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THE MONODROMY CONJECTURE FOR HYPERPLANE ARRANGEMENTS

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ABSTRACT. The Monodromy Conjecture asserts that if c is a pole of the local topological zeta function of a hypersurface, then $\exp(2\pi ic)$ is an eigenvalue of the monodromy on the cohomology of the Milnor fiber. A stronger version of the conjecture asserts that every such c is a root of the Bernstein-Sato polynomial of the hypersurface. In this note we prove the weak version of the conjecture for hyperplane arrangements. Furthermore, we reduce the strong version to the following conjecture: $-n/d$ is always a root of the Bernstein-Sato polynomial of an indecomposable essential central hyperplane arrangement of d hyperplanes in \mathbf{C}^n .

1. INTRODUCTION

Let $f \in \mathbf{C}[x_1, \dots, x_n]$ be a non-constant polynomial, with $f(0) = 0$. Consider a log resolution $\mu : Y \rightarrow \mathbf{C}^n$ of $f^{-1}(0)$, and let E_i for $i \in S$ be the irreducible components of $E = (f \circ \mu)^{-1}(0)$. Denote by a_i the order of vanishing of $f \circ \mu$ along E_i , and by k_i the order of vanishing of $\det(\text{Jac}(\mu))$ along E_i . The *local topological zeta function* of f is

$$(1) \quad Z_{top,f}(s) := \sum_{I \subseteq S} \chi(E_I^\circ \cap \mu^{-1}(0)) \cdot \prod_{i \in I} \frac{1}{a_i s + k_i + 1},$$

where $E_I^\circ = (\cap_{i \in I} E_i) - \cup_{i \notin I} E_i$, and χ is the Euler-Poincaré characteristic. J. Denef and F. Loeser showed in [DL] that $Z_{top,f}(s)$ is independent of the choice of the log resolution μ . The poles of $Z_{top,f}(s)$ are among the negative rational numbers $\{-(k_i + 1)/a_i \mid i \in S\}$.

The Topological Monodromy Conjecture of [DL] is a variant of the original Monodromy Conjecture of J.-i. Igusa. To state it, we fix some notation. For $x \in f^{-1}(0)$, let $M_{f,x}$ denote the *Milnor fiber* of f at x , which is defined as the intersection of $f^{-1}(t)$ with a small ball of radius ϵ around x ($0 < t \ll \epsilon \ll 1$). As a C^∞ -manifold, $M_{f,x}$ does not depend on t and ϵ .

The *Bernstein-Sato polynomial* (also called *b-function*) of f is the non-zero monic polynomial $b_f(s) \in \mathbf{C}[s]$ of minimal degree among polynomials $b(s)$ satisfying

$$b(s)f^s = P \bullet f^{s+1}$$

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for some algebraic differential operator $P \in \mathbf{C}[x_1, \dots, x_n, \frac{\partial}{\partial x_1}, \dots, \frac{\partial}{\partial x_n}, s]$.

Conjecture 1.1. ([DL], *Topological Monodromy Conjecture*) *If c is a pole of $Z_{top,f}(s)$, then*

- (a) $\exp(2\pi ic)$ is an eigenvalue of the monodromy action on $H^i(M_{f,x}, \mathbf{C})$, for some i and some $x \in f^{-1}(0)$.
- (b) $b_f(c) = 0$.¹

It is well-known, by results of B. Malgrange [Mal] and M. Kashiwara [Kas], that all the roots of the b -function are negative rational numbers. Also, the set of numbers $\exp(2\pi ic)$, when c varies over the roots of the b -function of f , coincides with the set of eigenvalues of the monodromy action on $H^i(M_{f,x}, \mathbf{C})$ for some i , and some $x \in f^{-1}(0)$. Hence part (b) of the above conjecture implies part (a). The main result of this note is to prove part (a) in the case of hyperplane arrangements, and to reduce part (b) in this case to the following

Conjecture 1.2. *If $g \in \mathbf{C}[x_1, \dots, x_m]$ is a polynomial of degree d , such that $(g^{-1}(0))_{red}$ is an indecomposable, essential, central hyperplane arrangement, then $-\frac{m}{d}$ is a root of the Bernstein-Sato polynomial $b_g(s)$.*

The following is our main result.

Theorem 1.3. *Let $f \in \mathbf{C}[x_1, \dots, x_n]$ define a hyperplane arrangement in \mathbf{C}^n .*

- (a) *Conjecture 1.1-(a) holds for f .*
- (b) *If Conjecture 1.2 holds (for all g), then Conjecture 1.1-(b) holds for f .*

In fact, in part (b) the condition has to be checked only for the set of arrangements f_W induced on the so-called dense edges W of the given hyperplane arrangements, see Remark 2.4. Theorem 1.3 (a) implies that if c is a pole of $Z_{top,f}(s)$ then $b_f(c) = 0$ or $b_f(c - 1) = 0$, since the roots of $b_f(s)$ are in $(-2, 0)$ in this case, by [Sa].

The original Monodromy Conjecture of J.-i. Igusa involves p -adic local zeta functions. Theorem 1.3 has an analogous version for p -adic local zeta functions, see Theorem 2.8 below.

In the last section we discuss several examples, treating in particular generic arrangements, as well as a large class of arrangements.

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2. PROOF OF THEOREM 1.3

Let $V_j \subset \mathbf{C}^n$ be the irreducible components of the reduced hyperplane arrangement $\mathcal{A} := (f^{-1}(0))_{red}$. We assume the arrangement to be *central*, that is, each V_j is a linear subspace of codimension one of \mathbf{C}^n . In this case f is homogeneous. We deal at the end of this section with the non-central case.

¹A stronger conjecture is believed to be true, where x is close to 0, and the local b -function at 0 is used. However, in our case this makes no difference as we deal with homogeneous polynomials.

The following definitions only depend on f_{red} . The central hyperplane arrangement \mathcal{A} is *indecomposable* if there is no linear change of coordinates on \mathbf{C}^n such that f can be written as the product of two nonconstant polynomials in disjoint sets of variables. An *edge* of \mathcal{A} is an intersection of hyperplanes V_i . The arrangement \mathcal{A} is *essential* if $\{0\}$ is an edge of \mathcal{A} . An edge $W \subset \mathbf{C}^n$ is called *dense* if the hyperplane arrangement \mathcal{A}_W given by the image of $\cup_{V_j \supset W} V_j$ in \mathbf{C}^n/W is indecomposable. For example, V_j is a dense edge for every j . Let S be the set of dense edges of \mathcal{A} . We take $\mu : Y \rightarrow \mathbf{C}^n$ to be obtained by successive blowups, by taking for $d = 0, 1, \dots, n - 2$ the blowup along the (proper transform of) the union of the dense edges of dimension d .

Proposition 2.1. ([STV] -Theorem 3.1) *The morphism $\mu : Y \rightarrow \mathbf{C}^n$ is a log resolution of $f^{-1}(0)$.*

This log resolution is the same as the wonderful model of [DP] when the building set is taken to be the minimal one, which is exactly the set of dense edges. However, we do not need this additional description. Let $E_W \subset Y$ be the proper transform of the exceptional divisor corresponding to $W \in S$. We have: $k_W = \text{codim } W - 1$ and $a_W = \sum_{W \subseteq V_j} m_j$ for all $W \in S$, where a_W, k_W are as in (1), and m_j is the order of vanishing of f along V_j (hence $m_j = 1$ if f is reduced).

Lemma 2.2. ([STV] -Proposition 2.6) *Let $g \in \mathbf{C}[x_1, \dots, x_m]$ be such that $\mathcal{B} = (g^{-1}(0))_{red}$ is a central hyperplane arrangement in \mathbf{C}^m . If $U = \mathbf{P}^{m-1} \setminus \mathbf{P}(\mathcal{B})$, where $\mathbf{P}(\mathcal{B})$ is the projectivization of \mathcal{B} , then \mathcal{B} is indecomposable if and only if $\chi(U) \neq 0$.*

Lemma 2.3. *If $g \in \mathbf{C}[x_1, \dots, x_m]$ with $\deg g = d$ gives an indecomposable central hyperplane arrangement $\mathcal{B} = (g^{-1}(0))_{red} \subset \mathbf{C}^m$, then $\{\exp(2\pi ik/d) \mid k = 1, \dots, d\}$ is the union of the sets of eigenvalues of the monodromy action on $H^i(M_{g,0}, \mathbf{C})$ with $i = 0, \dots, m - 1$.*

Proof. It is known that for every homogeneous polynomial g , the Milnor fiber $M_{g,0}$ is diffeomorphic with $g^{-1}(1)$, and gives a finite d to 1 unramified cover of $U := \mathbf{P}^{m-1} \setminus \mathbf{P}(\mathcal{B})$ (see [Di1] p.72 (1.13)). It is also well-known that each eigenvalue of the action of the monodromy on the cohomology of the Milnor fiber is of the form $\exp(2\pi ik/d)$ (see [CS]). Conversely, recall that the monodromy zeta function of g is by definition

$$\prod_{0 \leq j \leq m-1} \det(\text{Id} - t \cdot M_j)^{(-1)^j},$$

where M_j is the monodromy action on $H^j(M_{g,0}, \mathbf{C})$. By Example 6.1.10 in [Di2], the monodromy zeta function of g is $(1 - t^d)^{\chi(M_{g,0})/d}$. Let $\chi_c(-)$ denote the Euler characteristic with compact supports. Since $\chi(M_{g,0}) = \chi_c(M_{g,0})$ and $\chi(U) = \chi_c(U)$ (see for example [Ful], p.141, note 13), it follows from the multiplicativity property of $\chi_c(-)$ with respect to finite unramified coverings that $\chi(M_{g,0})/d = \chi(U)$. It follows from Lemma 2.2 that for every k , $\exp(2\pi ik/d)$ is an eigenvalue of M_j on $H^j(M_{g,0}, \mathbf{C}^n)$, for some j . \square

We can now prove the result stated in the Introduction for the case of central arrangements.

Proof of part (a) of Theorem 1.3. By the definition (1) and Proposition 2.1, the poles of $Z_{top,f}(s)$ are included in the set $\{-(\text{codim } W)/a_W \mid W \in S\}$. If $W \in S$, then \mathcal{A}_W is indecomposable and is the reduced zero locus of a product f_W of linear forms on \mathbf{C}^n/W , with $\text{deg}(f_W) = a_W$. By Lemma 2.3, $\exp(-2\pi i(\text{codim } W)/a_W)$ is an eigenvalue of the monodromy on the Milnor cohomology of f_W at the origin in \mathbf{C}^n/W . Now, take a point $p \in W - \cup_{V_j \supsetneq W} V_j$. After choosing a splitting of $W \subset \mathbf{C}^n$, we have locally around p , $\mathcal{A} = \mathcal{A}_W \times W \subset \mathbf{C}^n = \mathbf{C}^n/W \times W$ and $f = f_W \cdot u$, where u is a (locally) invertible function. Hence the Milnor fiber of f_W at the origin is a deformation retract of $M_{f,p}$. Therefore $\exp(-2\pi i(\text{codim } W)/a_W)$ is an eigenvalue of the monodromy on the cohomology of the Milnor fiber of f at p . \square

Proof of part (b) of Theorem 1.3. We assume that for all $m, d > 0$, and for all polynomials g of degree d in m variables defining an indecomposable, essential, central hyperplane arrangement, we have $b_g(-m/d) = 0$. By Proposition 2.1, the candidate poles of $Z_{top,f}(s)$ are $-(\text{codim } W)/a_W$, with $W \in S$. Let f_W be induced by f as before, such that $\mathcal{A}_W = (f_W^{-1}(0))_{red}$ in \mathbf{C}^n/W . Then $(\text{codim } W)/a_W = \dim(\mathbf{C}^n/W)/\text{deg } f_W$, with \mathcal{A}_W indecomposable (and automatically essential and central) in \mathbf{C}^n/W . By assumption, we have $b_{f_W}(-(\text{codim } W)/a_W) = 0$. On the other hand, $b_{f_W}(s)$ equals the local b -function $b_{f,p}(s)$ for p as in the proof of part (a). But $b_f(s)$ is the least common multiple of the local b -functions. Hence every candidate pole as above is a root of $b_f(s)$. \square

Remark 2.4. It follows from the above proof that in order for Conjecture 1.1-(b) to hold for f , it is enough to have Conjecture 1.2 satisfied by all f_W , with $W \in S$.

Remark 2.5. (Non-central arrangements.) Let $f \in \mathbf{C}[x_1, \dots, x_n]$ be such that $(f^{-1}(0))_{red} = \cup_j V_j$ is a not necessarily central hyperplane arrangement. Let f_0 be the polynomial obtained from f by only considering the factors that vanish at 0. In this case $Z_{top,f}(s) = Z_{top,f_0}(s)$. We showed that the exponentials of the poles of $Z_{top,f_0}(s)$ are included in the union over indecomposables W for f_0 of the eigenvalues of monodromy on $M_{f_0,x}$, where x is any point of $W - \cup_{0 \in V_j \supsetneq W} V_j$. Also, assuming Conjecture 1.2, the poles of $Z_{top,f_0}(s)$ are included in the union over indecomposables W for f_0 of the roots of $b_{f_0,x}$. We can choose the point x such that $x \notin \cup_{0 \in V_j} V_j$. In this case $M_{f_0,x} = M_{f,x}$ and $b_{f_0,x} = b_{f,x}$. This shows that Theorem 1.3 is valid for the case of non-central arrangements as well.

2.6. The p -adic case. We next consider the p -adic version of the Monodromy Conjecture. For a polynomial $f \in \mathbf{Q}[x_1, \dots, x_n]$ and a prime number p , Igusa's p -adic local zeta function of f is defined as $Z_f^p(s) = \int_{(\mathbf{Z}_p)^n} |f|_p^s d\mu$, where $d\mu$ is the Haar measure on $(\mathbf{Q}_p)^n$, and $|\cdot|_p$ is the p -adic norm. $Z_f^p(s)$ is a meromorphic function for $s \in \mathbf{C}$ whose poles encode asymptotic behavior of the numbers N_m of solutions of f modulo p^m when f is defined over \mathbf{Z} , see [Den]. Let $Re(c)$ denote the real part of a complex number c .

Conjecture 2.7. ([Igu], *Monodromy Conjecture*) Let $f \in \mathbf{Q}[x_1, \dots, x_n]$. For almost all prime numbers p , if c is a pole of $Z_f^p(s)$, then

- (a) $\exp(2\pi i \cdot \operatorname{Re}(c))$ is an eigenvalue of the monodromy action on $H^i(M_{f,x}, \mathbf{C})$, for some i and some $x \in \mathbf{C}^n$ with $f(x) = 0$.
- (b) $b_f(\operatorname{Re}(c)) = 0$.

It is speculated that the conjectures might be true for all prime numbers p , see [Den] -2.3.

The analog of Theorem 1.3 involves \mathbf{Q} -hyperplane arrangements. These are hyperplane arrangements in \mathbf{C}^n such that each hyperplane, i.e. irreducible component, is defined over \mathbf{Q} . Note that this implies that every edge is defined over \mathbf{Q} . Let $f \in \mathbf{Q}[x_1, \dots, x_n]$. We say that f defines a \mathbf{Q} -hyperplane arrangement if f , viewed as a polynomial with complex coefficients, does. In other words, f splits completely into linear factors defined over \mathbf{Q} .

Theorem 2.8. Let $f \in \mathbf{Q}[x_1, \dots, x_n]$ define a \mathbf{Q} -hyperplane arrangement.

- (a) Conjecture 2.7-(a) holds for f and all prime numbers p .
- (b) If Conjecture 1.2 holds for all f_W where W is a dense edge of f , then Conjecture 2.7-(b) holds for f and all prime numbers p .

Proof. Let μ be the log resolution of f from Proposition 2.1. Consider the hyperplane arrangement $f^{(p)}$ defined by f in $(\mathbf{Q}_p)^n$. Any dense edge of $f^{(p)}$ is also defined over \mathbf{Q}_p . By taking successive blowing ups of $(\mathbf{Q}_p)^n$ along (proper transforms) of dense edges as in Proposition 2.1, the map $\mu^{(p)}$ thus obtained is a log resolution of $f^{(p)}$ over \mathbf{Q}_p . There is a bijection between the dense edges of f and those of $f^{(p)}$, and the orders of vanishing of $f \circ \mu$ and $f^{(p)} \circ \mu^{(p)}$ along the irreducible divisors corresponding to dense edges are the same. Similarly for the orders of vanishing of $\det(\operatorname{Jac}(\mu))$ and $\det(\operatorname{Jac}(\mu^{(p)}))$. Then, by [Igu] -Theorem 8.2.1 (see also bottom of p.123 of [Igu]), the real parts of the poles of $Z_f^p(s)$ are among the set $\{-(\operatorname{codim} W)/a_W \mid W \text{ dense edge of } f\}$. From now on, the proof is the same as for Theorem 1.3. \square

Remark 2.9. Conjecture 2.7 was originally stated with \mathbf{Q} replaced by any number field $F \subset \mathbf{C}$, \mathbf{Q}_p replaced by a p -adic completion K of F , and $Z_f^p(s)$ replaced by an integral over $(\mathcal{O}_K)^n$, see [Den] -2.3. We get the corresponding more general version of Theorem 2.8, and the proof, by considering F -hyperplane arrangements and all p -adic completions K .

3. EXAMPLES

In this section we discuss some examples in which we can prove Conjecture 1.2. We start with the case of generic hyperplane arrangements. Recall that a central hyperplane arrangement \mathcal{A} is *generic* if for every nonzero edge W , there are precisely $\operatorname{codim}(W)$ hyperplanes in \mathcal{A} containing W . Such an arrangement is essential if and only if the number of hyperplanes is at least the dimension of the ambient space.

Example 3.1. Let $g \in \mathbf{C}[x_1, \dots, x_n]$ be a reduced polynomial, defining a generic central, essential arrangement of d hyperplanes in \mathbf{C}^n . It was shown in [Wal] that the roots of b_g are those $-\frac{i+n}{d}$, with $0 \leq i \leq 2d - n - 2$. In particular, we see that for $i = 0$ we get that $-\frac{n}{d}$ is a root of b_g , confirming Conjecture 1.2 in this case. (Note that a generic arrangement is decomposable if and only if $d = n$, when in suitable coordinates the arrangement consists of the coordinate hyperplanes.)

Note that every central arrangement in \mathbf{C}^2 is generic, hence Example 3.1 applies.

Remark 3.2. Let $g \in k[x, y, z]$ be a reduced polynomial, defining a central, essential arrangement of d hyperplanes in \mathbf{C}^3 . In this case Conjecture 1.1-(b) holds for g if Conjecture 1.2 holds for g , that is, if either \mathcal{A} is decomposable, or if $b_g(-3/d) = 0$. Indeed, this follows from Remark 2.4, together with the fact that if $W \neq \{0\}$, then g_W satisfies Conjecture 1.2 by Example 3.1.

A recent computation, that will appear elsewhere, of M. Saito, S. Yuzvinsky, and the first author, shows that Conjecture 1.2 is true for $m = 3$ and g reduced, and hence Conjecture 1.1-(b) is also true.

It is known that every jumping number in $(0, 1]$ is the negative of a root of the Bernstein-Sato polynomial, see [ELSV], Theorem B (but not conversely). So to show Conjecture 1.2 it is enough to show m/d is a jumping number. We now consider some cases in which it can be shown m/d is a jumping number, and an example in which it is not.

We first consider reduced polynomials defining central, essential hyperplane arrangements in \mathbf{C}^3 . Let $g \in \mathbf{C}[x, y, z]$ be such a polynomial defining the arrangement \mathcal{A} . We denote by $\mathbf{P}(\mathcal{A})$ the corresponding arrangement of lines in \mathbf{P}^2 . For every $m \geq 2$, let ν_m be the number of points with multiplicity m in $\mathbf{P}(\mathcal{A})$. Note that \mathcal{A} is generic if and only if there are no points in $\mathbf{P}(\mathcal{A})$ of multiplicity > 2 , and in this case Conjecture 1.2 is satisfied by Example 3.1. We also point out that since the arrangement is in \mathbf{C}^3 , it is decomposable if and only if all but one of the lines in $\mathbf{P}(\mathcal{A})$ pass through a point.

Example 3.3. Suppose that g is as in the previous remark. Corollary 1 in [BS] implies that $3/d$ is a jumping number for the multiplier ideals of g if and only if

$$(2) \quad \sum_{2d/3 < m \leq d} \nu_m \neq 1$$

(here we assume for simplicity that $d \geq 5$). It follows that whenever (2) holds, Conjecture 1.2 holds for g . In particular, using Remark 3.2 and Example 3.1, one can check for example that Conjecture 1.1-(b) holds for all (reduced) central hyperplane arrangements \mathcal{A} in \mathbf{C}^3 such that the multiplicities at points of $\mathbf{P}(\mathcal{A})$ are ≤ 3 . We will state a stronger result, for moderate arrangements, in Theorem 3.6.

Remark 3.4. Even in the case of hyperplane arrangements defined by a reduced polynomial g , in general it is not the case that one can prove Conjecture 1.2 by

showing that m/d is a jumping number for the multiplier ideals of g . For example, if $g = xy(x - y)(x + y)(x + z)$, then $3/5$ is not a jumping number (one can use, for example, Corollary 1 in [BS]). However, this arrangement is decomposable, so Conjecture 1.1-(b) holds by Remark 3.2. In order to get an indecomposable example, we consider an arrangement with $d = 10$, $\nu_7 = 1$, $\nu_3 = 3$, and with $\nu_{m'} = 0$ for $7 \neq m' > 3$. In this case we see by *loc. cit.* that $3/10$ is not a jumping number. For example, we may take

$$g = xy(x + y)(x - y)(x + 2y)(x + 3y)(x + 4y)(2y + z)(x + 2y + z)z.$$

On the other hand, it can be checked that $-3/10$ is indeed a root of $b_g(s)$, as predicted by Conjecture 1.2. For this one uses Theorem 4.2 (e) in [Sa], with $k = 3$, and $I = \{1, 2\}$ as a subset of the set $\{1, \dots, 10\}$ that remembers the factors of g in the order written.

The following remarks were pointed out to us by M. Saito. Let \mathcal{A} be a hyperplane arrangement. For an edge W , let a_W be, as before, the sum $\sum_{W \subseteq V_j} m_j$ where m_j is the multiplicity of the hyperplane V_j in \mathcal{A} . We say that \mathcal{A} is a hyperplane arrangement of *moderate type* if the following condition is satisfied:

$$(3) \quad \frac{\text{codim } W}{a_W} \leq \frac{\text{codim } W'}{a_{W'}} \quad \text{for any two dense edges } W \subset W'.$$

Lemma 3.5. *If \mathcal{A} is a hyperplane arrangement of moderate type, then $(\text{codim } W)/a_W$ is a jumping number of \mathcal{A} for any dense edge W .*

Proof. For any given dense edge W , we may assume that there is strict inequality in (3) by replacing W with an edge containing it without changing $(\text{codim } W)/a_W$. The conclusion follows directly from the formula for multiplier ideals in terms of dense edges of [Te]. \square

By [ELSV], Lemma 3.5 implies:

Theorem 3.6. *If \mathcal{A} is a hyperplane arrangement of moderate type, then for any dense edge W , $-(\text{codim } W)/a_W$ is a root of the b -function of a polynomial defining \mathcal{A} . So Conjecture 1.2 holds in this case.*

Remark 3.7. (i) Lemma 3.5 does not hold if \mathcal{A} is not of moderate type as is shown in Remark 3.4.

(ii) It is also possible to prove Theorem 3.6 by using [Sa], 4.2(b).

(iii) If \mathcal{A} is central, essential, and reduced, and $n = 3$, then condition (3) holds if and only if the multiplicity along any codimension 2 edge is at most $2d/3$, where d is the number of hyperplanes of \mathcal{A} . Hence Theorem 3.6 strengthens Example 3.3.

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