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Ferroelectric Phase Transitions in Three-Component Short-Period Superlattices Studied by Ultraviolet Raman Spectroscopy

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Abstract

Vibrational spectra of three-component $\text{BaTiO}_3/\text{SrTiO}_3/\text{CaTiO}_3$ short-period superlattices grown by pulsed laser deposition with atomic-layer control have been investigated by ultraviolet Raman spectroscopy. Monitoring the intensity of the first-order phonon peaks in Raman spectra as a function of temperature allowed determination of the ferroelectric phase transition temperature, T_c . Raman spectra indicate that all superlattices remain in the tetragonal ferroelectric phase with out-of-plane polarization in the entire temperature range below T_c . The dependence of T_c on the relative thicknesses of ferroelectric (BaTiO_3) to non-ferroelectric materials (SrTiO_3 and CaTiO_3) has been studied. The highest T_c was found in superlattices having the largest relative amount of BaTiO_3 , provided that the superlattice maintains its coherency with the substrate. Strain relaxation leads to a significant decrease in the ferroelectric phase transition temperature.

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I. INTRODUCTION

Recent advances in epitaxial technology made possible the synthesis of multilayer oxide structures with monolayer-precision control of thicknesses and atomically flat interfaces.^{1–3} This opened a way for synthesis of artificial materials, such as ultrathin films or nanoscale ferroelectric heterostructures. Reduction of the structural dimensions to the nanometer scale gives rise to physical phenomena and properties dramatically different from those of homogeneous bulk ferroelectrics.^{4–9} A particular type of ferroelectric nanostructures that has recently received increased attention is ferroelectric superlattice (SL), i.e. the periodic structure containing alternating layers of different materials. Several groups have grown high quality ferroelectric superlattices with nearly atomically sharp interfaces in few perovskite systems, such as $\text{BaTiO}_3/\text{SrTiO}_3$,^{1,10,11} $\text{BaTiO}_3/\text{PbTiO}_3$,¹² $\text{PbTiO}_3/\text{SrTiO}_3$,^{9,13–15} $\text{KNbO}_3/\text{KTaO}_3$,^{16,17} $\text{SrTiO}_3/\text{BaZrO}_3$,¹⁸ and more complex three-component superlattices $[\text{CaTiO}_3/\text{BaTiO}_3/\text{SrTiO}_3]$.^{2,19} The properties of such structures are not just simple combination of those known for constituent bulk materials. In particular, it was described theoretically^{20–22} and observed experimentally,^{2,11} that remanent polarization in SLs can be enhanced above the value of that in bulk constituents.

One of the major factors affecting the behavior of nanoscale ferroelectric thin films and multilayer structures is epitaxial strain.²³ Recent demonstrations of huge strain effect on ferroelectric properties include dramatic enhancement of ferroelectricity in BaTiO_3 films (increase of the ferroelectric phase transition temperature T_c by hundreds of degrees and polarization enhancement by 250% compared to bulk BaTiO_3)²⁴ and observation of room-temperature ferroelectricity in strained films of SrTiO_3 ,²⁵ a material, which is not ferroelectric in its bulk form at any temperature. Size effect is another factor strongly influencing the properties of ferroelectric nanostructures, and the issue of a critical size for ferroelectricity is actively discussed.^{4,7,8,26} For a long time it was believed that ferroelectricity was suppressed in small particles and thin films,²⁷ and there was a critical size in order of few tens of nanometers below which a spontaneous polarization cannot be sustained in a material. Later experiments identified ferroelectric state in 4 nm-thick perovskite oxide films,²⁸ and recent theoretical work^{29,30} has indicated that the critical size is orders of magnitude smaller than previously thought. Very recent results on ultrathin PbTiO_3 films^{31–34} and superlattices^{2,9,14,15,35} provided the experimental evidence that ferroelectricity persists down to

vanishingly small sizes, it can exist in superlattices containing only one unit cell thick layer of ferroelectric (PbTiO_3 or BaTiO_3) embedded in much thicker non-ferroelectric SrTiO_3 . These studies revealed that the issue of critical size is very complex, and electrical and mechanical boundary conditions play essential role in nanoscale ferroelectricity.

Recently, three-component superlattices consisting of ferroelectric BaTiO_3 , and non-ferroelectric titanates, CaTiO_3 and SrTiO_3 fabricated by molecular beam epitaxy¹⁹ and atomic-layer precision pulsed laser deposition² were studied. Using three different materials allows building a structure with broken inversion symmetry. The resulting asymmetry of the ferroelectric double-well potential in such a structure suggests a possible appearance of effective permanent bias fields, predicted theoretically³⁶ and observed experimentally.¹⁹ Use of CaTiO_3 (bulk pseudocubic lattice constant $a = 3.83\text{\AA}$) and BaTiO_3 ($a = 3.992\text{\AA}$) in combination with SrTiO_3 can result in a superlattice growing commensurately on SrTiO_3 substrate ($a = 3.905\text{\AA}$). In such a superlattice, the constituent BaTiO_3 and CaTiO_3 layers are subject to significant epitaxial strain of opposite signs. Due to the strong coupling between strain and polarization in ferroelectrics, this can result in substantial enhancement of the polarization relative to that of the bulk constituents. Breakdown of inversion symmetry resulting in asymmetric polarization allows for an extra degree of freedom for optimizing the ferroelectric properties in these superlattices. Recently, Nakhmanson, Rabe and Vanderbilt developed a model of polarization in such multicomponent superlattices, which can be used to optimize the arrangement of individual CaTiO_3 , SrTiO_3 , and BaTiO_3 layers in a superlattice, predicting structures with the highest possible polarization and a low in-plane lattice constant mismatch with the substrate.²² H. N. Lee *et al.*² reported the growth of $\text{CaTiO}_3/\text{BaTiO}_3/\text{SrTiO}_3$ superlattices, demonstrated their excellent structural quality and polarization enhancement. However, these studies were all carried out at room temperature, and the phase transition behavior, in particular, the T_c , has not been determined. Lattice vibrations in these three-component superlattices have not been studied so far.

Vibrational (Raman and infrared) spectroscopies can provide valuable information for understanding the behavior of nanoscale ferroelectrics, as the lattice dynamics determines the fundamental ferroelectric properties.³⁷ The phonon frequencies and their Raman activity are sensitive to the phase transitions in ferroelectrics. However, conventional Raman measurements of ultrathin ferroelectric films and nanostructures in the visible range are difficult or practically impossible because of the film transparency and small thickness, which

leads to extremely weak Raman signals from nanometer-thick films and the dominance of a substrate signal in the spectra. Using of ultraviolet (UV) excitation for Raman experiments allows to overcome these difficulties.³⁵ For UV excitation, the photon energy is above the bandgap of ferroelectric oxides such as SrTiO₃, BaTiO₃, which leads to a much stronger absorption and a greatly reduced penetration depth, thus preventing light from entering the substrate. Therefore, the phonons of ultrathin films and superlattices can be observed in the UV Raman spectra without overwhelming substrate signal.³⁵ UV Raman spectroscopy was demonstrated to be an effective technique to determine the phase transition temperature T_c in ferroelectric ultrathin films and superlattices.³⁵ In the present paper we apply this technique to study the lattice dynamics in three-component CaTiO₃/BaTiO₃/SrTiO₃ superlattices. We focus on the temperature evolution of the vibrational spectra and the phase transition behavior of these superlattices.

II. EXPERIMENTAL DETAILS

We performed UV Raman experiments on a series of three-component SrTiO₃/BaTiO₃/CaTiO₃ SLs with atomically smooth interfaces grown on SrTiO₃ (001)-oriented substrates with SrRuO₃ buffer layers by pulsed laser deposition with atomic-layer precision. The growth details have been described elsewhere.² The growth was monitored by reflection high energy electron diffraction. The samples were characterized by atomic force microscopy (AFM), high resolution scanning transmission electron microscopy (STEM), X-ray diffraction (XRD), and reciprocal space mapping (RSM).² AFM and STEM data demonstrated high structural quality of both the SLs and underlying SrRuO₃ layers: the single-monolayer terrace steps on the SrRuO₃ surface and on the top SL surface after the growth, and abrupt interfaces between the layers. The θ - 2θ XRD scans show excellent diffraction pattern with all the superlattice peaks present, thus confirming the designed periodicity of the SLs. (See Ref. 2 for the details of structural characterization)

Parameters of the superlattice samples studied are listed in Table I. All the SLs studied had the total thickness of 200 nm. RSM data were used to examine the strain state of the SLs and SrRuO₃ buffer layers. The thickness of the SrRuO₃ buffer layers was 4–16 nm, and the films were grown at the conditions corresponding to the stability range of SrRuO₃ films.^{2,38} Such thin SrRuO₃ layers are usually grown coherently on SrTiO₃ substrates.^{38,39}

Indeed, according to the RSM data of Lee *et al.*, the SrRuO₃ layers in the samples studied are commensurate with SrTiO₃ substrates. The strain relaxation data for superlattices studied are given in the Table I (0% means fully strained, commensurate SL having the same in-plane lattice parameter as SrTiO₃ substrate). A 200 nm-thick BaTiO₃ film was also studied for comparison. This film is fully relaxed due to large thickness. From RSM data it follows that the SLs with BaTiO₃ layer thickness not exceeding the combined thickness of SrTiO₃ and CaTiO₃ layers are coherently strained; the SLs with thicker BaTiO₃ layers are partially relaxed.²

Raman spectra were recorded using a UV-optimized Jobin Yvon T64000 triple spectrometer equipped with a liquid-nitrogen-cooled multichannel coupled-charge-device detector. Spectra were recorded in backscattering geometry in the temperature range 30–600 K. For low-temperature measurements, the samples were attached to the cold finger inside a closed cycle helium cryostat. For measurements at elevated temperatures (above 300 K) an evacuated heater stage was employed. The 325 nm line of He-Cd laser was used for excitation.

III. RESULTS AND DISCUSSION

The low temperature (30 K) UV Raman spectra of CaTiO₃/BaTiO₃/SrTiO₃ SLs and a 200 nm-thick BaTiO₃ film are shown in Fig. 1. All the SLs studied are ferroelectric at low temperatures, and the first-order Raman peaks of superlattice phonons are seen in the spectra. For identification of the observed optical phonon peaks in the UV Raman spectra of the superlattices, we compared them with the spectra of bulk and thin film BaTiO₃^{40,41}, SrTiO₃^{42–44}, CaTiO₃^{45,46}, as well as binary SLs BaTiO₃/SrTiO₃.³⁵ The peaks at about 290 cm⁻¹ in the spectra of fully or nearly fully strained SLs (spectra 2–5 in Fig. 1) have similar positions and shapes to the TO₂ modes of A₁ symmetry of BaTiO₃ in the tetragonal phase, although there is probably a contribution of TO₃ and LO₂ modes observed in the spectra of bulk BaTiO₃⁴⁰ at 304–308 cm⁻¹. Similar features are characteristic for the spectra of bulk and thin film BaTiO₃^{40,41}, and BaTiO₃/SrTiO₃ SLs.³⁵ Therefore we assign them to the BaTiO₃ phonons (note that this feature is almost absent in the spectra of the sample S1B1C1 having the smallest BaTiO₃ content) and conclude that BaTiO₃ layers are likely tetragonal in these strained SLs.

The line at about 180 cm⁻¹ in the SL spectra, also observed in BaTiO₃/SrTiO₃ SLs,³⁵

is attributed to the first-order Raman scattering by SrTiO₃-like TO₂ phonons in SLs. Its position corresponds closely to that of bulk and thin-film SrTiO₃,^{43,44} but it is not from the substrate, because in bulk SrTiO₃ the first-order Raman lines are symmetry-forbidden, while the SLs are ferroelectric, and the symmetry is broken. Moreover, due to the absorption of UV light by rather thick superlattices, neither the substrate nor SrRuO₃ buffer layer contribution is present in the spectra. BaTiO₃ also has a TO₁ mode of A_1 symmetry at about the same position (177 cm⁻¹),⁴⁰ and CaTiO₃ has phonons in this frequency range, too.⁴⁶ Therefore, we believe this line should be attributed to the phonons which are not localized within thin SrTiO₃, BaTiO₃ or CaTiO₃ layers. These vibrations likely involve the atoms of the neighboring layers of another materials, so they belong to the whole superlattice. This is also the case for the LO₃ mode, observed at about 485 cm⁻¹, which has nearly the same frequency in these materials. The peak of TO₄ phonon observed at ~540–545 cm⁻¹, likely has a contribution from all three layers, too. The frequencies of this phonon in bulk BaTiO₃ SrTiO₃ and CaTiO₃ are also close (522, 545 and 547 cm⁻¹, respectively), and can be even closer in our heterostructures due to strain.

The broad feature observed in the range 700–800 cm⁻¹ is assigned to the LO₄ phonons. In bulk BaTiO₃ this feature is seen at about 720 cm⁻¹, while in SrTiO₃⁴⁴ and CaTiO₃⁴⁶ the frequencies are significantly higher, about 795 cm⁻¹. Therefore, this phonon can be considered as localized either in BaTiO₃ or in SrTiO₃ and CaTiO₃ layers. The spectral line is broad, so the contributions of BaTiO₃ and SrTiO₃+CaTiO₃ layers are hard to distinguish, but one can see that the peak shifts towards lower frequencies in SLs with larger BaTiO₃ content.

As the thickness of BaTiO₃ layers increases, they become partially relaxed, and the spectra closely resemble that of single BaTiO₃ film (compare two bottom spectra in Fig.1). The spectra of the SLs studied contain no clear indication of the presence of the soft E modes. (The low-frequency cut-off of the spectra was at 40 cm⁻¹. We were unable to measure closer to the laser line because of high stray light background.)

Figure 2 shows the Raman spectra as a function of temperature for the C2B4S2 SL. Other superlattices exhibit similar temperature evolution of Raman spectra. From these spectra the T_c can be determined. Bulk crystalline SrTiO₃ and CaTiO₃ are paraelectric at all temperatures. Bulk crystalline BaTiO₃ is cubic and paraelectric above $T_c=403$ K, becomes tetragonal and ferroelectric below T_c , and goes through additional transitions to

orthorhombic at 278 K and rhombohedral at 183 K.⁴⁷ Each of the three ferroelectric phases of bulk BaTiO₃ can be identified by its Raman spectra.⁴¹ As can be seen in Fig. 2, the positions and lineshapes of phonons in SLs remain nearly unchanged as the temperature increases. Therefore, the SLs remain in the single ferroelectric phase and the low-temperature phase transitions characteristic for bulk BaTiO₃ are suppressed in the SLs studied. Biaxial compressive strain in BaTiO₃ layers, which stabilizes the tetragonal *c* phase, is likely cause for such a behavior, which was also observed in binary BaTiO₃/SrTiO₃ SLs.³⁵

The T_c determination from Raman spectra is based on the fact that cubic centrosymmetric perovskite-type crystals have no first-order Raman active modes in paraelectric phase. Therefore, Raman intensity of the superlattice phonons decreases with increasing temperature and disappear upon transition into paraelectric phase. Above the T_c the spectra contain only the broad second order features. By plotting the first-order Raman intensity as a function of temperature, one can determine T_c , as the temperature where the intensity becomes zero.³⁵ This is illustrated in Figure 3 for three of the SLs studied: S1B1C1, S2B4C2, and S1B3C2. We used the intensities of the TO₂ and TO₄ phonon lines (marked by arrows in Fig. 2), because they do not overlap with the second-order features. The intensities are normalized by the Bose factor $n + 1 = (1 - \exp(-\hbar\omega/kT))^{-1}$ (where \hbar is Planck's constant, ω is phonon frequency, k is Boltzmann's constant, and T is temperature), and divided by the intensity of the corresponding mode at low temperatures (30 K). Both TO₂ and TO₄ phonons show similar behaviors and the dashed-dotted lines are linear fits to the average of the two modes. The linear fit corresponds to a parabolic decrease of polarization with temperature as Raman intensity is proportional to the square of atomic displacement. The T_c of the sample is determined as the intersection of a dash-dotted line with the horizontal axis.

In the SLs studied a structural asymmetry is introduced by the presence of the three different layers, BaTiO₃, SrTiO₃, and CaTiO₃, in each period. Therefore, as temperature reaches T_c , the phonon peaks should not disappear from the spectra completely. Raman intensity should rather drop to some small but non-zero value. However, this inversion symmetry breakdown appears to have a small effect in terms of atomic displacement patterns associated with phonons, and this residual above- T_c Raman intensity appears too small to be detected. Therefore, the observed temperature evolution of Raman intensities shows a behavior similar to that of symmetric two-component SLs.³⁵

Figure 4 summarizes the results of the T_c determination for all the SLs measured as a function of the thickness ratio $R = \frac{d_{BT}}{(d_{ST}+d_{CT})}$, where d_{BT} , d_{ST} , and d_{CT} are the thicknesses of BaTiO₃, SrTiO₃, and CaTiO₃ layers, respectively. Even the S1B1C1 superlattice, containing only one monolayer of ferroelectric material, BaTiO₃, per period, is ferroelectric with T_c close to room temperature (283 K, as determined from Raman data). As one can see, T_c increases significantly with increasing fraction of BaTiO₃, provided that it remains fully (or nearly fully) strained. Effect of strain relaxation is demonstrated by the rightmost point in the Fig.4. It corresponds to the sample with the largest BaTiO₃ fraction (S2B8C2), but it is partially relaxed, therefore T_c decreases significantly. These results correlate with the data on ferroelectric polarization in these SLs. As reported by Lee *et al.*,² the strongest polarization enhancement was also observed in fully strained SLs with the largest ratio R .

IV. SUMMARY

Ultraviolet Raman spectroscopy was applied to studies of three-component BaTiO₃/SrTiO₃/CaTiO₃ superlattices grown by atomic-scale-precision pulsed laser deposition. Raman data show that even the superlattices having the ferroelectric BaTiO₃ layers as thin as one unit cell are ferroelectric with T_c near room temperature. The temperature evolution of Raman spectra indicates that all superlattices remain in the tetragonal ferroelectric phase with out-of-plane polarization in the entire temperature range below T_c . The latter was determined from the temperature dependence of the intensity of the first-order phonon peaks in Raman spectra. Significant variation of T_c was observed; the superlattices with the highest thickness of ferroelectric BaTiO₃ layers respective to non-ferroelectric SrTiO₃ and CaTiO₃ layers have the highest T_c , provided that BaTiO₃ layers remain highly strained. Strain relaxation causes a drastic decrease in the ferroelectric phase transition temperature.

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TABLE I: Parameters of the superlattice samples studied

Sample label	Structure	$\frac{d_{BT}}{d_{ST}+d_{CT}}$ ratio	Strain relaxation (%)	T_c from Raman (K)
S1B1C1	(CaTiO ₃) ₁ /(BaTiO ₃) ₁ /(Sr TiO ₃) ₁	0.5	0	283
S4C2B4C2	(CaTiO ₃) ₂ /(BaTiO ₃) ₄ /(CaTiO ₃) ₂ /(SrTiO ₃) ₄	0.5	0	389
C2B4S2	(SrTiO ₃) ₂ /(BaTiO ₃) ₄ /(CaTiO ₃) ₂	1.0	0	447
S2B4C2	(CaTiO ₃) ₂ /(BaTiO ₃) ₄ /(SrTiO ₃) ₂	1.0	0	453
S1B3C1	(CaTiO ₃) ₁ /(BaTiO ₃) ₃ /(SrTiO ₃) ₁	1.5	0.08	493
S2B6C2	(CaTiO ₃) ₂ /(BaTiO ₃) ₆ /(SrTiO ₃) ₂	1.5	0.05	488
S2B8C2	(CaTiO ₃) ₂ /(BaTiO ₃) ₈ /(SrTiO ₃) ₂	2.0	1.21	438

FIGURE CAPTIONS

FIG. 1: (Color online) Low-temperature Raman spectra for $(\text{CaTiO}_3)/(\text{BaTiO}_3)/(\text{SrTiO}_3)$ superlattices and a 200 nm-thick BaTiO_3 film at 30 K.

FIG. 2: (Color online) Temperature evolution of Raman spectra for $(\text{SrTiO}_3)_2/(\text{BaTiO}_3)_4/(\text{CaTiO}_3)_2$ superlattice. Arrows indicate Raman peaks used for T_c determination.

FIG. 3: (Color online) Temperature dependencies of normalized Raman intensities of TO_2 (solid symbols) and TO_4 (open symbols) phonons for three $(\text{CaTiO}_3)_2/(\text{BaTiO}_3)_4/(\text{SrTiO}_3)_2$ superlattices. The dash-dotted lines are fits to a linear temperature dependence.

FIG. 4: Results of T_c determination from Raman data for all superlattices studied. T_c is plotted as a function of the thickness ratio $\frac{d_{BT}}{(d_{ST}+d_{CT})}$. Dashed line is guide to an eye.

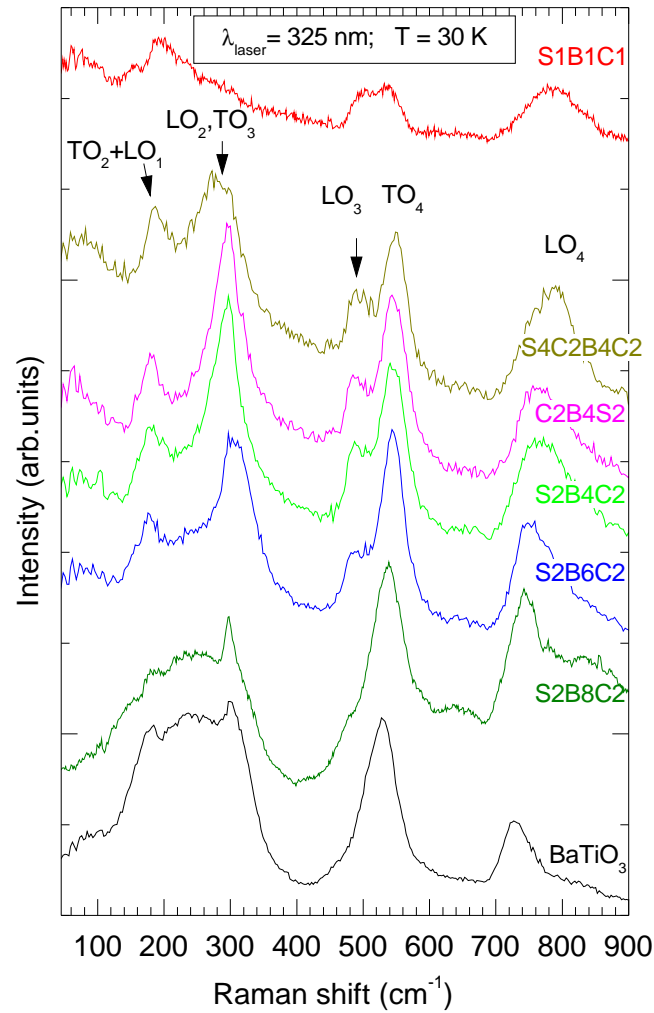


Figure 1

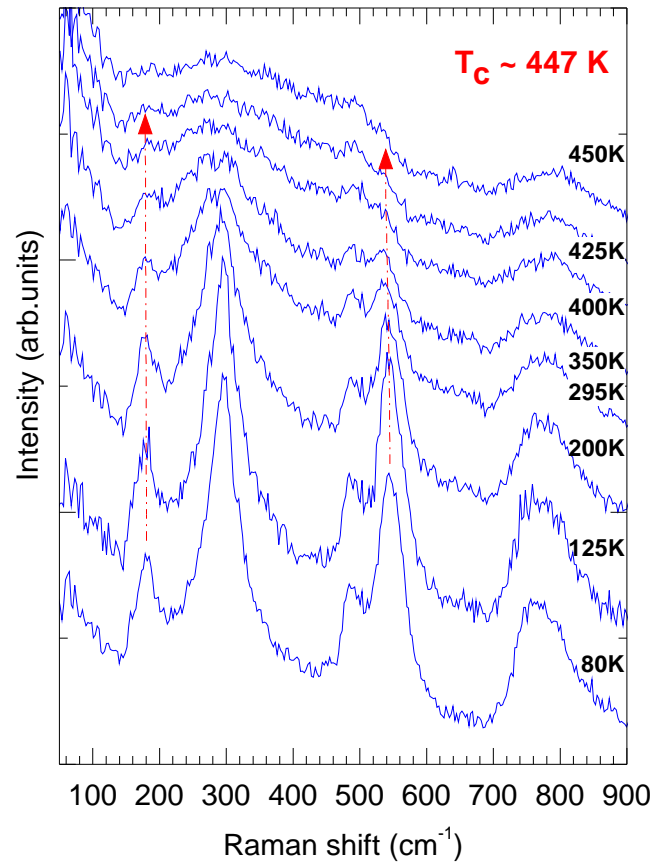


Figure 2

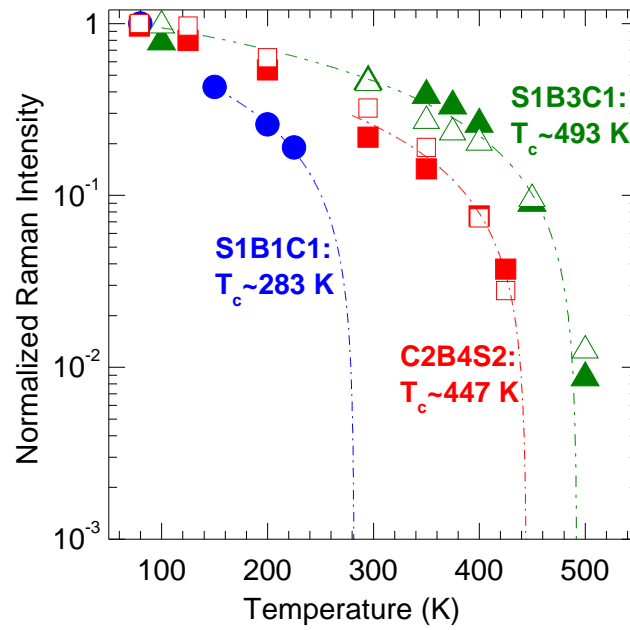


Figure 3

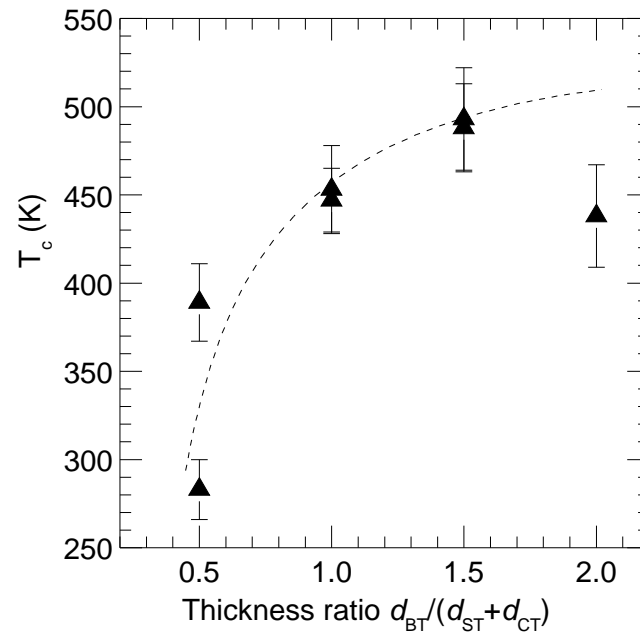


Figure 4