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Shear Heating Reconciles Thermal Models with the Metamorphic Rock Record of Subduction

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Shear heating reconciles thermal models with the metamorphic rock record of subduction

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Abstract

Some commonly referenced thermal-mechanical models of current subduction zones imply temperatures that are 100–500 °C colder than 30–80-km depth than pressure–temperature conditions determined thermobarometrically from exhumed metamorphic rocks. Accurately inferring subduction zone thermal structure, whether from models or rocks, is crucial for predicting metamorphic reactions and associated fluid release, subarc melting conditions, rheologies, and fault-slip phenomena. Here, we compile surface heat flow data from subduction zones worldwide and show that values are higher than can be explained for a frictionless subduction interface often assumed for modeling. An additional heat source—likely shear heating—is required to explain these forearc heat flow values. A friction coefficient of at least 0.03 and possibly as high as 0.1 in some cases explains these data, and we recommend a provisional average value of 0.05 ± 0.015 for modeling. Even small coefficients of friction can contribute several hundred degrees of heating at depths of 30–80 km. Adding such shear stresses to thermal models quantitatively reproduces the pressure–temperature conditions recorded by exhumed metamorphic rocks. Comparatively higher temperatures generally drive rock dehydration and densification, so, at a given depth, hotter rocks are denser than colder rocks, and harder to exhume through buoyancy mechanisms. Consequently—conversely to previous proposals—exhumed metamorphic rocks might overrepresent old-cold subduction where rocks at the slab interface are wetter and more buoyant than in young-hot subduction zones.

Determining the pressure–temperature (P–T) conditions of subduction zone metamorphism is key for understanding rock rheology, fluid-mass transfer and geochemical cycling of carbon, trace elements, and other chemical species between Earth’s crust and mantle (e.g., refs. 1–3), genesis and chemistry of arc lavas (e.g., ref. 4), origin of shallow interplate seismicity (e.g., refs. 5–7), and H2O release vs. storage in the mantle (e.g., refs. 8–10). Interpretations of these processes all depend strongly on thermal structure, yet at depths of 30–80 km, a 100–500 °C discrepancy occurs between many commonly cited, frictionless thermal models (11) and P–T conditions of subduction zone metamorphic rocks, as determined either from metamorphic facies (12, 13) or from thermobarochemistry (14–16). In this context, we make several comparisons to the most recent and comprehensive data compilation for rocks (16), using the term “PD15” to refer to the reported dataset and average geotherm. As shown previously (16), bias to the calculated P–T conditions through postpeak processes (transfer to hanging wall, residence times, isothermal exhumation, etc.) does not likely explain model-data discrepancies because prograde P–T paths show the same P–T distribution as thermobarochemistry. Rather, many numerical models predict slab-top geotherms that are <5 °C/km and enter a region of P–T space that metamorphic petrologists sometimes denote as the “forbidden zone” (17). No known rocks up to 4 GPa record such cold P–T conditions (14, 15).

Explaining the large temperature discrepancy between rocks and models could reveal either omission or underestimation of possible heat sources in models (16), or bias in the exhumation of subduction zone metamorphic rocks (10). Indeed, it was argued recently that all rocks exhumed from subduction zones reflect only warm subduction conditions (10), for example from subduction of anomalously young crust or from subduction initiation. In fact, dehydration forms dense garnet and pyroxene, so warmer and comparatively drier rocks from the slab interface should be denser (14, 18) and possibly more difficult to exhume than cooler, wetter rocks. Nonetheless, if widely accepted cold models (11) are correct, exhumed rocks provide few examples of P–T conditions predicted by these models.

To reassess temperatures along the subduction interface, we evaluated constraints on shear heating derived from global heat flow datasets and site-specific studies (see SI Appendix for sources). We then modeled the slab-top thermal structure using a simple but highly flexible analytical model (19, 20) that accounts for variations in the magnitudes of shear heating, thermal weakening, and other key subduction parameters (Methods and SI Appendix). We emphasize shear heating because it is a large potential contributor to slab-top temperature increases: Heat sources from reactions, fluid flow, radioactive heating, and convection have been estimated to be 2–10 times smaller (e.g., see ref. 21). More recent work (22, 23) has shown that fluid flow along the top of the subducting slab may reduce temperatures by up to ~100 °C, which is comparable in magnitude to the effects of the lowest proposed coefficients of friction. Insofar as shear heating could theoretically increase slab-top geotherms by several hundred degrees Celsius (13, 21, 24), quantifying typical coefficients of friction and their thermal consequences is crucial to the reliability of any petrologic or geochemical interpretations.

Significance

Thermal structure controls numerous aspects of subduction zone metamorphism, rheology, and melting. Many thermal models assume small or negligible coefficients of friction and underpredict pressure–temperature (P–T) conditions recorded by subduction zone metamorphic rocks by hundreds of degrees Celsius. Adding shear heating to thermal models simultaneously reproduces surface heat flow and the P–T conditions of exhumed metamorphic rocks. Hot dry rocks are denser than cold wet rocks, so rocks from young-hot subduction systems are denser and harder to exhume through buoyancy. Thus, the metamorphic record may underrepresent hot-young subduction and overrepresent old-cold subduction.
As a complement to the global heat flow database, we also compiled estimates of the coefficient of friction in specific subduction zones. Last, we calculated densities for typical subducted rocks along three representative cold, intermediate, and hot P–T trajectories to identify whether cold vs. hot subduction might preferentially induce exhumation of rocks through buoyancy (Methods and SI Appendix).

Our overall intent is to integrate disparate models and observations—many made by others—to evaluate whether the exhumed metamorphic rock record reflects typical vs. atypical metamorphic P–T conditions expected in modern subduction environments. In this context, we hypothesize that integration of rock P–T conditions, geophysical observations of heat flow and rock strength, and thermal modeling reconcile thermal models with petrologic observations. We emphasize temperatures along the subduction interface (the boundary between the subducting slab and overlying plate) because it is thought to represent the region of maximum geochemical exchange, and to be the source of exhumed metamorphic rocks. We refer to the temperature distribution along this interface as the slab-top geotherm.

Context of Thermal Models and the Petrologic Record

Numerous studies have investigated key components of subduction zones that must be mutually integrated to evaluate rock vs. model P–T conditions. These include compilations of heat flow and estimated coefficients of friction; compilations of the peak P–T conditions of exhumed, subduction zone metamorphic rocks; calculations of rock density; experimental determination of rock strengths; and numerical and analytical thermal models of subduction zones. Much previous work addresses these topics. Our contribution is to expand and update the global heat flow dataset across current subduction systems compared with ref. 25, which provides constraints on realistic coefficients of friction; to calculate subduction zone thermal structure and rock densities along specified P–T paths using parameters that we argue more accurately represent typical thermal conditions along the top of the slab; and to critically review previous interpretations in the context of revised coefficients of friction.
subduction rates, and rock thermal properties (e.g., refs. 19, 21, and 29). Dynamic models specify these same properties plus rock rheology, and allow the system to evolve in response to rock deformation and topographic changes (e.g., see review of ref. 30). Hybrid models typically specify geometries but include rock rheology to produce corner flow (mantle convection forced by traction along the subduction interface; e.g., see refs. 26, 27, and 31). Endmember kinematic models are simple, and the sensitivity to an input parameter is easily evaluated, but they do not model corner flow, which can increase temperatures at depths of 50–60 km by ~50 °C (32). Dynamic models arguably provide more realistic assessment of subduction zone processes, but numerous and highly variable input parameters complicate isolation of key factors to explain observations.

In this study, we use analytical models because they elucidate key parameters more simply than other types of models, especially the effects of shear heating. In that sense, they parallel earlier studies (13, 21, 24), but we compare them to more comprehensive datasets and consider combinations of parameters more representative of subduction zones (11). Thus, while our models do not supersede previous studies, they provide more focused examination of likely parameters in the context of quantitative data that have been compiled subsequently. We particularly emphasize that although many thermal models include shear heating (e.g., refs. 13, 21, 24, 26, and 33–36), many recent calculations of mineralogy, petrology, and geochemistry (2, 4, 9, 37) are either directly based on models that do not include shear heating or reference frictionless models as prima facie standards. If shear heating is important, as we argue (and as have several modelers), a large fraction of published geochemical and petrologic interpretations ranging from metamorphism and fluid release to arc volcanism must be reevaluated. Compilations of modern geophysical and geometric data (11), heat flow measurements (refs. 38 and 39 and Fig. 1), and the PD15 dataset afford the opportunity to reassess model accuracy with its implications for petrology, geophysics, and geochemistry.

**Metamorphic Rock Density.** Seminal calculations of rock density relevant to subduction zones (14, 18) were based on P–T fields corresponding with metamorphic facies, assuming an idealized chemical system or distribution of rocks across different P–T fields. These calculations do not account for continuous changes in mineral assemblage and mineral chemistry, but they do identify the most important shifts in density associated with (nearly) discontinuous reactions. In general, for a specified pressure, metamorphosed basalt is denser at higher temperatures, while hydrated peridotite shows a dramatic increase in density (~0.25 g/cm³) at ~500 °C (14).

**Rock Strength.** Rock strength depends most on the weakest interconnected minerals. Rock mechanics measurements on weak sheet silicates ranging from talc to serpentine (40) extrapolated to geologic strain rates imply coefficients of friction (µ) ≥ 0.10 at subduction zone temperatures. Shear weakening can occur at seismic slip rates due to transient phenomena, although coefficients of friction are still typically ≥0.15 (41). Thermal weakening of rocks ultimately limits their ability to fracture or sustain high shear stress, and occurs at different temperatures for different bulk compositions. Weakening of quartz-rich rocks is typically modeled as initiating at ~300 °C (24), and patterns of seismicity in (basaltic) oceanic crust imply a brittle-plastic transition of ~600 °C (42). We use these limits as minimum and maximum temperatures of potential weakening.

**Results**

**Heat Flow.** Our global compilation of normalized heat flow data for subduction zones (Fig. 1 and SI Appendix, Fig. S1) plotted against normalized distance shows the expected drop in heat flow...
Recent thermal models that include shear heating (34) predict slab-top geotherms that parallel ours for low pressures but steepen considerably at a temperature of ~400 °C (Fig. 3C). Consequently, these geotherms overlap the lower-T and lower-P portion of the PD15 dataset, but fall toward the colder side of the distribution at higher pressures. This behavior reflects a relatively low temperature for the onset of thermal weakening of 300 °C. This temperature is the minimum bound that others have considered (24) (as do we: See SI Appendix, Fig. S4). Different numerical models that are parameterized differently, especially such that shear heating was included (34) vs. excluded (11), show quite different slab-top temperatures. Models that include shear heating show much closer correspondence with PD15, at least at low pressure and low temperature (Fig. 3C).

Discussion

Heat Flow and Friction Are Relatively High. Previous regional studies (SI Appendix) and our compilation are congruent in demonstrating that heat flow in the forearc is higher than can be explained by $\mu^*$ ≤ 0.02. The negative heat flow anomaly close to the trench possibly reflects hydrothermal cooling of the oceanic plate (23, 45) rather than an absence of friction. Both the global datasets (Fig. 1C) and specific studies (Fig. 2) converge on average and median values for $\mu^*$ of 0.04–0.065, which exceed more typically assumed values of 0.0–0.03 (e.g., refs. 9–11, 31, and 33). Provisional values of average $\mu^* = 0.05 \pm 0.015$ and minimum $\mu^* = 0.03$ satisfy observations and may be preferred for future modeling, at least until more comprehensive analysis is undertaken that eliminates biases on a case-by-case basis. The disparity between coefficients of friction determined from natural data (low $\mu^*$) vs. experiments (high $\mu$) may reflect high pore fluid pressures or dynamic weakening at seismic slip rates, due to flash melting, pressurization of pore fluids, formation of weak silica gel, or loss of grain-to-grain contact (see summary of ref. 41).

Shear Heating Reconciles Thermal Models with Metamorphic P-T Conditions. The difference in predicted temperature between models that include shear heating (34) (Fig. 3) vs. those that omit shear heating (9–11) is especially striking: even the smallest proposed coefficient of friction (0.02–0.03) (34) raises slab-top temperatures by ≥100 °C at pressures of 1.5–2.0 GPa and permits predicted slab-top geotherms to intersect a substantial portion of the PD15 data set. Use of our preferred value for $\mu^*$ of 0.05 repositions average slab-top geotherms from near the boundary of the forbidden zone to the average PD15 geotherm (Fig. 3A). On a case-by-case basis, numerical models that include vs. exclude shear heating show temperature differences of 100–250 °C (Fig. 3C), although other modeling parameters besides $\mu^*$ also differ and could contribute to the difference. Overall, a broad range of friction coefficients inferred from forearc heat flow implies that a range of slab-top geotherms comparable to natural data are possible, and arguably likely. Many numerical models that predict metamorphic facies distributions have
assumed μ values as low as 0.00–0.02 (e.g., refs. 9, 13, 24, 26–28, and 31), although values of 0.03–0.05 have also been considered (46, 47). A recent study (34) did not assume a value of μ, rather derived values over a range of subduction zone values based on heat flow, but did not evaluate the implications for metamorphic conditions. While many of these studies have presented P–T distributions of slab-top geotherms, comparison with the rock record has been lacking. Fig. 3 represents a comparison between quantitative thermobarometric P–T conditions and models that include μ over a range of values consistent with heat flow. Overall, models that include shear heating (Fig. 3 A and B) are much more consistent with the PD15 dataset. Inasmuch as shear heating is inevitable, this correspondence implies that petrologic data are not restricted to subduction of anomalously young lithosphere or to subduction initiation (10). Consequently, we recommend that calculations that require a typical slab-top geotherm use the average PD15 geotherm (T in °C)

\[ P (\text{GPa}) = 1.533 \times 10^{-3} \cdot T + 5.07 \times 10^{-9} \cdot T^2. \]  

[1]

In that context, the ≥2σ bounds on the PD15 distribution (Fig. 3) reflect the range of expected subduction conditions. Data and geotherms outside those bounds may be viewed as unusual. In reference to disparities between PD15 and many thermal models, other sources of heat along the subduction interface are possible, for example heat advection and production via rock convection, fluid flow, and hydration reactions (see summary of ref. 16). Nonetheless, shear heating provides a simple explanation to reconcile differences between rock P–T conditions and thermal model predictions.

**Exhumation of Subduction Zone Rocks.** Recent models have been used to argue that buoyancy favors rock exhumation from subduction of extremely young crust or subduction initiation (10), i.e., hot subduction settings. However, from a petrologic perspective (14), higher temperatures enhance densification compared with lower temperatures. All slabs undergo hydrothermal alteration at the ridge axis, so arguably they should all have similar water content upon subduction. If so, hotter subduction zones would be less amenable to buoyant exhumation because they densify through eclogitization and deserpentinization at a lower pressure (Fig. 4). For example, in a hot subduction zone, at a depth of ~60 km (1.75 GPa), metabasalt and hydrated metapelite are ~10% and ~15% denser, respectively, than in a cold subduction zone. Reaction kinetics can also retard eclogitization of drier plutonic rocks (e.g., ref. 48), but insofar as higher temperatures speed kinetics, transformation of anhydrous oceanic crust to eclogite should occur at shallower levels in hot subduction zones than in cold subduction zones. That is, hotter subduction zones may well have denser rocks throughout the oceanic crust—not only in hydrated metabasalts and metapelite but also in anhydrous gabbros—and their rocks may be less amenable to exhumation than in cold subduction zones.

**Implications for Rock Strength and Temperatures of Thermal Weakening.** A temperature of 300 °C marks a transition from brittle to plastic deformation behavior in quartz at low geologic strain rates [10−12 s−1 (49)], and is commonly assumed to represent conditions of thermal weakening. Similarly, field studies of quartz microstructures in mylonites often return lower differential stresses of only 10–20 MPa (e.g., ref. 50). For a typical subduction zone geotherm of ~10 °C/km and a coefficient of friction of 0.05, these values imply a transition from brittle to ductile behavior at depths of 20–40 km (~1.0 Pa; see ref. 50), which is too shallow to explain the large number of rock P–T conditions at 1.5–2.0 GPa and 500–600 °C. In part these discrepancies may reflect strain rate. For a subduction rate of 6 cm/yr distributed over a 1-km-thick shear zone, the strain rate is very high, ~2 × 10−12 s−1. At high strain rate, rocks can sustain greater shear stresses and produce more heat, although increasing water fugacity at higher pressures mitigates this effect. Regardless, to control the strength of the subduction interface, weak quartzites would have to occur as continuous sheets. Bending-related horst-and-graben formation likely disrupts the thin sedimentary carapace of the oceanic crust, while trench turbidite infill is clay-rich and discontinuous both spatially and temporally. Both factors make strength continuity unlikely.

**Implications for Earthquakes and Arc Volcanism.** Seismic and geodetic networks define the seismogenic zone as a region where interplate locking promotes elastic energy buildup extending to 40–60-km depth (7). This region grades into the interplate fault segment that moves by slow-slip phenomena for 20–40-km down-dip (51). Intermediate-depth intraslab seismicity extends another 200–300-km down-dip. Thermally controlled processes possibly trigger the form of failure (5–7), but the uncertainties described above have hindered definitive identification of rocks containing the mineral assemblages and (micro)structures required to identify the metamorphic facies, rheological properties, and fault mechanisms of each of the different-depth slip phenomena. In light of revised μ∗, generally hotter models should be considered to identify the deformation phenomena at play.

Although we view the thermal structure of subduction zones above 80-km depth as considerably hotter than many recent models, inferences of the mineralogical drivers of arc volcanism remain robust. Because full mantle wedge convection at depths ≥80 km (≥2.5 GPa) controls heat budgets, the slab-top thermal structure of the deeper portions of subduction systems in these recent models (10, 11, 31) should converge with the P–T conditions of rocks that record pressures of >2.5 GPa (16), as observed (Fig. 3). Because it is the deep, not shallow thermal structure that defines hydrous mineral stability at depths ≥80 km, dehydration of hydrous peridotites still could catalyze arc formation (4).
parameters as described in SI Appendix, most importantly slab geometry, coefficient of friction, and temperature of thermal weakening. Slab geometries were taken from global intermediate, geometry down to depths of ~100 km of the subduction zones considered in this study (SI Appendix, Fig. S2). For modeling, we assumed coefficients of friction of 0 (frictionless) to 0.1. The upper bound is within error of the highest values that data suggest (Fig. 2), and suffices to illustrate the impact of coefficients of friction on thermal structure. Temperatures of thermal weakening were assumed to range from 300 to 600 °C (24, 42). The effect of shear zone thickness on temperatures was modeled analytically (SI Appendix). A shear zone thickness of 500 m to 5 km impacts calculated temperatures by a maximum of 0.6–6 °C, respectively.

Rock densities were calculated using standard thermodynamic models applied to bulk rock compositions characteristic of peridotite and mid-ocean ridge basalts (see SI Appendix for methods and references). We assume that any fluid produced escapes the rock. Solution models and density output are provided in SI Appendix. Modeled P–T paths are simplified representations of cold, intermediate, and hot slab-top geotherms (Fig. 4, Inset).

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