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ABSTRACT

We have performed first-principles density functional theory calculations, incorporated with van der Waals interactions, to study CO2 adsorption and diffusion in nanoporous solid – OMS-2 (Octahedral Molecular Sieve). We found the charge, type, and mobility of a cation, accommodated in a porous OMS-2 material for structural stability, can affect not only the OMS-2 structural features but also CO2 sorption performance. This paper targets K+, Na+, and Ba2+ cations. First-principles energetics and electronic structure calculations indicate that Ba2+ has the strongest interaction with the OMS-2 porous surface due to valence electrons donation to the OMS-2 and molecular orbital hybridization. However, the Ba-doped OMS-2 has the worst CO2 uptake capacity. We also found evidence of sorption hysteresis in the K- and Na-doped OMS-2 materials.

I. Introduction

The capture and storage of carbon dioxide from fossil fuel power plant emissions are important tasks that scientists are facing today [1]. Research has shown that adsorption of CO2 onto solids can be an effective method for selectively separating CO2 from flue gases [2-7]. This method has a lower parasitic energy than current aqueous technologies and could potentially replace them [6,8-11]. Porous solids, including zeolite membranes, molecular sieves, and metal organic frameworks are preferential for gas adsorption because of their high surface areas [4-7,12-18]. These materials can have a variety of pore geometries and sizes which can affect the CO2 adsorption and desorption properties [6,19-22]. There is also the potential for further “tuning” of the properties by chemical substitution making these great candidates for ‘materials-by-design’ applications [5,6,9,18]. Extensive computational studies confirm the need to explore the structural behavior of CO2 with an analysis of relative van der Waals (vdW) bonding for metal organic framework candidates [2,6,17,18,23,24]. However, many of these materials experience hysteresis in their adsorption and desorption processes which increase the parasitic energy. Understanding the mechanism behind this hysteresis is necessary for advancing these materials into industrial applications.

Manganese dioxide α-MnO2, referred to as OMS-2, is a nanoporous octahedral molecular sieve (OMS) that experiences CO2 hysteresis [21,22,25]. The framework of the OMS-2 structure is made up of edge-sharing MnO6 octahedra that form relatively small (1×1) and large (2×2) tunnels. These tunnels act as one-dimensional “traps” where CO2 adsorption and molecular sieving processes can occur[2]. The OMS-2 structure requires the presence of cations inside the large (2×2) tunnels that act as a means of structural support. Common dopants for this system
include K+, Ba2+, Na+, and Pb4+ [21,22,25]. Previously, we found that adding K+ ions to the (2×2) tunnels leads to hysteresis looping in the adsorption and desorption isotherms [26]. The onset of hysteresis only occurs at experimental partial pressures exceeding 7 bar (700 kPa). This study revealed the importance of understanding the CO2 sorption mechanism and the physical factors involved.

Density functional theory (DFT) calculations with van der Waals forces revealed CO2 sorption on K-doped OMS-2, known as cryptomelane [27]. We investigated the diffusion of CO2 through the (2×2) tunnel and found that the K+ dopant acts as a ‘gate keeper’ blocking the path of the CO2 molecules. When the concentration of CO2 is increased (>7 bar pressure), the activation energy barrier for the CO2 to bypass K+ reduces significantly. This allows the CO2 to become trapped behind K+, hindering desorption. More recently, we compared the sorption behavior of Ba-doped OMS-2, known as hollandite, to the results from cryptomelane [9]. The higher-charge cation Ba2+ was found to perform worse in the CO2 sorption than the lower-charge cation K+. This could be attributed to the stronger binding energy of Ba2+ with the OMS-2 porous surface.

In further studying the cation effect, this paper compares K+, Na+, and Ba2+ cations in the OMS-2, including their structural features, interactions with the pore surface, and CO2 adsorption and diffusion mechanisms. These studies identify key factors controlling CO2 uptake capacity and help develop high-performance porous solids for carbon capture and storage.

II. Computational Methods

The Vienna ab-initio Software Package (VASP)[28] was performed within density functional theory (DFT) to conduct all structural, energetic, and electronic structure calculations. The spin-polarized generalized gradient approximation (GGA) was used within the Perdew Burke Ernzerhoff formalism revised for solid systems (PBEsol)[29]. The plane-wave basis sets were expanded using projector-augmented wave (PAW) pseudopotentials[30,31] to a cutoff energy of 400eV. To account for the d-orbitals of Mn, the GGA+U approach of Liechtenstein et al [32] was employed, where an effective on-site Coulomb potential (U) of 2.8eV and an exchange potential (J) of 1.2eV were applied to the system. The Brillouin zone integration was performed on a 6x6x6 Monkhorst-Pack mesh. To account for Fermi surface broadening, a Gaussian smearing value of 0.05eV was applied. The Mn atoms have an antiferromagnetic interaction with magnetic moments of ±4 μ. [33,34] All relaxations of the lattice parameters and atomic positions were performed until residual forces were reduced to 0.01eV/Å. In a previous study [34], we found that incorporating van der Waals (vdW) forces using the DFT-D2 approach of Grimme,[35] applied to the α-MnO2 structure accommodating K+ and H2O, produced a binding energy very similar to that of the DFT calculation. The charge and dipole interactions were found to dominate in this system. Although, it has been shown that the electrostatic interactions dominate when CO2 is near a cation [36] and vdW interactions are prominent when CO2 is far from the cation (away from its equilibrium position) [37]. Nevertheless, we incorporated the vdW-DFT scheme in the energetic calculations to compare with DFT. In addition, we performed nudged elastic band method to confirm a transition state during CO2 diffusion in the OMS-2.

The unit cell of α-MnO2 (with no cation) is Mn8O16 with calculated equilibrium lattice parameters, a = 9.702Å, b = 2.856Å, and c = 9.685Å. In order to accommodate the cations and CO2 molecules in the (2×2) tunnel, we tripled the unit cell along the b axis. The 1x3x1 supercell was found to be energetically favorable and was used to elongate the tunnels formed by the edge-sharing MnO6 octahedra. We used the cell of XMn24O48 (i.e. X0.04MnO2), where X = K, Na, or Ba, and varied CO2 concentration in the cell to provide insight into the CO2 sorption mechanism.

III. Results and Discussion

K+, Na+, and Ba2+ Cations

The equilibrium parameters of undoped 1x3x1 α-MnO2 supercell (i.e. with no cation) are a = 9.702 Å, b = 8.568 Å, c = 9.685 Å. The dopants were then added manually to the tunnel centers and relaxed again to determine their structural effects. Table 1 reveals that the calculated cell volume increases by 0.30% and 0.32% in the presence of K+ and Ba2+, respectively. This increase is caused by enlarging the cell size in the c direction. The cell sizes in the other two directions remain relatively unchanged from the undoped cell. In contrast, the presence of Na+ shrinks the cell volume by 0.17%, as a result of reducing the cell size in the a direction. As seen in Fig. 1, we found two high-symmetry sites along the (2×2) tunnel: Sites A and B. The lower-energy position (i.e., the more stable) for the
cation is Site A, which neighbors with eight oxygen atoms. The $\alpha$-MnO$_2$ cell volume increase in the presence of K$^+$ and Ba$^{2+}$ causes the slightly longer distances between the cation and its closest Mn and O than those for Na$^+$ (Table 1).

To analyze the cation – $\alpha$-MnO$_2$ interaction, the binding energy $E_b$ of a cation is defined as:

$$E_b = \frac{E_{\text{tot.doped}} - E_{\text{tot.undoped}} - nE_{\text{dopant}}}{n}$$  \hspace{1cm} (1)

where $E_{\text{tot.doped}}$ and $E_{\text{tot.undoped}}$ are the total energy of Mn$_{24}$O$_{48}$ doped with and without a cation, respectively. $E_{\text{dopant}}$ is the energy of an individual K, Na, or Ba atom, and $n$ is the number of cations in the cell.[9,34] $E_b$ between cation and $\alpha$-MnO$_2$ is 4.48 eV/K$^+$, 4.18 eV/Na$^+$, and 8.34 eV/Ba$^{2+}$, indicating a strong interaction. Ba$^{2+}$ donates more valence electrons to $\alpha$-MnO$_2$, leading to much higher $E_b$ than that of K$^+$ and Na$^+$. Interestingly, the same-charged cations K$^+$ and Na$^+$ also have slightly different $E_b$.

It is worth noting that during synthesis of these compounds, H$_2$O molecules can be found to coordinate with the cation and hinder CO$_2$ adsorption uptake [26,34]. However, in a previous experimental study of K-doped OMS-2, it has been shown that upon heating to 150°C, the H$_2$O can be removed without affecting the K$^+$ dopant [34]. This is likely due to the difference in binding energy between the H$_2$O molecule (0.39 eV) and the K$^+$ dopant (> 4 eV) in $\alpha$-MnO$_2$ [34]. Given that the other cations investigated in this study have similar or greater binding energies than K$^+$, it is reasonable to assume the same ease of H$_2$O removal from their compounds as well.

Adding a cation to $\alpha$-MnO$_2$ also reduces Mn$^{4+}$ to Mn$^{3+}$.[34,38] Our calculations showed that each donated electron is shared by several Mn, resulting in non-integer charges on the Mn. We calculated the electronic structures of undoped and doped $\alpha$-MnO$_2$ and compared them to provide detailed insight into the cation effect on the molecular orbitals of the $\alpha$-MnO$_2$. Fig 2(a) – (c) shows the DOS (density of states) of $\alpha$-MnO$_2$ doped with K, Na, and Ba, compared with the DOS of K, Na, and Ba individually. The Fermi energy is zero in each case. Undoped $\alpha$-MnO$_2$ is a semiconductor with a band gap of 1.33 eV (see the DOS of undoped $\alpha$-MnO$_2$ in Fig 2(d)). In the presence of a cation, the conduction band of $\alpha$-MnO$_2$ is shifted below the Fermi energy at zero due to the accumulated electrons from the cation, leading to the n-type conduction and the ionic characteristics of the cation – $\alpha$-MnO$_2$ bonds. For K and Ba doping, molecular orbital hybridization also occurs on the higher energy level between $-13$ eV and $-12$ eV, indicating the covalent characteristics of the cation – $\alpha$-MnO$_2$ bonds. This feature explains the binding energy, $E_b$, difference for K$^+$ and Na$^+$ in $\alpha$-MnO$_2$. Fig 2(d) combines the DOS curves of doped and undoped $\alpha$-MnO$_2$. Cation doping can minimize the band gap of $\alpha$-MnO$_2$. Ba doping provides the calculated band gap of 1.14 eV, smaller than 1.29 eV with K doping. Experimentally, the band gap for cryptomelane, i.e. $\alpha$-MnO$_2$ with K$^+$, is 1.32 eV [39], agreeing well with our calculations.

**CO$_2$ Sorption**

CO$_2$ adsorption in the OMS-2 tunnel more greatly affects the structure of $\alpha$-MnO$_2$ than cation doping does. Table 2 shows that this effect is larger for the K- and Ba-doped systems with cell volume increases of 1.00% and 0.97%, respectively. The Na-doped system is the least affected with a cell volume increase of only 0.63%. However, the CO$_2$ adsorption enlarges the volume change by three times more than the cation-doped system without CO$_2$.

Cations are distributed along the OMS-2 (2×2) tunnel. When CO$_2$ diffuses in the tunnel and encounters a cation, there are three possible scenarios (Fig. 3). For different cations, we estimated an activation energy barrier for each scenario. The lower activation energy barrier represented the more favorable scenario for the cation.

- Scenario I: CO$_2$ does not continue diffusing, and it remains in a stable position.
- Scenario II: CO$_2$ continues diffusing, and it can bypass a cation.
- Scenario III: CO$_2$ continues diffusing, and it can push a cation away.

With a low concentration of CO$_2$ in the K-doped OMS-2 (averagely KMn$_{24}$O$_{48}$ with one CO$_2$ molecule), CO$_2$ is kinetically trapped in a position away from a K$^+$ cation with the equilibrium distance of 3.0Å. Due to a high activation energy barrier of ~6eV/CO$_2$, CO$_2$ remains in the equilibrium position without further diffusion (Scenario I,
Fig 3(a)). As the concentration of CO$_2$ increases, the activation energy barrier minimizes to 0.13 eV/CO$_2$ [26], smaller than a diffusion energy barrier of 0.37 eV for a K$^+$ cation. These computational results suggest Scenario II (Fig 3(b)), where CO$_2$ bypasses a K$^+$ cation to further diffuse along the OMS-2 tunnel when CO$_2$ has a high concentration (average K$_{Na}^{2+$}O$_{48}$ with two or more than two CO$_2$ molecules). The reduction in activation energy barrier indicates that at elevated CO$_2$ partial pressures, the transition state becomes more energetically favorable [26].

In the Ba-doped OMS-2, the activation energy barrier for CO$_2$ diffusion decreases from 6.87 eV/CO$_2$ to 1.02 eV/CO$_2$ due to the CO$_2$ concentration increase, but the barrier is still higher than that in the K-doped OMS-2. It is not low enough to be overcome. Thus, CO$_2$ is stuck in the optimum position with a distance of ~3Å (Scenario I). No further diffusion occurs. This mechanism suggests worse CO$_2$ uptake performance of the Ba-doped OMS-2.

The cell volume of $\alpha$-MnO$_2$ increases with the K and Ba doping, but it decreases with the Na doping. In addition, the Na - $\alpha$-MnO$_2$ binding energy is the smallest, compared to those for K$^+$ and Ba$^{2+}$. These features imply a different CO$_2$ sorption mechanism occurs in the Na-doped OMS-2. We estimated the adsorption energy of CO$_2$ in the OMS-2 by modifying Equation (1) in order to study the cation effect on the CO$_2$ adsorption performance. If the adsorption energy of CO$_2$ is a positive value, adsorbing CO$_2$ costs an energy penalty, i.e. an increase in the total energy of the system. If the adsorption energy of CO$_2$ is a negative value, adsorbing CO$_2$ is energetically favorable, i.e. a decrease in the total energy of the system. In comparison between the K- and Na-doped OMS-2, we obtained 0.89 eV/CO$_2$ and -0.61 eV/CO$_2$, respectively. The negative and lower CO$_2$ adsorption energy suggests that the Na-doped OMS-2 should display higher CO$_2$ uptake capacity than the K-doped OMS-2. However, a large amount of CO$_2$ uptake in the OMS-2 reduces the spaces available for additional CO$_2$, leading to the adsorption energy increase. We found that the high concentration of CO$_2$ in the Na-doped OMS-2 (e.g. Na$_{Na}^{2+}O_{48}$ with two or more than two CO$_2$ molecules) causes the adsorption energy to increase from -0.61 eV/CO$_2$ to 0.83 eV/CO$_2$. Therefore, increasing temperature or pressure is needed to facilitate the CO$_2$ adsorption process.

After adsorption, CO$_2$ diffuses in the OMS-2 tunnel until it encounters a Na$^+$ cation with an equilibrium distance of 3.81 Å (Scenario I), longer than with a K$^+$ cation. The cation behaves as a “gate keeper” to block further CO$_2$ diffusion. Considering Scenario II, as in the K-doping case, CO$_2$ bypasses the Na$^+$ cation. It has to form an unstable transition configuration, where CO$_2$ sits by the side of the cation, and the cation slightly shifts to the OMS-2 porous surface to give more space to CO$_2$ (Fig. 3(b)). With a low concentration of CO$_2$, the transition configuration experiences an activation energy barrier of 3.04 eV/CO$_2$ for the Na-doped OMS-2, about three times smaller than that for the K-doped OMS-2. As the concentration of CO$_2$ increases, the activation energy barrier reduces to 0.87 eV/CO$_2$, but it is still too high to overcome. Therefore, Scenario II is not kinetically favorable.

In Scenario III, CO$_2$ pushes the cation along the OMS-2 tunnel axis (Fig. 3(C)). The energy required to displace the cation, which is calculated as the difference in total energy between the system where the cation is in its equilibrium position and that of the cation in the adjacent position, is referred to as the ‘diffusion energy.’ This mechanism requires a sufficiently low energy barrier for the cation. We estimated the diffusion energy barrier of 0.16 eV for the Na$^+$ cation in the OMS-2 tunnel. Such a value can be easily overcome, and is much smaller than both the activation energy barrier for CO$_2$ to bypass the Na$^+$ cation as well as the diffusion energy barrier for the K$^+$ cation. Research on porous materials exhibited that the cation is movable in the porous tunnels [9,26,40,41]. Our calculations indicate that Scenario III is more energetically favorable, where CO$_2$ pushes the Na$^+$ cation to further diffuse along the OMS-2 tunnel.

Table 3 summarizes different CO$_2$ sorption mechanisms in the OMS-2 with K, Na, and Ba doping. Scenario I occurs in all three cases. After adsorption, CO$_2$ is thermally activated to diffuse in the OMS-2 tunnel until it encounters a cation. For a K$^+$ cation, CO$_2$ bypasses it to further diffuse along the OMS-2 tunnel (Scenario II). For a Na$^+$ cation, CO$_2$ continues diffusing by pushing the cation (Scenario III). These scenarios are illustrated in Figure 3. For a Ba$^{2+}$ cation, CO$_2$ remains in an optimum position with no further diffusion. These mechanisms suggest a sorption hysteresis. The phenomenon of sorption hysteresis commonly occurs in gas molecule adsorption and desorption isotherms. This indicates that the path to adsorption of gas molecules by a porous host differs from that of desorption.

Even though it has the worst CO$_2$ uptake capacity, the Ba-doped OMS-2 is expected to have the smallest hysteresis. Upon desorption (e.g., a pressure decrease) CO$_2$ can easily exit the OMS-2 tunnel. In contrast, CO$_2$ can diffuse farther in the OMS-2 tunnel by passing through a K$^+$ cation and pushing a Na$^+$ cation. These mechanisms require a
longer time to vacuum CO$_2$ in the OMS-2 tunnel, yielding the phenomenon of sorption hysteresis. The Na-doped OMS-2 should have a slightly smaller hysteresis than the K-doped OMS-2. In Scenario II, upon desorption CO$_2$ has to pass through the K$^+$ cation in reverse. This action costs an energy penalty and requires more time than the Na$^+$ cation case, where CO$_2$ can directly exit the tunnel with no need to pass any Na$^+$ cation. The computational prediction has been validated by our experiment, which will be published in another experimental paper soon. Our results suggest that the charge, size and mobility of cation accommodated in a porous material control the CO$_2$ uptake capacity and sorption hysteresis.

IV. Conclusions

We have performed first-principles quantum mechanical simulations, based on density functional theory incorporated with van der Waals interaction (DFT+vdW), to study cation effects on the OMS-2 structure and CO$_2$ sorption. K$^+$, Na$^+$, and Ba$^{2+}$ cations have to be accommodated in the OMS-2 tunnel for structural stability. The charge and type of the cation affect the OMS-2 structure where the K$^+$ and Ba$^{2+}$ cations lead to a cell volume increase while the Na$^+$ cation decreases the cell volume. Conversely, the like-charge K$^+$ and Na$^+$ cations have the smaller binding energies with the porous surface of the OMS-2 than the higher-charged Ba$^{2+}$ cation. Besides the charge and type, cation mobility is also found to control the CO$_2$ uptake capacity and sorption mechanism of OMS-2. The diffusion of adsorbed CO$_2$ is hindered by the cations, which act as ‘gatekeepers’ blocking the CO$_2$ diffusion. It traps CO$_2$ within the OMS-2 leading to a sorption hysteresis. After adsorption, CO$_2$ diffuses until it encounters a cation. Three possible mechanisms occur, depending on an activation energy barrier for further CO$_2$ diffusion and cation mobility. Scenario I involves CO$_2$ becoming kinetically trapped in an equilibrium position with no further diffusion. Scenario II is that CO$_2$ bypasses the cation to further diffuse along the OMS-2 tunnel. Scenario III is that CO$_2$ continues diffusing by pushing the cation away. In all three cation cases, Scenario I occurs. In the K- and Na-doped OMS-2, CO$_2$ can diffuse further through Scenarios II and III, respectively. These mechanisms also suggest that the Ba-doped OMS-2 should have the smallest sorption hysteresis, followed by the Na-doped OMS-2, and finally the K-doped OMS-2. Our studies provide detailed insights into the interactions between cations and OMS-2 and the CO$_2$ sorption performance of OMS-2. The results reveal that CO$_2$ uptake capacity and sorption hysteresis can be optimized by changing the cations accommodated in the porous materials, which is important to develop effective and efficient CO$_2$ capture and storage materials.

Acknowledgments

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Table 1. Effect of cation on the structural features of α-MnO2, including lattice parameters (Å) and cell volume (Å³).

<table>
<thead>
<tr>
<th></th>
<th>Cell Parameters, a, b, c (Å)</th>
<th>Volume (Å³)</th>
<th>Bond length (Å)</th>
<th>Cation-Mn</th>
<th>Cation-O</th>
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<tbody>
<tr>
<td>Pure Mn_{24}O_{48}</td>
<td>9.702 8.568 9.685</td>
<td>805.04</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>With Cation</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Na⁺</td>
<td>9.678 8.555 9.706</td>
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<td>3.55</td>
<td>2.82</td>
<td></td>
</tr>
<tr>
<td>Ba²⁺</td>
<td>9.713 8.542 9.735</td>
<td>807.64</td>
<td>3.59</td>
<td>2.83</td>
<td></td>
</tr>
</tbody>
</table>

Table 2. Effect of CO₂ sorption on the structural features of α-MnO₂, including lattice parameters (Å) and cell volume (Å³)

<table>
<thead>
<tr>
<th></th>
<th>Cell Parameters, a, b, c (Å)</th>
<th>Volume (Å³)</th>
<th>Volume Change</th>
</tr>
</thead>
<tbody>
<tr>
<td>Pure Mn_{24}O_{48}</td>
<td>9.702 8.568 9.685</td>
<td>805.04</td>
<td>--</td>
</tr>
<tr>
<td>With Cation and CO₂ Adsorption</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>KMn_{24}O_{48}+CO₂</td>
<td>9.797 8.545 9.712</td>
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</tr>
<tr>
<td>NaMn_{24}O_{48}+CO₂</td>
<td>9.735 8.557 9.725</td>
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<tr>
<td>BaMn_{24}O_{48}+CO₂</td>
<td>9.747 8.536 9.769</td>
<td>812.83</td>
<td>0.97%</td>
</tr>
</tbody>
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Table 3. Summary of three CO₂ diffusion mechanisms for corresponding cations

<table>
<thead>
<tr>
<th></th>
<th>Scenario I</th>
<th>Scenario II</th>
<th>Scenario III</th>
</tr>
</thead>
<tbody>
<tr>
<td>K-doped OMS-2</td>
<td>✓</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Na-doped OMS-2</td>
<td>✓</td>
<td>✓</td>
<td></td>
</tr>
<tr>
<td>Ba-doped OMS-2</td>
<td>✓</td>
<td></td>
<td>✓</td>
</tr>
</tbody>
</table>

Fig. 1 Different views of a crystal structure of α-MnO₂, where Mn is purple, and O is red. The (2×2) tunnel is along the b axis. Sites A and B are two high-symmetry positions.
Fig. 2 Density of states (DOS) for doped and undoped α-MnO₂. (a)-(c) DOS projected on α-MnO₂, K, Na, and Ba individually; and (d) DOS comparison before and after doping in α-MnO₂.

Fig. 3 Three possible diffusion mechanisms for CO₂ in an OMS-2 (2×2) tunnel: red, O; black, C; green, cation (K⁺, Na⁺, or Ba²⁺); red cross, corresponding CO₂ position; red arrow, diffusion direction. For clarity, yellow walls highlight the location of the MnO₂ (tunnel walls). (a) CO₂ remains an optimum distance from the cation (Scenario I); (b) CO₂ bypasses a cation while the cation shifts towards the porous surface (Scenario II), the insert depicts the transition state in the OMS-2 tunnel where the CO₂ molecule sits alongside the cation and the O-C-O angle is distorted to 134.5° (foreground atoms removed for clarity); and (c) CO₂ pushes a cation to diffuse (Scenario III). There is an activation energy barrier associated with the formation of the transition state in Scenario II and a diffusion energy barrier for the CO₂ to push the cation in Scenario III.
References