

4-24-2020

## **An Analysis on Medical Device Recalls and Cybersecurity Implications on Patient Safety**

Rachel N. Leone  
*Boise State University*

Liljana Babinkostova  
*Boise State University*

Marion Scheepers  
*Boise State University*

Kristen Garcia  
*Boise State University*

Sulema Jimenez  
*Boise State University*

*See next page for additional authors*

---

# An Analysis on Medical Device Recalls and Cybersecurity Implications on Patient Safety

## Abstract

According to the Food and Drug Administration (FDA) a recall is the removal or correction of medical devices that do not abide by the laws governed by the FDA and threaten patient health and safety. A Class I recall is the most severe and shows the most significant danger of death, while Class II and III are intermediate and low levels of danger. The main issues with medical device recalls include the interference of patient health and adherence to treatment for different diseases. Software issues were the number one cause of medical device recalls in 2019. As more devices connect to the internet, issues with cybersecurity have become more prominent. Although there are currently no reported malicious attacks to these devices, it is possible to exploit these vulnerabilities to deplete batteries, affect drug dosing, or access private health data. Our goal is to compile the FDA reports from the medical device recalls and run statistical tests to determine if there is a significant increase in cybersecurity vulnerabilities. In addition, we are compiling resources from health organizations regarding protocol to respond to cybersecurity incidents in medical devices and develop a cyber-awareness framework for patients, manufacturers, and product developers. Improving the security of medical devices is vital to ensuring patient health, safety, and privacy.

## Authors

Rachel N. Leone, Liljana Babinkostova, Marion Scheepers, Kristen Garcia, Sulema Jimenez, and Tabarak Alomar

# AN ANALYSIS OF MEDICAL DEVICE RECALLS



BOISE STATE UNIVERSITY



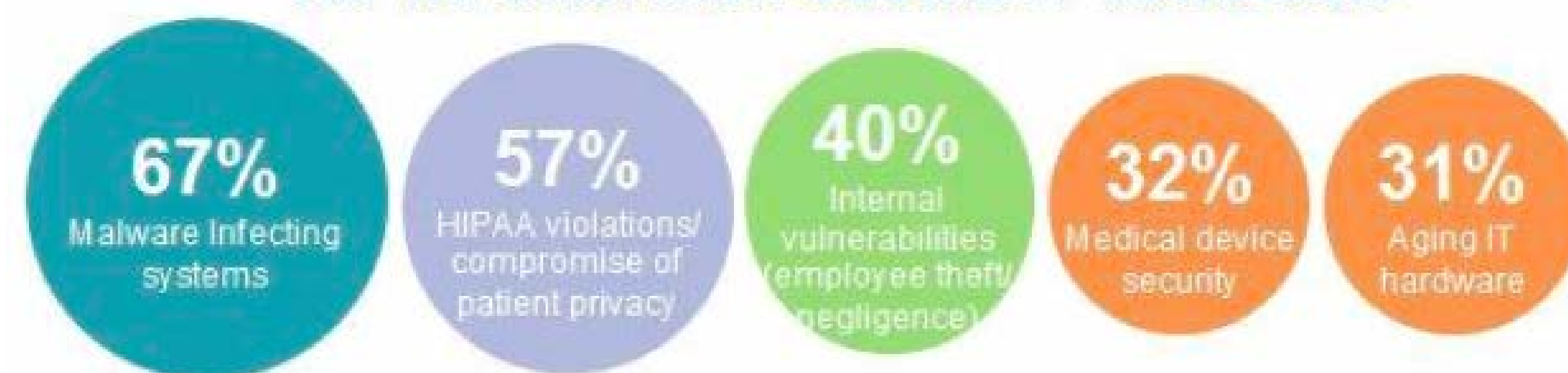
Kristen Garcia, Sulema Jimenez, Tabarak Alomar and Rachel Leone

## Medical Device Cybersecurity Implications on Patient Safety

### INTRODUCTION

According to the Food and Drug Administration (FDA) a recall is the removal or correction of medical devices that do not abide by the laws governed by the FDA and threaten patient health and safety [1]. Even though medical devices have become more advanced and are able to connect to networks and other devices, cybersecurity has become an issue while ensuring proper patient care. A recent study examined cybersecurity attacks in medical devices, then characterized the vulnerabilities based on reports from the CVE and ICS-CERT databases [3]. This analysis of FDA reports [2] are the preliminary actions to categorize medical device recalls and eventually connect them to potential cybersecurity vulnerabilities.

#### TOP INFORMATION SECURITY CONCERNS



Retrieved from *Clear And Present Danger: Act Now On Medical Device Cybersecurity*.

### METHODS AND DATA

Data was collected from the FDA Medical Device Recall Database from January 2010-March 2020 by entering “software” and “hardware” reasons into the search engine and yielded approximately 1300 results. The total number of software and hardware-related device recalls is shown by year in Figure 1.

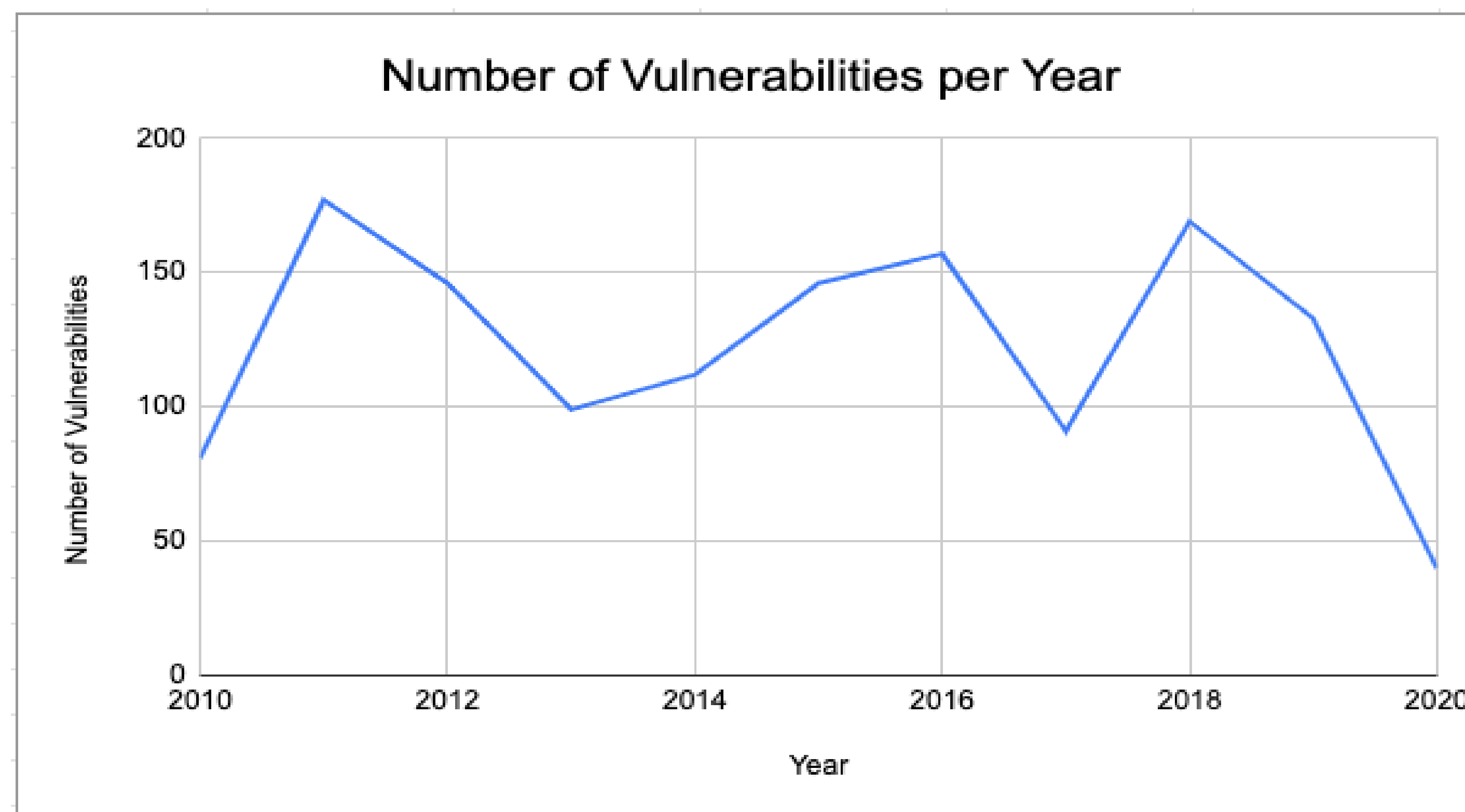


Figure 1: Number of Vulnerabilities Per Year Recorded in the FDA Database.

The R Suite and R Studio were used to assess all 1300 entries of data and sorted the dataset by number of recalled devices per manufacturer. The number of device recalls for the ten manufacturers with the greatest amount of software and hardware-related recalls are shown in Figure 2.

### METHODS AND DATA

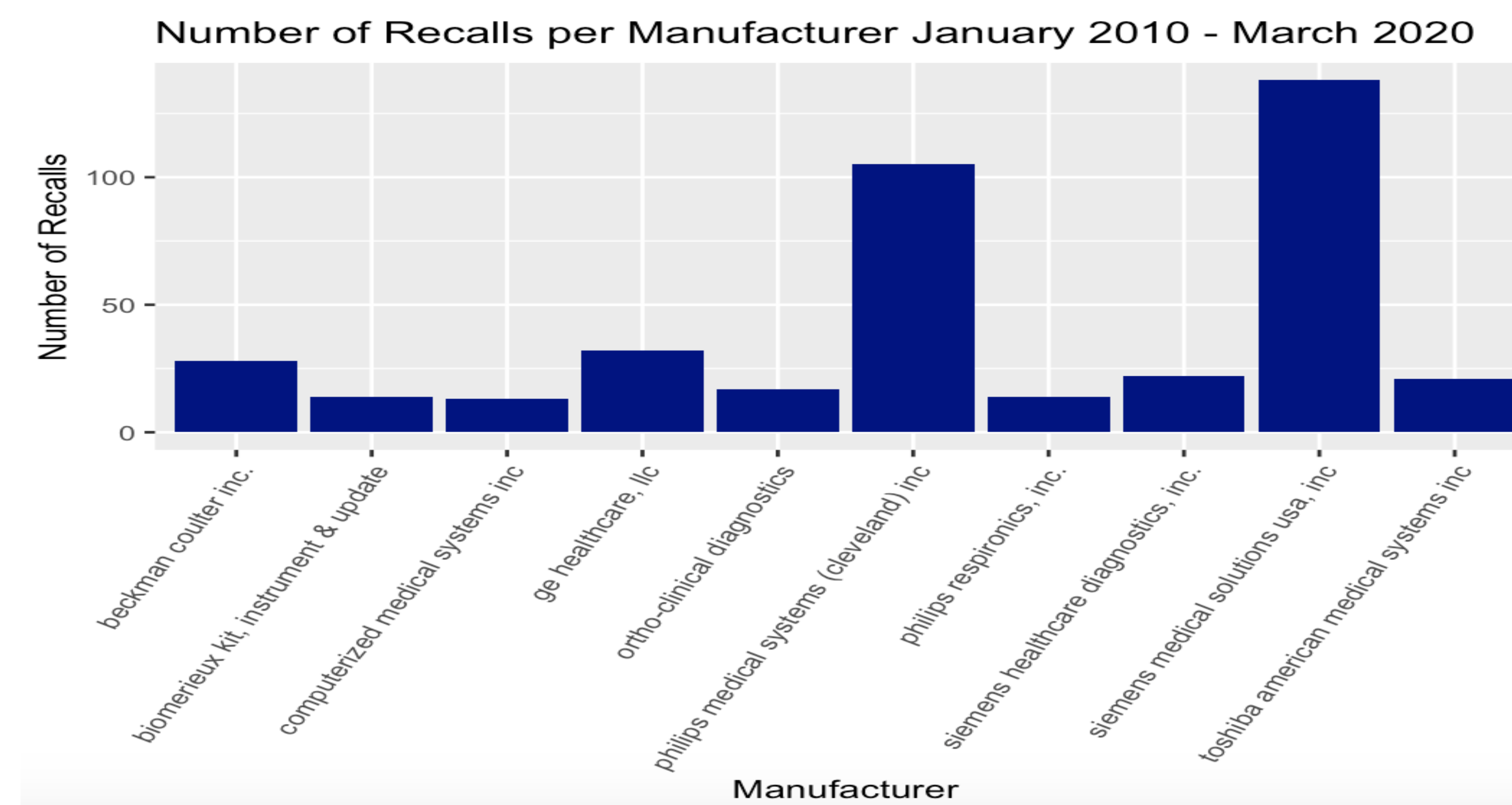


Figure 2: The ten manufacturers with the most device vulnerabilities between January 2010 - March 2020.

The entries from the top ten manufacturers were categorized by utilizing a keyword-based method the manufacturer’s reason for recall. Keywords were manually grouped together into categories, shown in Table 1. The proportions of these categories are shown in Figure 3.

Category	Keyword Samples
Multiple	“multiple issues”, “numerous issues”
Incorrect Data	“incorrect values”, “error in”
Loss of data	“delayed diagnosis”, “exam to fail”
Images and Results	“rescans”, “same image”
Patients	“wrong patient”, “mistreatment”
Damages	“thermal damage”, “overheating”
Software	“upgrade”, “updated”
System Processing	“system crash”, “no access”
Saving	“not saved”, “collimation”
Other	“position sensor”, “mishandling”

Table 1: Categorizations for Manufacturer Reason for Recall

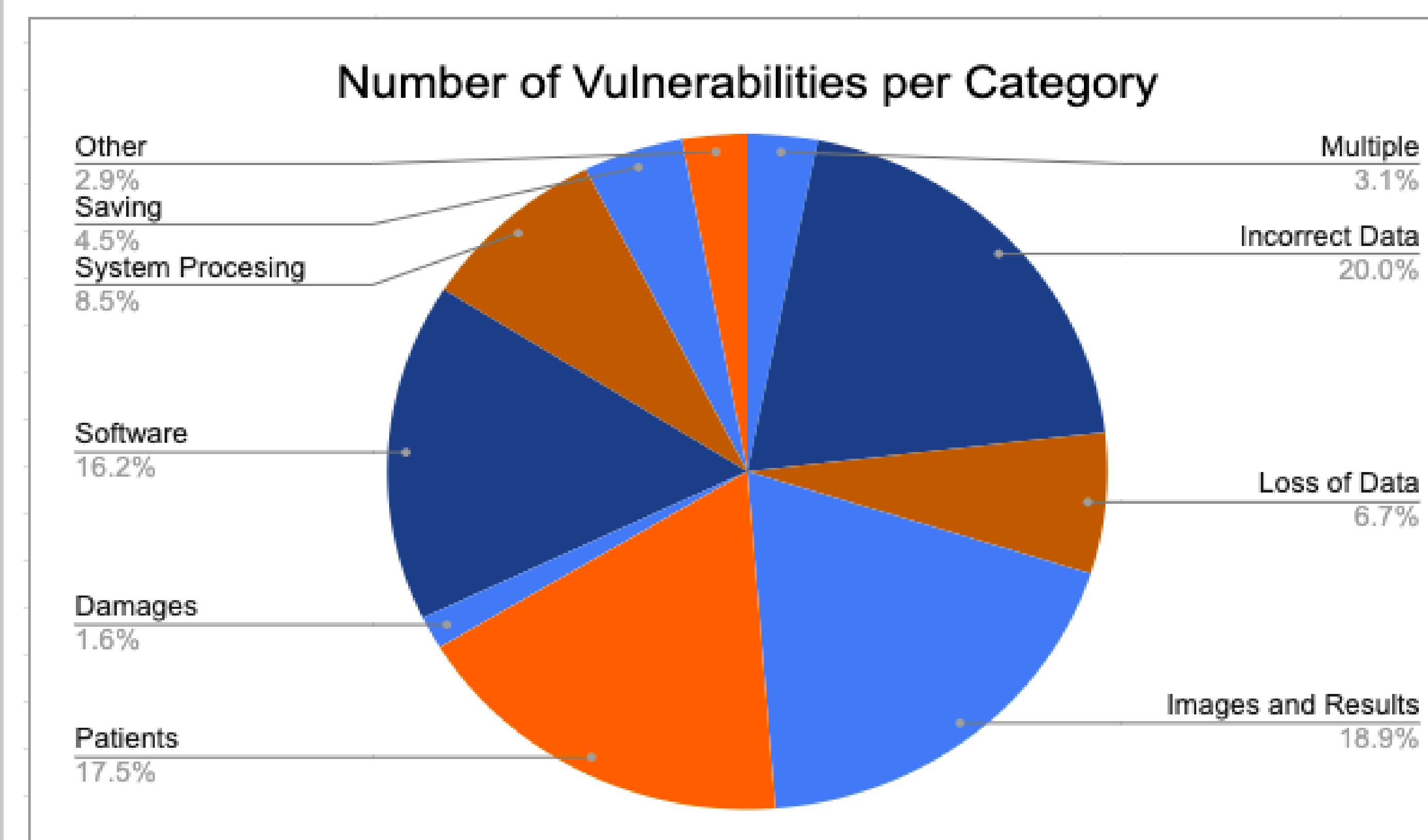


Figure 3: The number of vulnerabilities per category for the devices that were recalled by the top 10 highest manufacturers.

### METHODS AND DATA

Phrases composing the previous categories were manually analyzed for possible cybersecurity-related issues in Figure 4.

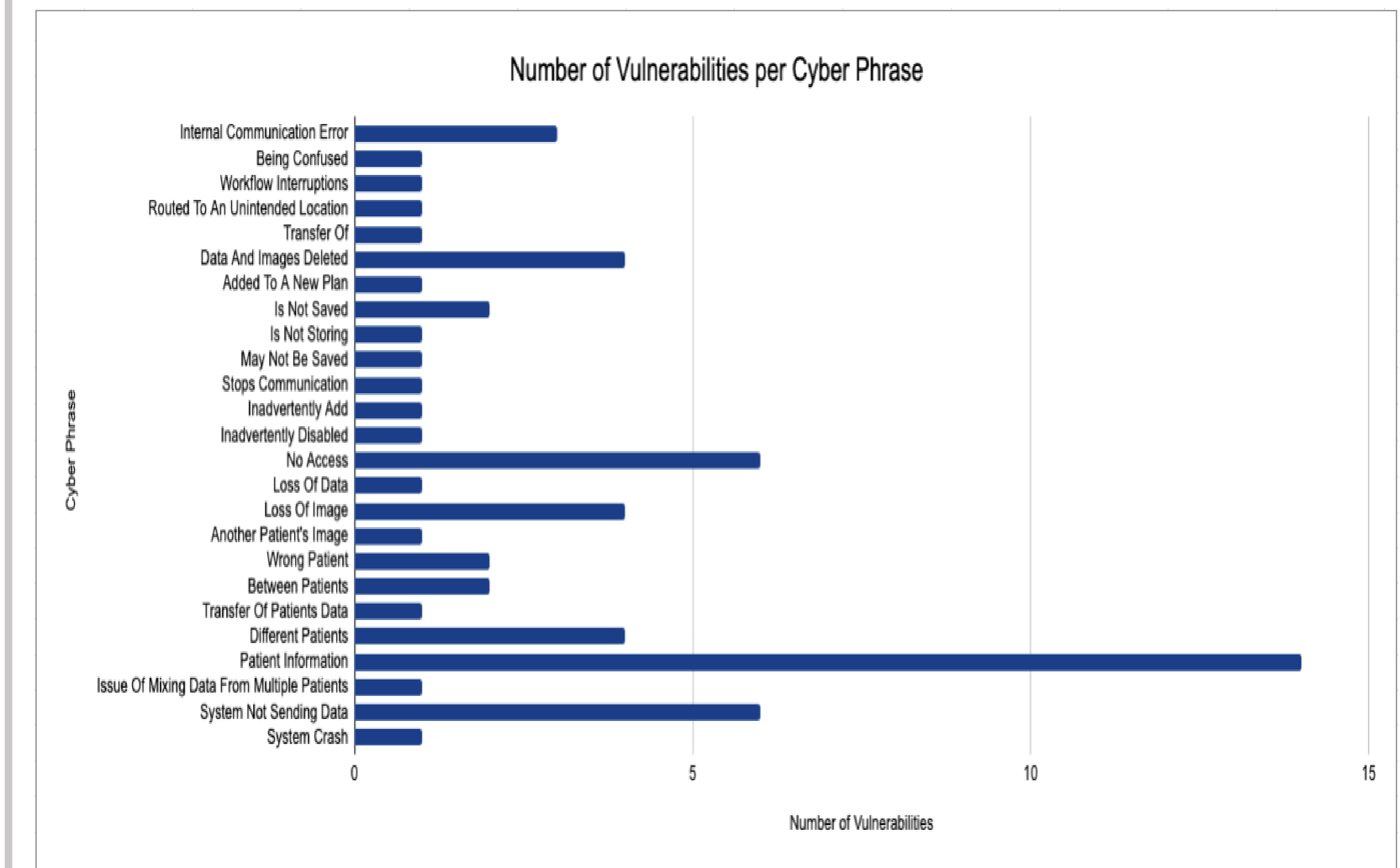


Figure 3: Number of Possible Vulnerabilities Per Cybersecurity-Related Manufacturer Reason for Recall Phrase.

### CONCLUSION/FUTURE WORK

- Our preliminary results indicate that there are many types of medical devices and reasons for recall. The manufacturer’s reason for recall is more specific than the FDA’s reason, so patients may not understand the errors in their devices and the impact these errors can have on their safety and privacy due to the report. Therefore, using the manufacturer’s reason may provide more transparency for the patient.
- Develop a Natural Processing Language (NPL) software to categorize current and future recalls into a more manageable database for ease of patient access, while also linking these reasons for recall to the CVE and ICS-CERT classifications of software and cybersecurity malfunctions.

### REFERENCES

[1] Center for Devices and Radiological Health, FDA. “Recalls, Corrections and Removals (Devices).” *U.S. Food and Drug Administration*, FDA.  
 [2] U.S. Food & Drug Administration. “Medical Device Recalls.” *Accessdata.fda.gov*.  
 [3] Y. Xu, D. Tran, Y. Tian and H. Alemzadeh, “Analysis of Cyber-Security Vulnerabilities of Interconnected Medical Devices,” *2019 IEEE/ACM International Conference on Connected Health: Applications, Systems and Engineering Technologies (CHASE)*, Arlington, VA, USA, 2019, pp. 23-24.

### ACKNOWLEDGEMENTS

This research was supported by NSF REU Site Grant DMS-169872. We thank the Boise State College of Innovation and Design for their support of the project as well as our mentors Dr. L. Babinkostova, R. Erbes (Idaho National Lab), J. Radcliffe (Thermo Fisher Scientific), and Dr. M. Scheepers. We would also like to thank D. Leone, D. Valiente, and G. Frandsen for discussing our data analysis.