , for a given  $a \in A_+$ , the same color is used for all  $(x, a) \in A$ . This convention is used in many of the figures throughout the thesis.

We now introduce the notion of *dominating families*. Dominating families are subsets of  $A_+$  that "cover" all of  $A_-$ . The smallest such subset is a minimal dominating family and its cardinality is called the *dominating number*.

**Definition 2.1.3.** (Blass 4.2 [\[1\]](#page-53-5)) An **A-dominating family** is a subset Y of  $A_+$ such that for every  $a \in A_+$  there exists  $\alpha \in Y$  such that  $a A \alpha$ .

<span id="page-15-0"></span>

Figure 2.1: Example of a finite relation and its dual, represented as bipartite graphs

<span id="page-15-1"></span>**Definition 2.1.4.** (Blass 4.2 [\[1\]](#page-53-5)) The **dominating number**  $\delta(A)$  of a relation A is the smallest cardinality of any A-dominating family. If no A-dominating family exists, we say that  $\delta(A) = \infty$ . We refer to an A-dominating family that has cardinality  $\delta(A)$  as a minimal A-dominating family.

The use of "minimal" in Definition [2.1.4](#page-15-1) differs slightly from what the reader might expect. Here we use it to mean "an A-dominating family of least cardinality". This definition is not equivalent to "an A-dominating family that contains no smaller A-dominating family". The former definition implies the latter, but not vice versa. Figure [2.2](#page-16-0) gives an illustration of why they are not equivalent.

In Lemma [2.1.5](#page-15-2) we see that if no A-dominating family exists, then  $A^{\perp}$  has a point in its codomain that relates to all points in its domain, i.e.  $\delta(\mathbf{A}^{\perp}) = 1$ . We return to this special edge case in Lemma [3.4.1.](#page-36-1)

<span id="page-15-2"></span>**Lemma 2.1.5.** Let  $A$  be a relation. There does not exist an  $A$ -dominating family if



<span id="page-16-0"></span>Figure 2.2: Example of a dominating family that contains no smaller dominating family, but is not of least cardinality (circled in red). For contrast, a dominating family of least cardinality is circled in blue.

and only if  $\delta(\mathbf{A}^{\perp}) = 1$ .

*Proof.* Suppose there does not exist an A-dominating family. Then there exists  $a \in$ A<sub>-</sub> such that  $a \not\! A$   $\alpha$  for all  $\alpha \in A_+$ . Then  $\alpha \neg \check{A}a$  for all  $\alpha \in A_+$ . Then  $\{a\}$  is an  $\mathbf{A}^{\perp}$ -dominating family and  $\delta(\mathbf{A}^{\perp}) = 1$ .  $\Box$ 

Definition [2.1.6](#page-16-1) provides the formal definition for morphisms. Morphisms are the primary objects by which we compare relations throughout this thesis.

<span id="page-16-1"></span>**Definition 2.1.6.** (Blass 4.8 [\[1\]](#page-53-5)) A (Tukey) **morphism** from one relation  $A =$  $(A_-, A_+, A)$  to another  $\mathbf{B} = (B_-, B_+, B)$  is a pair of functions  $\varphi = (\varphi_-, \varphi_+)$  such that:

- $\bullet \varphi_- : B_- \to A_-$
- $\bullet \varphi_+ : A_+ \to B_+$
- for all  $b \in B_-\text{ and } a \in A_+, \varphi_-(b)Aa \implies bB\varphi_+(a)$

In this thesis, we denote "there is a morphism from  $A$  to  $B$ " as  $A \rightarrow B$ .

Figure [2.3](#page-17-0) gives a diagram to help visualize this definition. The definition implies that the diagram "commutes." However, in this diagram the dotted arrows represent relations, rather than functions, so it is only an illustration.



Figure 2.3: Morphism diagram

<span id="page-17-0"></span>See Figure [2.4](#page-18-0) for an example of a morphism between two small finite relations. Dashed arrows represent the functions and, as before, bipartite graphs represent the relations. We use this visualization approach throughout the thesis.

If  $\varphi = (\varphi_-, \varphi_+)$  is a morphism from **A** to **B**, then  $\varphi^{\perp} = (\varphi_+, \varphi_-)$  is a morphism from  $\mathbf{B}^{\perp}$  to  $\mathbf{A}^{\perp}$ . We can see this by taking the contrapositive of the implication and applying Definition [2.1.2:](#page-14-2)

$$
(\varphi_{-}(b)Aa \implies bB\varphi_{+}(a)) \implies (b \not B \varphi_{+}(a) \implies \varphi_{-}(b) \not A a)
$$

$$
\implies (\varphi_{+}(a)\neg \check{B}b \implies a \neg \check{A}\varphi_{-}(b))
$$

This is also illustrated in Figure [2.4.](#page-18-0)

Theorem [2.1.7](#page-17-1) from Blass [\[1\]](#page-53-5) provides the motivation for the definition of morphism.

<span id="page-17-1"></span>**Theorem 2.1.7.** (Blass 4.9 [\[1\]](#page-53-5)) If  $\mathbf{A} \to \mathbf{B}$  then  $\delta(\mathbf{A}) \geq \delta(\mathbf{B})$  and  $\delta(\mathbf{A}^{\perp}) \leq \delta(\mathbf{B}^{\perp})$ .

*Proof.* Suppose  $(\varphi_-, \varphi_+)$  is a morphism from **A** to **B**. Let  $D_A \subseteq A_+$  be a minimal A-dominating family. Let  $Y = \varphi_+(D_A)$ . Note that  $Y \subseteq B_+$  and  $\delta(A) \geq |Y|$ . We will show that Y is a B-dominating family: For  $b \in B_-,$  consider  $\varphi_-(b) \in A_-.$ Because  $D_A$  is a A-dominating family, there exists  $a \in D_A$  such that  $\varphi_-(b)Aa$ . Then

<span id="page-18-0"></span>

Figure 2.4: Example: A morphism between two finite relations

by the definition of morphism,  $bB\varphi_+(a)$ . In particular,  $\varphi_+(a) \in Y$ . Then Y is a **B**-dominating family. Then  $\delta(\mathbf{A}) \geq |Y| \geq \delta(\mathbf{B})$ .

Since  $(\varphi_+, \varphi_-)$  is a morphism from  $\mathbf{B}^{\perp}$  to  $\mathbf{A}^{\perp}$ , the same argument can be used to show  $\delta(\mathbf{B}^{\perp}) \geq \delta(\mathbf{A}^{\perp}).$ 

If no such A-dominating family  $D_A$  exists, then by Lemma [2.1.5](#page-15-2)  $\delta(A) = \infty$  and  $\delta(\mathbf{A}^{\perp}) = 1$ . Then  $\delta(\mathbf{A}) \ge \delta(\mathbf{B})$  and  $\delta(\mathbf{A}^{\perp}) \le \delta(\mathbf{B}^{\perp})$ .  $\Box$ 

This leads us to wonder if the converse is true, i.e. is a morphism between two arbitrary relations **A** and **B** guaranteed if  $\delta(A) \ge \delta(B)$  and  $\delta(A^{\perp}) \le \delta(B^{\perp})$ ? Rather than give the reader a false sense of hope, we immediately give a counterexample to this conjecture in Figure [2.5.](#page-19-0) This counter example follows from Lemma [3.4.8.](#page-42-1)

<span id="page-19-0"></span>

Figure 2.5: Counter Example: There is no morphism from A to B despite  $\delta(\mathbf{A})=\delta(\mathbf{B})=3$  and  $\delta(\mathbf{A}^{\perp})=\delta(\mathbf{B}^{\perp})=2$ 

Given this counter example, the question of, "When does there exist a morphism between two relations?" becomes interesting. The quest to answer this question in the finite context is the motivation for the following chapters.

The contrapositive of Theorem [2.1.7](#page-17-1) gives us our first sufficient condition for morphism non-existence.

<span id="page-19-1"></span>Corollary 2.1.8. If  $\delta(\mathbf{A}) \ngeq \delta(\mathbf{B})$  or  $\delta(\mathbf{A}^{\perp}) \nleq \delta(\mathbf{B}^{\perp})$  then  $\mathbf{A} \nrightarrow \mathbf{B}$ .

#### <span id="page-20-0"></span>2.2 Finite Relations

Before proceeding on the topic of morphisms, we outline some key definitions and properties of finite relations.

As we saw previously, a finite relation can be thought of as a bipartite graph. As such, we borrow the concept of a *neighborhood* from graph theory.

**Definition 2.2.1.** The **neighborhood** of a point a in a relation  $A = (A_-, A_+, A)$  is the set of all points that relate to a,  $\{b : bAa \lor aAb\}$ , denoted as  $N_{\mathbf{A}}(a)$ .

**Definition 2.2.2.** Let A be a relation. For  $a, b \in A$ , define the **neighborhood relation** with respect to **A**, denoted  $\preccurlyeq_{\mathbf{A}}$ , as  $a \preccurlyeq_{\mathbf{A}} b$  if and only if  $N_{\mathbf{A}}(a) \subseteq N_{\mathbf{A}}(b)$ .

**Lemma 2.2.3.** Given a relation A, the neighborhood relation is a preorder on  $A_+$ and A−, i.e. it is reflexive and transitive.

Proof. This is obvious by the reflexivity and transitivity of the subset relation:

- Reflexive:  $N_{\mathbf{A}}(a) \subseteq N_{\mathbf{A}}(a)$  for all  $a \in A_+$ .
- Transitive: If  $N_{\mathbf{A}}(a) \subseteq N_{\mathbf{A}}(b)$  and  $N_{\mathbf{A}}(b) \subseteq N_{\mathbf{A}}(c)$ , then  $N_{\mathbf{A}}(a) \subseteq N_{\mathbf{A}}(c)$  for all  $a, b, c \in A_{+}.$

These arguments work similarly for  $A_-\$ .

Note that the neighborhood relation is not anti-symmetric, so it is not a partial order:  $N_{\mathbf{A}}(a) \subseteq N_{\mathbf{A}}(b)$  and  $N_{\mathbf{A}}(b) \subseteq N_{\mathbf{A}}(a)$  imply that  $N_{\mathbf{A}}(a) = N_{\mathbf{A}}(b)$  but not that  $a = b$ .

We now define the notions of "maximal", "minimal", and "twin" points with respect to the neighborhood preorder. Figure [2.6](#page-21-0) provides an illustration.

 $\Box$ 

**Definition 2.2.4.** Let **A** be a relation and let  $X = A_-\$  or  $X = A_+$ . A point  $a \in X$ is **A-maximal** in the neighborhood preorder on X if there does not exist  $b \in X$  such that  $N_{\mathbf{A}}(a) \subsetneq N_{\mathbf{A}}(b)$ , i.e. the neighborhood of a is not a proper subset of any other neighborhood.

<span id="page-21-1"></span>**Definition 2.2.5.** Let **A** be a relation and let  $X = A_-\$  or  $X = A_+$ . A point  $a \in X$ is **A-minimal** in the neighborhood preorder on X if there does not exist  $b \in X$  such that  $N_{\mathbf{A}}(b) \subsetneq N_{\mathbf{A}}(a)$ , i.e. the neighborhood of a is not a proper superset of any other neighborhood.

<span id="page-21-0"></span>**Definition 2.2.6.** Let **A** be a relation and let  $X = A_-\text{ or } X = A_+$ . Two points  $a, b \in \mathbb{R}$ X are said to be **A-twins** if  $N_{\mathbf{A}}(a) = N_{\mathbf{A}}(b)$ , i.e. they have the same neighborhoods.



Figure 2.6: Illustration of maximal points (red), minimal points (blue), and twins (green)

A minimal point in A is maximal in  $A^{\perp}$ . Similarly, a maximal point in A is minimal in  $A^{\perp}$ .

<span id="page-21-2"></span>**Lemma 2.2.7.** Let A be a relation. A point  $a \in A$  is A-minimal if and only if it is  $A^{\perp}$ -maximal. A point  $a \in A_{-}$  is  $A$ -maximal if and only if it is  $A^{\perp}$ -minimal.

*Proof.* Let  $a \in A_-\$  be A-minimal. By Definition [2.2.5,](#page-21-1) there does not exist  $b \in A_-\$ such that  $N_{\mathbf{A}}(b) \subsetneq N_{\mathbf{A}}(a)$ . If there exists  $c \in A_{-}$  such that  $N_{\mathbf{A}}(c) \subseteq N_{\mathbf{A}}(a)$ , then a and c are twins. Then by definition of the subset relation, if there exists  $c \in A_-\text{ such}$ that  $cAx \implies aAx$  for all  $x \in A_+$ , then a and c are twins. By the contrapositive, if there exists  $c \in A_-\text{ such that } a \not\uparrow x \implies c \not\uparrow x$  for all  $x \in A_+$ , then a and c are twins. Then by Definition [2.1.1,](#page-14-3) if there exists  $c \in A_-\text{ such that } x \rightarrow A\dot{a} \implies x \rightarrow \dot{A}c$ for all  $x \in A_+$ , then a and c are twins. Then if there exists  $c \in A_-$  such that  $N_{\neg \check{A}}(a) \subseteq N_{\neg \check{A}}(c)$ , then a and c are twins. Then there does not exist  $b \in A_-\text{ such}$ that  $N_{-\tilde{\mathbf{A}}}(a) \subsetneq N_{-\tilde{\mathbf{A}}}(b)$ , which is the definition of  $\mathbf{A}^{\perp}$ -maximal. A similar argument shows that a point  $a \in A_-\$  is A-maximal if and only if it is A<sup>⊥</sup>-minimal.

<span id="page-22-2"></span>Corollary 2.2.8. Let A be a relation. A point  $a \in A_+$  is A-minimal if and only if it is  $A^{\perp}$ -maximal. A point  $a \in A_{+}$  is  $A$ -maximal if and only if it is  $A^{\perp}$ -minimal.

*Proof.* Let  $\mathbf{B} = \mathbf{A}^{\perp}$ . Then  $B_{-} = A_{+}$ ,  $B_{+} = A_{-}$ ,  $B = \neg \check{A}$ , and  $\mathbf{B}^{\perp} = \mathbf{A}$ . By Lemma [2.2.7,](#page-21-2)  $b \in B_-\$  is **B**-minimal if and only if it is  $\mathbf{B}^{\perp}$ -maximal. Then  $b \in A_+$ is  $A^{\perp}$ -minimal if and only if it is A-maximal. Similarly, by Lemma [2.2.7,](#page-21-2)  $b \in B_{-}$  is **B**-maximal if and only if it is  $\mathbf{B}^{\perp}$ -minimal. Then  $b \in A_+$  is  $\mathbf{A}^{\perp}$ -maximal if and only if it is A-minimal.  $\Box$ 

#### <span id="page-22-0"></span>2.3 Bimorphic Relations

Lemma [2.3.1](#page-22-1) proves that  ${\bf A} \to {\bf B} \wedge {\bf B} \to {\bf C} \implies {\bf A} \to {\bf C}$  (transitivity). This fact is employed widely throughout the remainder of the thesis.

<span id="page-22-1"></span>**Lemma 2.3.1.** (Transitivity) Let **A**, **B**, and **C** be relations. If  $\varphi = (\varphi_-, \varphi_+)$  is a morphism from **A** to **B** and  $\vartheta = (\vartheta_-, \vartheta_+)$  is a morphism from **B** to **C**, then  $\varsigma = (\varphi_-\circ \vartheta_-, \vartheta_+ \circ \varphi_+)$  is a morphism from **A** to **C**.

 $\Box$ 

*Proof.* If  $\varphi$  is a morphism from **A** to **B** then for all  $b \in B_-\$  and  $a \in A_+, \varphi_-(b)Aa \implies$  $bB\varphi_+(a)$ . Similarly, since  $\vartheta$  is a morphism from **B** to **C** then for all  $c \in C_-\$  and  $d \in B_+, \vartheta_-(c)Bd \implies cC\vartheta_+(d).$ 

Suppose that  $\varphi_-(\vartheta_-(c))Aa$  for some  $c \in C_-\$  and  $a \in A_+$ . Then because  $\varphi$  is a morphism,  $\vartheta_-(c)B\varphi_+(a)$ . Then because  $\vartheta$  is a morphism,  $cC\vartheta(\varphi_+(a))$ .  $\Box$ 

It is shown in Lemma [3.2.1](#page-27-1) that  $A \rightarrow A$  (reflexivity). However, it should be noted that symmetry does not hold generally, i.e.  $A \rightarrow B \iff B \rightarrow A$ . If  $A \rightarrow B \wedge B \rightarrow A$ , A and B are said to be *bimorphic*.

The full power of transitivity can be used with bimorphic relations because it allows us to consider any two bimorphic relations to be "equivalent" with respect to the morphism relation.

**Lemma 2.3.2.** Suppose A and B are bimorphic relations. Then for a relation  $C$ ,  $A \to C$  if and only if  $B \to C$ . Additionally,  $C \to A$  if and only if  $C \to B$ .

*Proof.* Suppose  $A \rightarrow C$ . Then since  $B \rightarrow A$  and by Lemma [2.3.1,](#page-22-1)  $B \rightarrow C$ . By a similar argument,  $B \to C \implies A \to C$ .

Now, suppose  $C \to A$ . Then since  $A \to B$  and by Lemma [2.3.1,](#page-22-1)  $C \to B$ . By a similar argument,  $C \to B \implies C \to A$ .  $\Box$ 

This equivalence proves useful in simplifying our quest: instead of determining the existence or non-existence of a morphism between any two finite relations, we can consider bimorphic classes of relations. In particular, we can choose to consider a representative from each bimorphic class that is of "minimal complexity." Finding bimorphic forms of minimal complexity is a focus of Chapter [3.](#page-24-0) These minimal forms serve as the building blocks for the classification of small finite relations in Chapter

#### CHAPTER 3

# <span id="page-24-0"></span>PARTIAL RESULTS ON THE MORPHISM DECISION PROBLEM

The goal of the morphism decision problem is to find sufficient conditions for  $\mathbf{A} \to \mathbf{B}$  and sufficient conditions for  $\mathbf{A} \not\to \mathbf{B}$ .

Section [3.1](#page-24-1) provides an alternative structure (minus-surjective homomorphisms) for finding morphisms between relations. The focus of Section [3.2](#page-26-0) is finding, for a given **A**, a variety of relations **A**' such that  $A \rightarrow A'$  or  $A' \rightarrow A$ . We can then use transitivity to apply these moves in sequence. Section [3.3](#page-33-0) provides one such application to result in bimorphic forms of "reduced complexity". Section [3.4](#page-36-0) outlines several sufficient conditions for morphism existence or non-existence.

#### <span id="page-24-1"></span>3.1 Homomorphisms between Relations

We start by defining a homomorphism between relations.

<span id="page-24-2"></span>**Definition 3.1.1.** A homomorphism between relations  $A = (A_-, A_+, A)$  and  $B =$  $(B_-, B_+, B)$  is a function  $f = g \cup h$  where

$$
g: A_- \to B_-
$$

$$
h: A_+ \to B_+
$$

such that if  $xAy$  then  $f(x)Bf(y)$  for all  $x \in A_-\$  and  $y \in A_+$ .

We impose further restriction on the homomorphism in Definition [3.1.2.](#page-25-1) This definition is custom-designed to yield a natural morphism, as seen in Lemma [3.1.3.](#page-25-2) Figure [3.1](#page-25-0) gives an example of such a homomorphism.

<span id="page-25-1"></span>Definition 3.1.2. A minus-surjective homomorphism between relations  $A =$  $(A_-, A_+, A)$  and  $\mathbf{B} = (B_-, B_+, B)$  is a homomorphism  $f = g \cup h$  where

$$
g: A_- \to B_-
$$

$$
h: A_+ \to B_+
$$

such that  $q$  is surjective.

<span id="page-25-0"></span>

Figure 3.1: Example: A minus-surjective homomorphism

<span id="page-25-2"></span>**Lemma 3.1.3.** Let **A**, **B** be relations and suppose  $f = g \cup h$  is a a minus-surjective homomorphism from **A** to **B**. Let  $j : B_- \to A_-$  satisfy  $g \circ j = id_{B_-}$ . Then  $\varphi = (j, h)$ is a morphism from A to B.

*Proof.* Suppose that  $j(b)Aa$  for some  $b \in B_-, a \in A_+$ . Then by Definition [3.1.1,](#page-24-2)  $f(j(b))Bf(a)$ , which simplifies to  $bBh(a)$ .  $\Box$ 

Definition [3.1.4](#page-26-1) and Lemma [3.1.5](#page-26-2) give us a tool for comparing certain closelyrelated relations using homomorphisms.

<span id="page-26-1"></span>**Definition 3.1.4.** Let  $A = (A_-, A_+, A)$  and  $B = (B_-, B_+, B)$  be relations such that  $A_-\subseteq B_-\text{ and }A_+\subseteq B_+$ . The inclusion function from A to B  $i=j\cup k$  is defined such that:

- $i: A_-\rightarrow B_-$
- $k: A_{+} \rightarrow B_{+}$
- $j(a) = a$  for all  $a \in A$
- $k(a) = a$  for all  $a \in A_+$

<span id="page-26-2"></span>**Lemma 3.1.5.** The inclusion function from  $A = (A_-, A_+, A)$  to  $B = (B_-, B_+, B)$  is a homomorphism if and only  $A \subseteq B$ .

*Proof.* Suppose that  $A \subseteq B$  and  $aAb$ . Then  $aBb$ , which implies that  $i(a)Bi(b)$ . Then  $i$  is a homomorphism.

Now suppose that i is a homomorphism and  $aAb$ . Then  $i(a)Bi(b)$ , which implies that  $aBb$  and  $A \subseteq B$ .  $\Box$ 

#### <span id="page-26-0"></span>3.2 Incremental Transformations

Lemma [3.2.1](#page-27-1) shows that adding edges to a relation  $A$  results in a relation  $A'$  such that  $\mathbf{A} \to \mathbf{A}'$ . Letting  $A' = A$  proves that the morphism relation has the reflexive property, i.e.  $A \rightarrow A$ .

<span id="page-27-1"></span>**Lemma 3.2.1.** Let  $A = (A_-, A_+, A)$  and  $A' = (A_-, A_+, A')$  be relations such that  $A \subseteq A'$ . Then there exists a morphism from **A** to **A**'.

*Proof.* Since  $A \subseteq A'$ , by Lemma [3.1.5,](#page-26-2) the inclusion function  $i = j \cup k$  from **A** to **A**<sup>'</sup> is a homomorphism. Since  $j : A_-\to A_-\$  is bijective, it has a unique inverse. Then by Lemma [3.1.3,](#page-25-2)  $(j^{-1}, k)$  is a morphism from **A** to **A'**.  $\Box$ 

<span id="page-27-0"></span>

Figure 3.2: Illustration of Lemma [3.2.1.](#page-27-1) Here we add edges to A to obtain  $A'$  and find a morphism from A to  $A'$ .

In Definition [3.2.2](#page-27-2) we define the induced subrelation.

<span id="page-27-2"></span>**Definition 3.2.2.** Suppose **A** is a relation and  $S \subseteq A_{-}$  and  $R \subseteq A_{+}$ . The **induced** subrelation  $A[S, R]$  is the relation whose points consist of S and R and for any two points  $s \in S$  and  $r \in R$ , s and r are related in  $\mathbf{A}[S, R]$  if and only if s and r are related in **A**. That is,  $\mathbf{A}[S, R] = \{S, R, A'\}$ , where  $A' = A \upharpoonright S \times R$ .

Effectively, induced subrelations can be used to "delete" points. When points are deleted from A−, there is a morphism from the original relation to the induced subrelation. This is illustrated in Figure [3.3.](#page-28-0)

<span id="page-28-1"></span>**Lemma 3.2.3.** Suppose **A** is a relation and  $A' = A[S, A_+] = (S, A_+, A')$  is an induced subrelation of A. Then there exists a morphism from A to  $A'$ .

*Proof.* Let  $i_ - : S \to A_-$  and  $i_ + : A_+ \to A_+$  be the inclusion maps. Suppose  $i_-(x)Ay$ for some  $x \in S$  and  $y \in A_+$ . Since  $i_-\$  is the inclusion function,  $xAy$ , i.e.  $(x, y) \in A$ . Then by definition of A',  $xA'y$ . Because  $i_+ : A_+ \to A_+$  is the inclusion function,  $xA'i_{+}(y).$  $\Box$ 

<span id="page-28-0"></span>

Figure 3.3: Illustration of Lemma [3.2.3.](#page-28-1) Here we delete points from A<sup>−</sup> to  $\rm{obtain~A'}$  and find a morphism from A to  $\rm{A'}.$ 

However, if only non-minimal points are deleted from  $A_$ , there is also a morphism that goes the other direction. This is illustrated in Figure [3.4.](#page-29-0)

<span id="page-28-2"></span>**Lemma 3.2.4.** Let  $A = (A_-, A_+, A)$  and  $M \subseteq A_-$  be the set of all  $A$ -minimal points in A\_. Let  $\mathbf{A}' = \mathbf{A}[N, A_+]$ , where  $M \subseteq N \subseteq A_-$ . Then there exists a morphism from  ${\bf A}^\prime$  to  ${\bf A}$ .

*Proof.* Define  $\varphi_- : A_- \to N$  as follows: For all  $a \in A_-,$ 

$$
\varphi_-(a)=\begin{cases}a&\text{ when }a\in M\\ b&\text{ when }a\notin M\text{ for some }b\in M\text{ chosen such that }N_{\mathbf{A}}(b)\subsetneq N_{\mathbf{A}}(a)\end{cases}
$$

Let  $i_+$  be the identity function from  $A_+$  to  $A_+$ . We will show that  $(\varphi_-, i_+)$  is a morphism from **A**' to **A**: Suppose that  $\varphi_-(x)A'y$  for some  $x \in A_-\$  and  $y \in A_+$ . If  $x \in M$ , then  $xA'y$ . Since  $A' \subseteq A$ , this implies that  $xAy$ . Then  $xAi_{+}(y)$  also. If  $x \notin M$ , then  $\varphi_-(x) = z$  for some  $z \in M$  chosen such that  $N_{\mathbf{A}}(z) \subsetneq N_{\mathbf{A}}(x)$ . Then  $zA'y$  and  $zAy$ . This implies that  $xAy$ , and so  $xAi_{+}(y)$ .  $\Box$ 

Then by Lemma [3.2.4](#page-28-2) and Lemma [3.2.3,](#page-28-1) the resulting relation obtained by removing non-minimal points from  $A_$  is bimorphic with the original relation.

<span id="page-29-0"></span>

Figure 3.4: Illustration of Lemma [3.2.4.](#page-28-2) Here we delete non-minimal points from  $A_-$  to obtain  $\textbf{A}^\prime$  and find a morphism from  $\textbf{A}^\prime$  to  $\textbf{A}$ .

The dual notion is deleting non-maximal points from  $A_{+}$ . Deleting points from  $A_{+}$  results in a new relation that can morphism onto the original. This is illustrated in Figure [3.5.](#page-30-0)

<span id="page-30-1"></span>**Lemma 3.2.5.** Suppose **A** is a relation and  $A' = A[A_-, R] = \{A_-, R, A'\}$  is an induced subrelation of A. Then there exists a morphism from  $A'$  to  $A$ .

*Proof.* Note that  $A_-\subseteq A_-, R \subseteq A_+$ , and  $A' \subseteq A$ . Then the inclusion function from  $A'$  to A is a homomorphism (Lemma [3.1.5\)](#page-26-2). Further, the inclusion function is minus-surjective, since  $A_ - = A_$ . Then by Lemma 3.1.[3,](#page-25-2) there is a morphism from  ${\bf A}^{\prime}$  to  ${\bf A}$ .  $\Box$ 

<span id="page-30-0"></span>

Figure 3.5: Illustration of Lemma [3.2.5.](#page-30-1) Here we delete points from  $A_+$  to obtain  $\text{A}^{'}$  and find a morphism from  $\text{A}^{'}$  to  $\text{A}.$ 

However, if only non-maximal points are deleted from  $A_{+}$ , there is also a morphism that goes the other direction. This is illustrated in Figure [3.6.](#page-31-0)

<span id="page-30-2"></span>**Lemma 3.2.6.** Let  $A = (A_-, A_+, A)$  and  $M \subseteq A_+$  be the set of all  $A$ -maximal points in  $A_+$ . Let  $\mathbf{A}' = \mathbf{A}[A_-, N]$ , where  $M \subseteq N \subseteq A_+$ . Then there exists a morphism from  $\mathbf{A}$  to  $\mathbf{A}^{\prime}$ .

*Proof.* Define  $\varphi_+ : A_+ \to N$  as follows: For all  $a \in A_+$ ,

$$
\varphi_+(a) = \begin{cases} a & \text{ when } a \in M \\ b & \text{ when } a \notin M \text{ for some } b \in M \text{ chosen such that } N_{\mathbf{A}}(a) \subsetneq N_{\mathbf{A}}(b) \end{cases}
$$

Let  $i_-$  be the identity function from  $A_-\;$  to  $A_-\;$ . We will show that  $(i_-, \varphi_+)$  is a morphism from **A** to **A**': Suppose that  $i_-(x)Ay$  for some  $x \in A_-\$  and  $y \in A_+$ . Then xAy. If  $y \in M$ , then  $xA'y$  by definition of  $\mathbf{A}'$ . Then  $xA'\varphi_+(y)$ . If  $y \notin M$ , then  $\varphi_+(y) = z$  for some  $z \in M$  chosen such that  $N_{\mathbf{A}}(y) \subsetneq N_{\mathbf{A}}(z)$ . This implies  $xAz$ . Then  $xA'z$  and so  $xA'\varphi_+(y)$ .  $\Box$ 

<span id="page-31-0"></span>

Figure 3.6: Illustration of Lemma [3.2.6.](#page-30-2) Here we delete non-maximal points from  $A_+$  to obtain  $\textbf{A}^\prime$  and find a morphism from  $\textbf{A}$  to  $\textbf{A}^\prime$ 

Twin points can be seen as duplicate points in the relation, and as such can be removed to create a relation that is bimorphic with the original. This is illustrated in Figure [3.7.](#page-33-1)

<span id="page-32-0"></span>**Lemma 3.2.7.** Let **A** be a relation and let  $R \subseteq A_{-}$  and  $S \subseteq A_{+}$  be sets of points such that each point in  $A_-\setminus R$  has an  $A$ -twin in R and each point in  $A_+\setminus S$  has an **A**-twin in S. Let  $A' = A[R, S]$ . Then there is a morphism from A to A' and from  ${\bf A}^\prime$  to  ${\bf A}$ .

*Proof.* Let  $i_ - : R \to A_-$  be the inclusion function and define  $\varphi_+ : A_+ \to S$  as

$$
\varphi_+(x) = \begin{cases} x & \text{when } x \in S \\ y & \text{when } x \in A_+ \setminus S \text{ for } y \in S \text{ such that } y \text{ is an } A\text{-twin of } x \end{cases}
$$

Then we see that  $(i_-, \varphi_+)$  is a morphism from **A** to **A**': Suppose  $i_-(b)Aa$  for some  $b \in R$  and  $a \in A_+$ . Then  $bAa$ . Either  $a \in S$  or  $a \in A_+ \setminus S$ . If  $a \in S$ , then  $bA'a$  and  $bA'\varphi_{+}(a)$ . If  $a \in A_{+} \setminus S$ , then it has an **A**-twin  $c \in S$  and  $bAc$ . This implies that  $bA'c$  and  $bA'\varphi_+(a)$ .

Now, let  $i_{+}$  :  $S \to A_{+}$  be the inclusion function and define  $\varphi_{-} : A_{-} \to R$  as

$$
\varphi_{-}(x) = \begin{cases} x & \text{when } x \in R \\ y & \text{when } x \in A_{-} \setminus R \text{ for } y \in R \text{ such that } y \text{ is an } A \text{-twin of } x \end{cases}
$$

Then we see that  $(\varphi_-, i_+)$  is a morphism from  $\mathbf{A}'$  to  $\mathbf{A}$ : Suppose  $\varphi_-(b)A'a$  for some  $b \in A_{-}$  and  $a \in S$ . Either  $b \in R$  or  $b \in A_{-} \setminus R$ . If  $b \in R$ , then  $bA'a$ . Then  $bAa$  and  $bAi_{+}(a)$ . If  $b \in A_{-} \setminus R$ , then it has an **A**-twin  $c \in R$  and cAa. This implies that  $bAa$ and  $bAi_+(a)$ .  $\Box$ 

<span id="page-33-1"></span>

Figure 3.7: Illustration of Lemma [3.2.7.](#page-32-0) Here we delete twin points from A to obtain A' and find morphisms from A to A' and from  $A'$  to A.

## <span id="page-33-0"></span>3.3 Skeleton Bimorphic Form

The operations of deleting twins, deleting non-minimal points from  $A_$ , and deleting non-maximal points from  $A_+$  allow us to transform a relation into one which is less complex, but still bimorphic with the original. We can then repeat these operations until they are no longer applicable to obtain a bimorphic form of "minimal" size.

The question arises whether the order of these operations matters. The status of the minimality or maximality of a point can change if other points are deleted first. However, the next two lemmas state that if a non-minimal or non-maximal point becomes minimal or maximal through the deletion of other points, it will become the twin of an existing point. In particular, once a point becomes "deletable," it stays deletable.

**Lemma 3.3.1.** Let  $A = (A_-, A_+, A)$  be a relation and let  $x \in A_-$  be a non  $A$ -minimal point. Let  $A' = A[A_-, A_+ \setminus \{y\}],$  for some  $y \in A_+$ . Then x is either non  $A'$ -minimal or is an  $A'$ -twin of an  $A$ -minimal point.

*Proof.* Because x is non **A**-minimal, there exists  $z \in A$  such that  $N_{\mathbf{A}}(z) \subsetneq N_{\mathbf{A}}(x)$ . Without loss of generality, suppose z is A-minimal. Suppose  $x \neg Ay$ . Then  $z \neg Ay$ . Then  $N_{\mathbf{A}'}(x) = N_{\mathbf{A}}(x)$  and  $N_{\mathbf{A}'}(z) = N_{\mathbf{A}}(z)$ . Then  $N_{\mathbf{A}'}(z) \subsetneq N_{\mathbf{A}'}(x)$  and x is non A'-minimal. Now suppose that xAy. Then either  $zAy$  or  $z \neg Ay$ .

First, suppose  $zAy$ . Then  $N_{\mathbf{A}'}(x) = N_{\mathbf{A}}(x) \setminus \{y\}$  and  $N_{\mathbf{A}'}(z) = N_{\mathbf{A}}(z) \setminus \{y\}$ . Then  $N_{\mathbf{A}'}(z) \subsetneq N_{\mathbf{A}'}(x)$  and x is non  $\mathbf{A}'$ -minimal.

Second, suppose  $z \neg Ay$ . Then  $N_{\mathbf{A}'}(x) = N_{\mathbf{A}}(x) \setminus \{y\}$  and  $N_{\mathbf{A}'}(z) = N_{\mathbf{A}}(z)$ . Then  $N_{\mathbf{A}'}(z) \subseteq N_{\mathbf{A}'}(x)$ . Then either  $N_{\mathbf{A}'}(z) \subsetneq N_{\mathbf{A}'}(x)$  and x is non  $\mathbf{A}'$ -minimal or  $N_{\mathbf{A}'}(z) = N_{\mathbf{A}'}(x)$  and x is an  $\mathbf{A}'$ -twin of an z.  $\Box$ 

**Lemma 3.3.2.** Let  $A = (A_-, A_+, A)$  be a relation and let  $x \in A_+$  be a non  $A$ -maximal point. Let  $\mathbf{A}' = \mathbf{A}[A_-\setminus\{y\},A_+]$ , for some  $y \in A_-$ . Then x is either non  $\mathbf{A}'$ -maximal or is an  $A'$ -twin of an  $A$ -maximal point.

*Proof.* Because x is non **A**-maximal, there exists  $z \in A_+$  such that  $N_{\mathbf{A}}(z) \supsetneq N_{\mathbf{A}}(x)$ . Without loss of generality, suppose z is A-maximal. Suppose  $y\neg Az$ . Then  $y\neg Ax$ . Then  $N_{\mathbf{A}'}(x) = N_{\mathbf{A}}(x)$  and  $N_{\mathbf{A}'}(z) = N_{\mathbf{A}}(z)$ . Then  $N_{\mathbf{A}'}(z) \supsetneq N_{\mathbf{A}'}(x)$  and x is non **A**'-maximal. Now suppose that  $yAz$ . Then either  $yAx$  or  $y\neg Ax$ .

First, suppose  $yAx$ . Then  $N_{\mathbf{A}'}(x) = N_{\mathbf{A}}(x) \setminus \{y\}$  and  $N_{\mathbf{A}'}(z) = N_{\mathbf{A}}(z) \setminus \{y\}$ . Then  $N_{\mathbf{A}'}(z) \supsetneq N_{\mathbf{A}'}(x)$  and x is non  $\mathbf{A}'$ -maximal.

Second, suppose  $y \neg Ax$ . Then  $N_{\mathbf{A}'}(x) = N_{\mathbf{A}}(x)$  and  $N_{\mathbf{A}'}(z) = N_{\mathbf{A}}(z) \setminus \{y\}$ . Then  $N_{\mathbf{A}'}(z) \supseteq N_{\mathbf{A}'}(x)$ . Then either  $N_{\mathbf{A}'}(z) \supsetneq N_{\mathbf{A}'}(x)$  and x is non  $\mathbf{A}'$ -maximal or  $N_{\mathbf{A}'}(z) = N_{\mathbf{A}'}(x)$  and x is an  $\mathbf{A}'$ -twin of an z.  $\Box$ 

Putting this all together, we obtain a simple algorithm for finding a less complex bimorphic form of a relation.

Definition 3.3.3. The skeleton bimorphic form of a relation A is the relation resulting from the following algorithm:

- 1. Create an induced subrelation by retaining all the **A**-maximal points in  $A_+$  and all the A-minimal points in  $A_-\$ .
- 2. Repeat Step 1 until every point in  $A_+$  is A-maximal and every point in  $A_-$  is A-minimal.
- <span id="page-35-0"></span>3. Create an induced subrelation by retaining a single representative for each set of twins.

The simplifying power of this algorithm from Definition [3.3.3](#page-35-0) can be seen in Figure [3.8.](#page-37-1) The algorithm's usefulness lies in the fact that each operation yields a relation that is bimorphic with the original, justifying the name.

Lemma 3.3.4. A relation A is bimorphic with its skeleton bimorphic form.

*Proof.* By Lemmas [3.2.3](#page-28-1) and [3.2.6,](#page-30-2) there is a morphism from  $\bf{A}$  to the relation resulting from Step 1 of Definition [3.3.3.](#page-35-0) By Lemmas [3.2.5](#page-30-1) and [3.2.4,](#page-28-2) there is a morphism from the relation resulting from Step 1 of Definition [3.3.3](#page-35-0) to  $\mathbf{A}$ . Then they are bimorphic. Then by induction,  $A$  is bimorphic with the relation resulting after Step 2. Finally, by Lemma [3.2.7,](#page-32-0)  $\bf{A}$  is bimorphic with the relation resulting after Step 3.  $\Box$ 

#### <span id="page-36-0"></span>3.4 Special Cases

This section will explore the morphism decision problem for special cases of A and **B**. The case when  $\delta(\mathbf{B}) = 1$  turns out to be very simple.

<span id="page-36-1"></span>**Lemma 3.4.1.** Let **A**, **B** be finite relations. If  $\delta(\mathbf{B}) = 1$  then there exists a morphism from  $A$  to  $B$ .

*Proof.* Let  $\varphi_+$  map all points in  $A_+$  to the element of a minimal **B**-dominating family. Then  $bB\varphi_+(a)$  is true for all  $b \in B_-\$  and  $a \in A_+$ . Then the implication  $\varphi_-(b)Aa \implies$  $bB\varphi_+(a)$  is true for all  $\varphi_-(b) \in A_-, a \in A_+$ , and  $b \in B_-.$  Then for any  $\varphi_-: B_- \to A_-,$  $\varphi = (\varphi_-, \varphi_+)$  is a morphism from **A** to **B**.  $\Box$ 

Figure [3.9](#page-38-0) example illustrates Lemma [3.4.1.](#page-36-1) Note that in this example,  $\delta(\mathbf{A}) = 2$ and  $\delta(\mathbf{B}) = 1$ . If  $\varphi_+$  maps all values of  $A_+$  to the single dominating element in  $B_+$ , then the morphism is guaranteed to work because the consequent  $bB\varphi_+(a)$  of the implication  $\varphi_-(b)Aa \implies bB\varphi_+(a)$  is always true. This can also be seen by examining the dual, in which case the antecedent  $\varphi_{+}(a) \neg Bb$  of the implication is always false, guaranteeing that the implication  $\varphi_+(a) \neg \check{B}b \implies a \neg \check{A} \varphi_-(b)$  will be true.

<span id="page-37-1"></span>

Figure 3.8: Finding the Skeleton Bimorphic Form of a Relation. Maximal points in  $A_+$  are circled in red. Minimal point in  $A_-\$  are circled in blue.

#### <span id="page-37-0"></span>3.4.1 Ladder Relations

For a given dominating number, there is a certain class of special skeleton bimorphic forms whose bipartite graphs are shaped like a ladder, motivating the Definition

<span id="page-38-0"></span>

Figure 3.9: When  $\delta(B) = 1$ , there is a morphism from A to B.

[3.4.2.](#page-38-1)

<span id="page-38-1"></span>**Definition 3.4.2.** A ladder relation L is a finite relation such that for each  $x \in L$ there exists a unique  $y \in L_+$  such that  $xLy$  and for each  $y \in L_+$  there exists a unique  $x \in L_-\$  such that  $xLy$ . A ladder relation with dominating number n is referred to as an n-ladder.

The relation A in Figure [3.9](#page-38-0) is an example of a 2-ladder.

Ladder relations are special in the sense that they can morphism onto any relation that shares their dominating number. In this way they are also the least complex relation with regards to the morphism relation for a given dominating number. Figure [3.10](#page-39-0) provides an illustration.

<span id="page-39-1"></span>**Lemma 3.4.3.** Let **A** be a ladder relation and **B** be a relation such that  $\delta(\mathbf{A}) = \delta(\mathbf{B})$ . There is a morphism from **A** to **B**.

*Proof.* Let  $D_{\mathbf{B}}$  be a minimal **B**-dominating family and let  $\varphi_+ : A_+ \to D_{\mathbf{B}}$  be a bijection. For each  $b \in B_-, bB\beta$  for some  $\beta \in D_B$ . Define  $\varphi_-(b) = a$  for  $a \in A_-$  such that  $aA(\varphi_+)^{-1}(\beta)$ .

Suppose  $\varphi_-(b)A\alpha$  for some  $b \in B_-\$  and  $\alpha \in A_+$ . Then  $\varphi_-(b)A(\varphi_+)^{-1}(\beta)$  for some  $\beta \in D_{\mathbf{B}}$ . By definition of  $\varphi_-, bB\beta$ . Then  $bB\varphi_+(\alpha)$ , which implies that  $(\varphi_-, \varphi_+)$  is a  $\Box$ morphism from A to B.

<span id="page-39-0"></span>

Figure 3.10: Example of Lemma [3.4.3.](#page-39-1) There is a morphism from the 2-ladder to any relation with dominating number 2.

Ladder relations also morphism onto other ladder relations of lesser size. An example can be seen in Figure [3.11.](#page-40-0) Then by transitivity, ladders morphism onto any relation with a dominating number less than or equal to their own.

<span id="page-40-1"></span>**Lemma 3.4.4.** If **A** and **B** are ladder relations such that  $\delta(\mathbf{A}) \geq \delta(\mathbf{B})$ , then there is a morphism from A to B.

*Proof.* Let  $\varphi_-\colon B_-\to A_-\,$  be an injective function. For each  $\alpha\in A_+$ , define  $\varphi_+(\alpha)$ as follows: For the unique  $a \in A_-\text{ such that } aA\alpha$ , if  $a = \varphi_-(b)$  for some  $b \in B_-\text{, then}$ define  $\varphi_{+}(\alpha) = \beta$ , where  $b\beta\beta$ . Otherwise,  $\alpha$  can be mapped to any point in  $B_{+}$ .

Suppose  $\varphi_-(b)A\alpha$  for some  $b \in B_-\$  and  $\alpha \in A_+$ . Then by definition of  $\varphi_+,$  $\varphi_+(\alpha) = \beta$ , where  $b\beta\beta$ . Then  $bB\varphi_+(\alpha)$ , which implies that  $(\varphi_-, \varphi_+)$  is a morphism from A to B.  $\Box$ 

<span id="page-40-0"></span>

Figure 3.11: Example of Lemma [3.4.4.](#page-40-1) There is a morphism from the 3-ladder to the 2-ladder because  $3\geq 2.$ 

#### 2-Ladders

The 2-ladder is particularly interesting because it is isomorphic to its dual. This leads to some interesting results that suggest the centrality of the 2-ladder in finite relations.

<span id="page-41-0"></span>**Lemma 3.4.5.** Let A be a relation. If  $\delta(A^{\perp}) = 2$ , then there is a morphism from A to the 2-ladder.

*Proof.* Let **B** be a 2-ladder. Note that  $\mathbf{B}^{\perp}$  is also a 2-ladder. Then by Lemma [3.4.3,](#page-39-1) there is a morphism from  $\mathbf{B}^{\perp}$  to  $\mathbf{A}^{\perp}$ . Then there exists a morphism from **A** to **B**.  $\Box$ 

In particular, this implies that any relation with a dominating number of 2 that has a dual relation with dominating number of 2 is bimorphic with the 2-ladder.

<span id="page-41-2"></span>Corollary 3.4.6. Let A be a relation. If  $\delta(A) = \delta(A^{\perp}) = 2$ , then A is bimorphic with the 2-ladder.

*Proof.* Let **B** be a 2-ladder. By Lemma [3.4.3,](#page-39-1) there is a morphism from **B** to **A**. By  $\Box$ Lemma [3.4.5,](#page-41-0) there is a morphism from A to B.

Another implication of Lemma [3.4.5](#page-41-0) is that **A** is comparable via morphism to  $A^{\perp}$ as long as at least one of them have a dominating number of 2.

<span id="page-41-1"></span>Corollary 3.4.7. For a relation A, if  $\delta(A^{\perp}) = 2$ , then there is a morphism from A to  $\mathbf{A}^{\perp}$ .

*Proof.* By Lemma [3.4.5,](#page-41-0) there is a morphism from  $A$  to the 2-ladder. By Lemma [3.4.3](#page-39-1) there is a morphism from a 2-ladder to  $A^{\perp}$ . Then by transitivity, there is a morphism from **A** to  $\mathbf{A}^{\perp}$ .  $\Box$ 

#### <span id="page-42-0"></span>3.4.2 Further Special Results on Morphism Existence

Informally, the idea behind Lemma [3.4.8](#page-42-1) is that if  $\varphi$  has to be a non-surjective function, then the points that aren't included in the image of  $\varphi$ <sub>−</sub> can be thought of as having been deleted.

<span id="page-42-1"></span>**Lemma 3.4.8.** Let **A** and **B** be relations such that  $|A_{-}| \geq |B_{-}|$ . There exists a morphism from **A** to **B** if and only if there exists  $A' = A[C, A_+]$ , where  $C \subseteq A_-$  and  $|C| = |B_-|$ , such that there exists a morphism from  $\mathbf{A}'$  to  $\mathbf{B}$ .

*Proof.* Suppose  $\varphi = (\varphi_-, \varphi_+)$  is a morphism from **A** to **B**. Note that  $|\varphi_-(B_-)| \le$  $|B_-\rangle$ , so  $\varphi_-(B_-) \subseteq C$  for some  $C \subseteq A_-$  and  $|C| = |B_-\rangle$ . Then let  $\mathbf{A}' = \mathbf{A}[C, A_+]$ and let  $\varphi'_{-}: B_{-} \to C$  be defined as  $\varphi'_{-}(x) = \varphi_{-}(x)$  for all  $x \in B_{-}$ . Then  $(\varphi'_{-}, \varphi_{+})$  is obviously a morphism from  $\mathbf{A}'$  to  $\mathbf{B}$ .

Now suppose that there exists  $\mathbf{A}' = \mathbf{A}[C, A_+]$ , where  $C \subseteq A_-$  and  $|C| = |B_-|$ , such that there exists a morphism from  $A'$  to B. By Lemma [3.2.3,](#page-28-1) there is a morphism from  $A$  to  $A'$ . Then by transitivity, there is a morphism from  $A$  to  $B$ .  $\Box$ 

We can now prove that the relations in Figure [2.5](#page-19-0) provide a counter example to the conjecture that  $\delta(\mathbf{A}) \geq \delta(\mathbf{B}) \wedge \delta(\mathbf{A}^{\perp}) \leq \delta(\mathbf{B}^{\perp}) \implies \mathbf{A} \to \mathbf{B}$ . As can been seen in Figure [3.12,](#page-43-0) each subrelation of A that retains 3 points in  $A_-\$  has a dominating number < 3. Then by Corollary [2.1.8](#page-19-1) there does not exist a morphism from any of these subrelations to B. Then by Lemma [3.4.8,](#page-42-1) there cannot exist a morphism from A to B.

Lemma [3.4.9](#page-43-1) provides a sufficient condition for a morphism to exist. Informally, the idea behind this lemmas is that if two larger relations are made up of smaller, disjoint relations, then the larger relations will have a morphism if the smaller ones do.

<span id="page-43-0"></span>![](_page_43_Figure_0.jpeg)

Figure 3.12: Counter Example from Figure [2.5](#page-19-0) continued. Each subrelation has a dominating number  $<$  3.

<span id="page-43-1"></span>Lemma 3.4.9. Let  $A$ ,  $B$ ,  $C$ , and  $D$  be disjoint relations, and let  $X$  and  $Y$  be relations such that  $X_-=A_-\cup C_-, X_+=A_+\cup C_+, X=A\cup C, Y_-=B_-\cup D_-, Y_+=B_+\cup D_+,$ 

 $Y = B \cup D$ . If there exists a morphism from **A** to **B** and from **C** to **D**, then there exists a morphism from  $X$  to  $Y$ .

*Proof.* Suppose  $\varphi = (\varphi_-, \varphi_+)$  is a morphism from **A** to **B** and  $\vartheta = (\vartheta_-, \vartheta_+)$  is a morphism from C to D. We will show that  $\varsigma = (\varsigma_-, \varsigma_+)$ , where  $\varsigma_- = \varphi_- \cup \vartheta_-$  and  $\varsigma_+ = \varphi_+ \cup \vartheta_+$ , is a morphism from **X** to **Y**: Suppose for some  $y \in Y_-\$  and  $x \in X_+$ that  $\varsigma_-(y)Xx$ . Then either  $y \in B_-, x \in A_+$  and  $\varphi_-(x)Ay$  or  $y \in D_-, x \in C_+$  and  $\vartheta_-(x)Cy$ . This implies either  $xB\varphi_+(y)$  or  $xD\vartheta_+(y)$ . Either way,  $xY\varsigma_+(y)$ .  $\Box$ 

#### CHAPTER 4

## <span id="page-45-0"></span>CLASSIFICATION OF SMALL FINITE RELATIONS

**Definition 4.0.1.** The set of relations with  $m$  elements in the domain and  $n$  elements in the codomain will be denoted as  $\mathbf{R}_{m,n}$ . i.e.

$$
\mathbf{R}_{m,n} = \{ (A_-, A_+, A) : |A_-| = m \land |A_+| = n \}
$$

We observe that if  $m' \leq m$  and  $n' \leq n$ , for all  $A \in \mathbf{R}_{m',n'}$ , there exists  $A' \in \mathbf{R}_{m,n'}$ such that **A** and **A**' are bimorphic. This is because a smaller relation can be "padded" with twins to create a morphism that is bimorphic with the original (see Lemma [3.2.7\)](#page-32-0). Rather than study morphisms between all relations in  $\mathbf{R}_{m',n'}$  such that  $m' \leq m$  and  $n' \leq n$ , it suffices to study the relations just in  $\mathbf{R}_{m,n}$ .

#### <span id="page-45-1"></span>4.1  $\ R_{5,5}$  and Computation

This section focuses on classifying the morphism problem for all relations in  $\mathbf{R}_{m,n}$ such that  $m \leq 5$  and  $n \leq 5$ . Even though we could just consider the relations in  $\mathbf{R}_{5,5}$ , we include the smaller relations due to the ease of computation. The computation is done using the R programming language. The full code is included in Appendix [A.](#page-54-0)

In the code, we represent the relations primarily as incidence matrices. For a given  $\mathbf{A} = (A_-, A_+, A)$ , the elements of  $A_-$  are represented as rows and the elements of  $A_+$  as columns of the matrix. For each element  $x_{ij}$  of the matrix,  $x_{ij} = 1$  if the corresponding elements of  $A_-\$  and  $A_+\$  relate to each other. Otherwise,  $x_{ij} = 0$ . For example, the code generates the following  $4 \times 3$  matrix, representing a relation with 4 elements in  $A_-\$  and 3 elements in  $A_+$ :

![](_page_46_Picture_126.jpeg)

We can also convert these matrix objects to directed graph objects. This is helpful for visualization and for checking for isomorphisms between relations. Using the same matrix from the previous example:

```
> graph_from_matrix(matrices_1to5_x_1to5$Size_4x3[[101]])
IGRAPH 59f9fa7 D--B 7 6 --
+ attr: type (v/l)
+ edges from 59f9fa7:
[1] 1->6 2->6 3->5 3->7 4->5 4->6
```
![](_page_47_Figure_0.jpeg)

Using this framework, we generated all finite relations in  $\mathbf{R}_{m,n}$  such that  $m \leq 5$ and  $n \leq 5$ . We then ran all of these relations through the algorithm defined in Definition [3.3.3](#page-35-0) to get their corresponding skeleton bimorphic form. This resulted in 32 unique (up to isomorphism) relations. The graphical output for each of these can be seen in Table [4.1.](#page-50-0)

This set of 32 is closed with respect to the dual operation, that is, for each A in the set,  $\mathbf{A}^{\perp}$  is also in the set. This is explained by Lemma [2.2.7](#page-21-2) and Corollary [2.2.8,](#page-22-2) which state that points in A<sub>-</sub> that are **A**-minimal are  $A^{\perp}$ -maximal and points in  $A_{+}$ that are **A**-maximal are  $A^{\perp}$ -minimal (and vice versa).

For each relation in Table [4.1,](#page-50-0) the dual and the associated dominating numbers are included in Table [4.2.](#page-51-0) This is output from the code.

To check for morphisms between each of the 32 relations, we ran the following algorithm for each pair of relations. The corresponding function in R code is called morphism from  $A$ toB and can be seen in Appendix [A.](#page-54-0)

- 1. Input two adjacency matrices, A and B, representing the relations. (Rows of A represent the elements of  $A_-,$  Columns of A represent the elements of  $A_+$ .)
- 2. Find all the possible functions  $\varphi_-\colon B_-\to A_-\,$  by getting all permutations (with repetition) of the rows of **A** of length  $|B_-\rangle$ .
- 3. Find all the possible functions  $\varphi_+ : A_+ \to B_+$  by getting all permutations (with repetition) of the columns of **B** of length  $|A_+|$ .
- 4. For each combination of  $\varphi$  and  $\varphi$ <sub>+</sub>, do the following:
	- (a) Create a  $|B_-| \times |A_+|$  matrix representing the relation  $\varphi_-(b_i)A_a_j$ . Call this matrix  $M_1$ .
	- (b) Create a  $|B_-| \times |A_+|$  matrix representing the relation  $b_i B\varphi_+(a_j)$ . Call this matrix  $M_2$ .
	- (c) Compare  $M_1$  and  $M_2$  coordinate-wise. If each element of  $M_2$  is greater than or equal to its corresponding element of  $M_1$ , then  $(\varphi_-, \varphi_+)$  is a morphism from A to B.

Each pair of  $\bf{A}$  and  $\bf{B}$  such that there is a morphism from  $\bf{A}$  to  $\bf{B}$  is output from the code and can be seen in Appendix [B.](#page-64-0)

The Hasse Diagram in Figure [4.1](#page-52-0) is created by using the output in Appendix [B.](#page-64-0) A line that goes *downward* from a relation  $\bf{A}$  to a relation  $\bf{B}$  signifies that there exists a morphism from  $A$  to  $B$ . Relations that are bimorphic are included on the same line and are separated by comma. The dominating number information from Table [4.2](#page-51-0) is also included.

#### <span id="page-48-0"></span>4.2 Discussion of Hasse Diagram

The Hasse Diagram allows us to observe experimentally many of the behaviors that were described in Chapter [3.](#page-24-0)

As stated in Lemma [3.4.4,](#page-40-1) ladders of larger size morphism onto ladders of smaller size. As stated in Lemma [3.4.3,](#page-39-1) ladders morphism onto relations that have the same dominating number.

As stated in Lemma [3.4.5,](#page-41-0) if  $\delta(\mathbf{A}^{\perp}) = 2$  then **A** morphisms onto the 2-ladder and, as stated in Corollary [3.4.7,](#page-41-1) A morphisms onto  $A^{\perp}$ . As stated in Corollary [3.4.6,](#page-41-2) all relations **A** such that  $\delta(\mathbf{A}) = \delta(\mathbf{A}^{\perp}) = 2$  are bimorphic with the 2-ladder. These results, along with duality, provide explanation for the symmetry of the diagram.

The 3-ladder (4) is bimorphic with 16. This was anticipated by Lemma [3.4.9](#page-43-1) since  $4 = 3 \cup 2$  and  $16 = 9 \cup 2$  (note that 3 and 9 are bimorphic).

Question 4.2.1. Can we find general lemmas that justify the existence (and nonexistence) of all the morphisms in the Hasse diagram?

Question 4.2.2. What does the classification look like for larger relations?

Question 4.2.3. What structural properties does the morphism order satisfy? (infinite chains, antichains, etc.)

**Question 4.2.4.** How does the number of skeleton bimorphic relations grow in  $\mathbf{R}_{k,k}$ for  $k > 5$ ?

**Question 4.2.5.** Can we find a "simpler" bimorphic form? i.e. one that has the property that if  $A$  and  $B$  are bimorphic then they are isomorphic? What is the algorithm to arrive at such a form?

Question 4.2.6. What is the distribution of dominating numbers for finite relations up to a certain size? What is the bivariate distribution of  $\delta({\bf A})$  and  $\delta({\bf A}^{\perp})$ ?

![](_page_50_Figure_0.jpeg)

<span id="page-50-0"></span>![](_page_50_Figure_1.jpeg)

$\bf A$	$\perp$	$\delta(\underline{A})$	$\delta(\bm{A})$
$\overline{1}$		$\frac{1}{\infty}$	$\mathbf{1}$
$\overline{2}$	$\frac{2}{1}$		
	$\begin{array}{c} 3 \\ 5 \\ 4 \end{array}$	$\frac{2}{3}$	
$\begin{array}{c} 3 \\ 4 \\ 5 \\ 6 \\ 7 \\ 8 \\ 9 \\ \end{array}$		$\begin{array}{c} 2 \\ 4 \\ 3 \end{array}$	
	10 <sup>1</sup>		
	8		
	$\overline{7}$		
	$\overline{9}$		
10	$\overline{6}$		
11	12	$\begin{array}{c} 2 \\ 2 \\ 2 \end{array}$	
12	11	$\overline{2}$	
13	32	$\overline{5}$	
14	29	$\frac{4}{3}$	
15	27		
16	30	3	
17	18	$\overline{3}$	
18	17	$\frac{2}{2}$	
19	28		
<b>20</b>	31	3	
21	26	3	
22	25	$\frac{2}{3}$	
23	24		
$\frac{24}{25}$	<b>23</b>		
	22		
26	21		
27	15		
28	19		
29	14	$222222$ $2222$	
30	16		
31	20		
32	13	$\overline{2}$	$\overline{5}$

<span id="page-51-0"></span>Table 4.2: Duals and Dominating Numbers for  $R_{5,5}$  Skeleton Bimorphic Forms

<span id="page-52-0"></span>![](_page_52_Figure_0.jpeg)

Figure 4.1: Hasse Diagram of  $\mathbf{R}_{5,5}$  Skeleton Bimorphic Forms

#### REFERENCES

- <span id="page-53-5"></span><span id="page-53-0"></span>[1] Andreas Blass. Combinatorial Cardinal Characteristics of the Continuum. In: Foreman M., Kanamori A. (eds). Handbook of Set Theory. Springer, Dordrecht., 2010.
- <span id="page-53-4"></span><span id="page-53-1"></span>[2] Natasha Dobrinen and Stevo Todorcevic. Tukey types of ultrafilters, 2011.
- [3] M. Erné, J. Koslowski, A. Melton, and G. Strecker. A primer on galois connections. Annals of the New York Academy of Sciences, 704, 1993.
- <span id="page-53-3"></span>[4] Slawomir Solecki and Stevo Todorcevic. Cofinal types of topological directed orders. Annales de l'Institut Fourier, 54(6):1877–1911 (2005), 2004.
- <span id="page-53-2"></span>[5] John W. Tukey. Convergence and Uniformity in Topology. (AM-2). Princeton University Press, 1940.

## APPENDIX A

# R CODE

```
install.packages(c("igraph","R.utils","gtools","data.table","RcppGreedySetCover"))
#Define Functions
graph\_from\_matrix \leftarrow function(M, plotGraph = T, graphName = "", saveGraph = F) {
  #Convert incidence matrices to graphs
 library(igraph)
  graphs_temp <- as.directed(graph.incidence(M), mode = "arbitrary")
  if(plotGraph == T) {
    if(length(M) == 1) {
      i \leftarrow 1j \leftarrow 1} else {
      i \leftarrow \text{nrow}(M)j \leftarrow \text{ncol}(\mathbb{M})}
    layout \leftarrow matrix(c(seq(0,i-1),seq(0,j-1),rep(0,i),rep(1,j)),byrow = F,nrow = i+j, ncol = 2)
    par(max = c(0.5, 0.5, 0.5, 0.5))plot(graphs_temp,layout = layout, vertex.color = 'black',
         vertex.label = NA, vertex.size = 7,
         edge.arrow.size=1, edge.color = 'black', main = paste(graphName,sep=''))
 }
  if(saveGraph == T) {
    assign(graphName,graphs_temp,envir = .GlobalEnv)
 }
 return(graphs_temp)
}
```

```
generate_finite_relations <- function(Usizes,Vsizes,
```

```
saveMatrices = T,saveGraphs = T,
                                      matricesListName = 'matrices',graphsListName = 'graphs'
) {
  library(R.utils)
  #Generate finite relations of a given size
  library(igraph)
  library(gtools)
  grid <- expand.grid(Usizes,Vsizes)
  #Create all possible binary vectors of length j
  #2^j possibilities at each step
  perm \leq lapply(X = Vsizes, function(k) permutations(n= 2, r = k, v = 0:1,
                                                     repeats.allowed = TRUE))
  #Get "all" ixj matrices by combining (with replacement)
  #the vectors from the previous step i times
  #The zero vectors can be left out, since we are only interested in relations
  #that have dominating families
  \#((2^j-1)+i-1)!/(i!((2^j-1)-1)!) possibilities at each step
  combi \leftarrow lapply(X = 1:nrow(grid),
                  function(k) combinations(n = nrow(perm[[match(grid[k,2],Vsizes)]])-1,
                                           r = grid[k,1],v = 2:nrow(perm[[match(grid[k,2],Vsizes)]]),
                                           repeats.allowed = TRUE))
 matrix_temp <- lapply(X = 1:length(combi),
                        function(k) lapply(X = 1:nrow(combi[[k]]),
                                           function(n) matrix(perm[[match(grid[k,2],Vsizes)]]
                                                                              [combi[[k]][n,],],byrow = F, nrow = grid[k,1],
                                                               ncol = grid[k,2]))if(saveMatrices == T) {
    names(matrix_temp) <- lapply(X = 1:nrow(grid),
                                 function(k) paste0("Size_",grid[k,1],"x",grid[k,2]))
   matrix_name <- paste(matricesListName)
    assign(matrix_name,matrix_temp, envir = .GlobalEnv)
  }
  if(saveGraphs == T) {
    graphs_temp <- lapply(X = matrix_temp,
                          function(M) as.directed(graph.incidence(M), mode = "arbitrary"))
```

```
graphs_name <- paste(graphsListName)
    assign(graphs_name,graphs_temp, envir = .GlobalEnv)
  }
}
count_of_graph_generation <- function(Usizes,Vsizes) {
  n < - 0for(j in Vsizes){
    #2^j possibilities at each step
    for(i in Usizes) {
      #Get "all" ixj matrices by combining (with replacement) the vectors from
      #the previous step i times
      #The zero vectors can be left out, since we are only interested
      #in relations that have dominating families
      #((2^j-1)+i-1)!/i!((2^j-1)-1)!) possibilities at each step
      n \leftarrow n + factorial((2\hat{i}-1)+i-1)/(factorial(i)*factorial((2\hat{i}-1)-1))}
 }
  return(n)
}
dual_relation <- function(A) {
  #Find the dual of a finite relation
  Adual \leftarrow t(1- A)
  return(Adual)
}
setsystem_from_matrix <- function(M){
  library(data.table)
  #Convert incidence matrix to two column data frame (set system):
  j = ncol(M)S1 \leftarrow c()S2 \leftarrow c()for (k in 1:j) {
    # - 1st column is points in A+ (columns in the incidence matrix)
    S1 \leftarrow c(S1, rep(k, length(which(M[,k]) == 1))))# - 2nd column contain the points in A- that they relate to
    S2 <- c(S2, which(M[,k] == 1))
```

```
}
  S \leftarrow data.title("set" = S1, "element" = S2)return(S)
}
```

```
dominating_number <- function(M) {
  #Find the (approximate) dominating number of a finite relation
  #Test for if a dominating number exists
  if(0 %in% rowSums(M)) {return(NA)}
  #Test for dominating number = 1
  if(nrow(M) %in% colSums(M)) {return(1)}
  #Test for dominating number = 2
  combs \leftarrow t(combn(x = 1:ncol(M),m = 2))
  comp_value \leftarrow apply(X = combs, MARGIN = 1,function(ind) pmax(M[,ind[1]],M[,ind[2]]))
  nrow(M) %in% colSums(comp_value)
  if(nrow(M) %in% colSums(comp_value)) {return(2)}
  #Test for dominating number = 3
  combs \leftarrow t(combn(x = 1:ncol(M),m = 3))
  comp_value \leftarrow apply(X = combs, MARGIN = 1,function(ind) pmax(M[,ind[1]],M[,ind[2]],M[,ind[3]]))
  nrow(M) %in% colSums(comp_value)
  if(nrow(M) %in% colSums(comp_value)) {return(3)}
  #Test for dominating number = 4
  combs \leftarrow t(combn(x = 1:ncol(M), m = 4))
  comp_value \leftarrow apply(X = combs, MARGIN = 1,function(ind) pmax(M[,ind[1]],M[,ind[2]],M[,ind[3]],M[,ind[4]]))
  nrow(M) %in% colSums(comp_value)
  if(nrow(M) %in% colSums(comp_value)) {return(4)}
  #Otherwise use a greedy set cover algorithm
  library(RcppGreedySetCover)
  S <- setsystem_from_matrix(M)
  invisible(capture.output(res <- greedySetCover(S,TRUE)))
 D <- uniqueN(res[,1])
 return(D)
}
```
maximal\_points\_from\_matrix <- function(M,RowsOrCols = "Cols"){

```
#Identify maximal points from a matrix
  if(RowsOrCols == "Cols") {
    z \leftarrow \text{unlist}(\text{lapping}(X = 1:\text{ncol}(M)),function(k) all(unlist(lapply(X = 1:ncol(M),
                                function(n) any(M[,k,drop = FALSE] > M[,n,drop = FALSE]) |
                                                  all(M[, k, drop = FALSE] == M[, n, drop = FALSE]))))))
  }
  if(Rows0rCols == "Rows") {
    z \leftarrow \text{unlist}(\text{lapping}(X = 1:\text{nrow}(M)),function(k) all(unlist(lapply(X = 1:nrow(M)),function(n) any (M[k, drop = FALSE] > M[n, drop = FALSE]) |
                                                  all(M[k,,drop = FALSE] == M[n,,drop = FALSE]\))))))
  }
  return(z)
}
minimal_points_from_matrix <- function(M,RowsOrCols = "Rows"){
  #Identify maximal points from a matrix
  if(RowsOrCols == "Cols") {
    z \leftarrow \text{unlist}(\text{lapping}(X = 1:\text{ncol}(M)),function(k) all(unlist(lapply(X = 1:ncol(M),
                               function(n) any(M[,k,drop = FALSE] < M[,n,drop = FALSE]) |
                                                  all(M[, k, drop = FALSE] == M[, n, drop = FALSE]))))))
    return(z)
  }
  if(RowsOrCols == "Rows") {
    z \leftarrow \text{unlist}(\text{lapping}(X = 1:\text{nrow}(M)),function(k) all(unlist(lapply(X = 1:nrow(M),
                                function(n) any(M[k,,drop = FALSE] < M[n,,drop = FALSE]) |
                                                  all(M[k,,drop = FALSE] == M[n,,drop = FALSE]\))))))
    return(z)
  }
}
remove_twins <- function(M){
  #Remove twin points from a matrix
  A \leftarrow Mrow_dups <- duplicated(A)
```

```
col\_dups \leftarrow duplicated(t(A))A <- A[!row_dups,!col_dups, drop = FALSE]
  return(A)
}
skeleton_bimorphic_form <- function(M){
  A \leq - Mmaximal_points <- maximal_points_from_matrix(A,RowsOrCols = "Cols")
  minimal_points <- minimal_points_from_matrix(A,RowsOrCols = "Rows")
  while(sum(maximal_points) < length(maximal_points) ||
        sum(minimal_points) < length(minimal_points)) {
    A <- A[which(minimal_points),which(maximal_points),drop = FALSE]
    maximal_points <- maximal_points_from_matrix(A,RowsOrCols = "Cols")
    minimal_points <- minimal_points_from_matrix(A,RowsOrCols = "Rows")
  }
  A <- remove_twins(A)
 return(A)
}
canonical_form <- function(M) {
  i \leftarrow \text{nrow}(M)j \leftarrow \text{ncol}(\mathbb{M})I <- rowSums(M)
  J \leftarrow colSums(M)if(identical(I,rep(1,i)) \& identical(J,rep(1,j))) {
    return(diag(i))
  }
  else if (identical(I,rep(j-1,i)) & identical(J,rep(i-1,j))) {
    return(1-diag(i))
  }
  else {
    return(M[order(rowSums(-M)),order(colSums(-M)),drop = FALSE])
  }
}
morphism_from_AtoB <- function(A, B, printMorphisms = F) {
  library(R.utils)
  #Explicitly Test for Morphism
```

```
Amin \leftarrow 1:nrow(A)Bmin \leftarrow 1:nrow(B)
  Ap1 \leftarrow 1:ncol(A)Bpl <- 1:ncol(B)
  phimin <- permutations(n = length(Amin), r = length(Bmin), v = Amin, repeats.allowed = TRUE)
  phipl \leq permutations (n = length(Bpl), r = length(Apl), v = Bpl, repeats.allowed = TRUE)
  rowsandcols <- unlist(lapply(X = 1:nrow(phimin),
                                 function(k) lapply(X = 1:nrow(phipl),
                                     function(n) list(phimin[k,],phipl[n,]))),recursive = FALSE)
  M1 <- lapply(X = 1:length(rowsandcols), function(k) A[rowsandcols[[k]][[1]],])
  M2 <- lapply(X = 1:length(rowsandcols), function(k) B[,rowsandcols[[k]][[2]]])
  morphism \leftarrow unlist(lapply(X = 1:length(rowsandcols), function(k) all(M2[[k]] >= M1[[k]])))
  if(printMorphisms == T) {
    z <- rowsandcols[which(morphism)]
  }
  else{z <- length(which(morphism)) > 0}
  return(z)
}
#Generate all finite relations graphs of size 5x5 or less
generate_finite_relations(Usizes = 1:5,Vsizes = 1:5,
                           saveMatrices = T, saveGraphs = F,
                           matricesListName = "matrices_1to5_x_1to5",
                           graphsListName = "")
#Create Skeleton Relations
#Get the canonical form (order by degree) to make the duplicates more obvious
skeleton_matrices_1to5_x_1to5 <- lapply(X = 1:length(matrices_1to5_x_1to5),
```

```
function(k) lapply(X = matrices_1to5_x_1to5[[k]],
```

```
function(M) canonical_form(skeleton_bimorphic_form(M))))
```

```
#Find Unique (up to isomorphism) Skeleton Relations
#Remove exact duplicates
unique_skeleton_matrices_1to5_x_1to5_stage <- c(list(matrix(0)),
                                                unique(unlist(skeleton_matrices_1to5_x_1to5,
                                                recursive = FALSE)))
unique_skeleton_graphs_1to5_x_1to5_stage <- lapply(X = unique_skeleton_matrices_1to5_x_1to5_stage,
                                                   function(M) graph_from_matrix(M,plotGraph = F))
#Find all graphs that are isomorphic
isomorphic_list <- lapply(X = unique_skeleton_graphs_1to5_x_1to5_stage,
                          function(G1) unlist(lapply(X = unique_skeleton_graphs_1to5_x_1to5_stage,
                                                     function(G2) isomorphic(G1,G2,method = 'vf2'))))
isomorphism_classes <- unique(lapply(X = isomorphic_list, function(L) which(L)))
#Find all matrices that are symmetric
symmetric_list <- unlist(lapply(X = unique_skeleton_matrices_1to5_x_1to5_stage, function(M) t(isSymmetric(M))))
symmetric_indices <- which(symmetric_list)
#Choose a representative for each isomorphic class. Choose a symmetric relation if one exists.
unique_skeleton_relations_final_indices <- unlist(lapply(X = isomorphism_classes,
                                                        function(C){if(length(intersect(C,symmetric_indices)) == 0)
                                                        {C[1]} else{intersect(C,symmetric_indices)[1]}}))
unique_skeleton_matrices_1to5_x_1to5 <-
    unique_skeleton_matrices_1to5_x_1to5_stage[unique_skeleton_relations_final_indices]
unique_skeleton_graphs_1to5_x_1to5 <-
    unique_skeleton_graphs_1to5_x_1to5_stage[unique_skeleton_relations_final_indices]
#Check that skeleton relations are closed under dual
unique_skeleton_matrices_1to5_x_1to5_duals <- lapply(X = unique_skeleton_matrices_1to5_x_1to5,
                                                     function(M) dual_relation(M))
unique_skeleton_graphs_1to5_x_1to5_duals <- lapply(X = unique_skeleton_matrices_1to5_x_1to5_duals,
                                                    function(M) graph_from_matrix(M,plotGraph = F))
isomorphic_list_duals <- lapply(X = unique_skeleton_graphs_1to5_x_1to5_duals,
                                function(G1) unlist(lapply(X = unique_skeleton_graphs_1to5_x_1to5,
                                                           function(G2) isomorphic(G1,G2,method = 'vf2'))))
Aindex <- 1:length(unique_skeleton_matrices_1to5_x_1to5)
dualIndex <- unlist(lapply(X = isomorphic_list_duals, function(L) if(length(which(L)) == 0){NA}else{which(L)}))
```
51

identical(sort(dualIndex),Aindex)#returns TRUE

```
#Caclulate the Dominating Numbers, Dual Dominating Numbers, Size of A- and A+
Aminus <- unlist(lapply(X = unique_skeleton_matrices_1to5_x_1to5,function(M) nrow(M)))
Aplus <- unlist(lapply(X = unique_skeleton_matrices_1to5_x_1to5,function(M) ncol(M)))
D <- unlist(lapply(X = unique_skeleton_matrices_1to5_x_1to5,function(M) dominating_number(M)))
Ddual <- unlist(lapply(X = unique_skeleton_matrices_1to5_x_1to5,function(M) dominating_number(dual_relation(M))))
skeleton_characteristics <- cbind(Aindex,Aminus,Aplus,D,Ddual,dualIndex)
colnames(skeleton_characteristics) <- c("Aindex","Aminus","Aplus","d(A)","d(Adual)","dualIndex")
skeleton_characteristics
#Print out all skeleton graphs
lapply(X = 1:length(unique_skeleton_matrices_1to5_x_1to5),
```

```
function(k) {
  M <- unique_skeleton_matrices_1to5_x_1to5[[k]]
  mypath <- file.path("C:/Users/Jared/Desktop/Rhett Thesis",
           paste0("[",k,"].jpg", sep = ""))
  jpeg(file=mypath)
  graph_from_matrix(M, plotGraph = T, saveGraph = F)
  dev.off()
})
```

```
#Classification of morphisms for graphs up to 5x5
#Create a data frame with all combinations of skeleton relations up to 5x5
morphisms_1to5_x_1to5 <- merge(data.frame(skeleton_characteristics = skeleton_characteristics),
                               data.frame(skeleton_characteristics = skeleton_characteristics),
                               by = NULL)names(morphisms_1to5_x_1to5) <- c("Aindex","Aminus","Aplus","dA","dA_dual","A_dualIndex",
                                  "Bindex","Bminus","Bplus","dB","dB_dual","B_dualIndex")
#Explict morphism checking
MorphismsFromAtoB <-
```
 $lapply(X = 1: nrow(morphisms_1to5_x_1to5),$ function(k) morphism\_from\_AtoB(unique\_skeleton\_matrices\_1to5\_x\_1to5[[morphisms\_1to5\_x\_1to5[k,1]]], unique\_skeleton\_matrices\_1to5\_x\_1to5[[morphisms\_1to5\_x\_1to5[k,7]]],

```
printMorphisms = T))
```
MorphismFromAtoB <- unlist(lapply(1:length(MorphismsFromAtoB),

function(k) if(length(MorphismsFromAtoB[[k]]) == 0){FALSE} else{TRUE}))

morphisms\_1to5\_x\_1to5 <- cbind(morphisms\_1to5\_x\_1to5,MorphismFromAtoB)

morphisms\_1to5\_x\_1to5[which(morphisms\_1to5\_x\_1to5\$MorphismFromAtoB == TRUE),c("Aindex","Bindex")]

# APPENDIX B

# <span id="page-64-0"></span>MORPHISMS BETWEEN SKELETON RELATIONS

> morphisms\_1to5\_x\_1to5[which(morphisms\_1to5\_x\_1to5\$MorphismFromAtoB == TRUE),c("Aindex","Bindex")]

![](_page_64_Picture_259.jpeg)

![](_page_65_Picture_343.jpeg)

![](_page_66_Picture_343.jpeg)

![](_page_67_Picture_343.jpeg)

![](_page_68_Picture_343.jpeg)

![](_page_69_Picture_52.jpeg)